

APPENDIX E

Arborist Reports

June 2023

ARBORIST REPORT

Prepared by: Cory Shields of Spade Tree Preservation





Arborist Report

[REDACTED] St.

Eugene, OR

Prepared for:

Groundwater Solutions, Inc.

dba GSI Water Solutions, Inc.

650 NE Holladay St., Suite 900

Portland, OR 97232

for

JH Baxter Removal Action

Project Number: 02060.005.004

Prepared by:

Cory Shields

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Overview

This report covers the mitigation recommendations for the trees at [REDACTED] Baxter Street hereto referenced as DU-09. There are three total individual trees under the purview of this report, in addition to several smaller trees and shrubs not covered under the tree assessment forms. Figure 1 details the locations of the trees within DU-09. As detailed in Figure 2, soil removal on the entirety of DU-09 will be at an 18-inch depth.



Figure 1

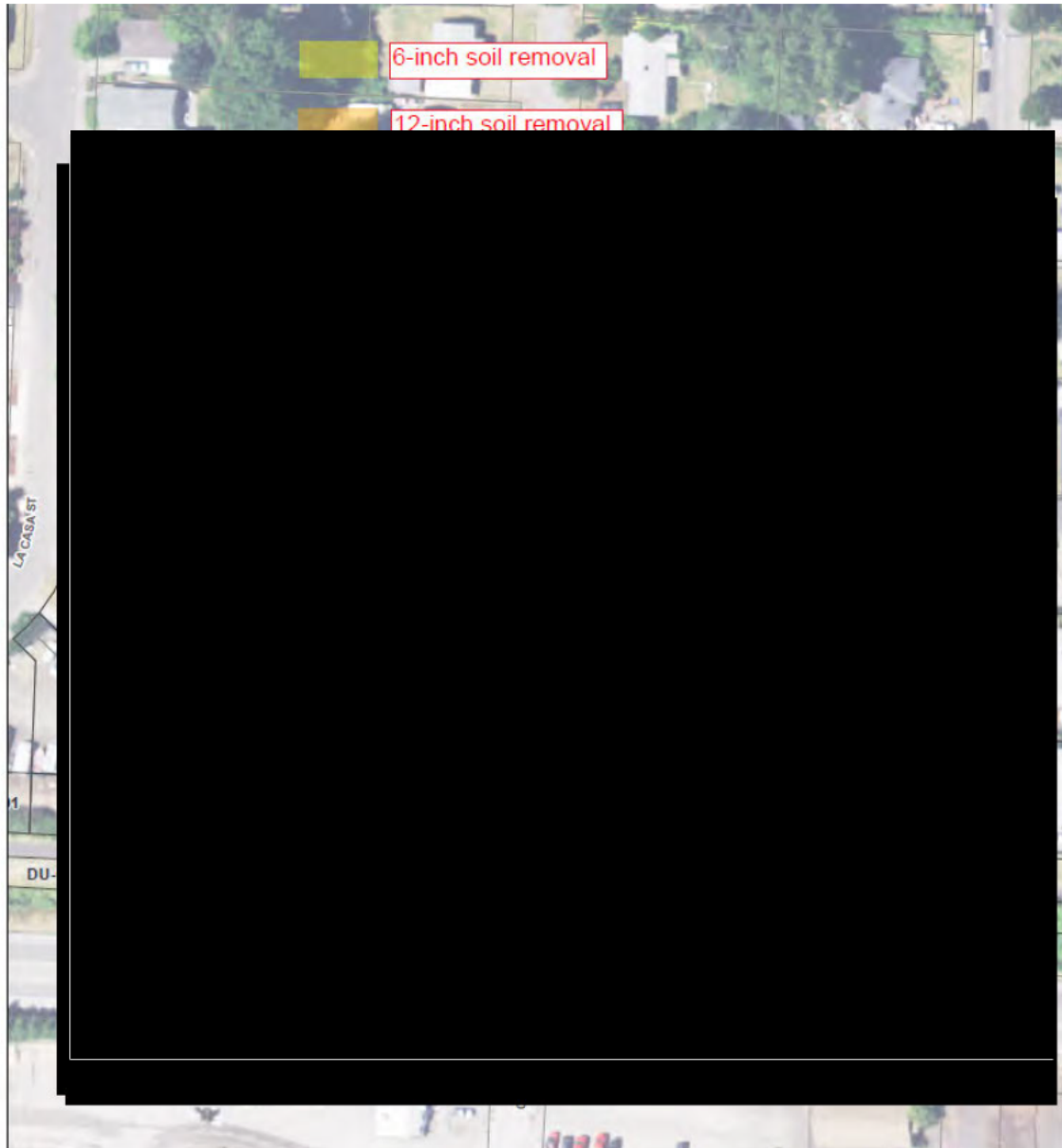


Figure 2

DU-09-01

Located on the southern portion of the property in the front yard (Figure 1, 01), tree 01 is a *Prunus* species with a diameter of 3', height of 7', and a crown spread of 8'. Due to the size and location of this tree and the depth of the soil removal, removal of the tree is recommended. This specimen can be transplanted if the tree is to be maintained. In the event of a transplant,

as much of the root mass as possible should be maintained with the excess soil to be washed off onsite.

DU-09-02

Located in the northern portion of the property in the backyard (Figure 1, 02), Tree 02 is a *Pseudotsuga menziesii* with a diameter of 16", a height of 50', and a crown spread of 20'. It has several defects: there is a wound at the base of the tree to the north (Figure 3), it has been previously topped, it is being suppressed by the larger *Sequoia* to the west, and it has an unbalanced canopy to the east (Figures 4 and 5). Due to the species, the size, and the required soil removal depth, this tree would experience significant root loss and structural instability. It is recommended this tree be removed, and the removal would best be done before the soil removal, to minimize the chances of an instability failure.

DU-09-03

Located in the northern portion of the property in the backyard (Figure 1, 03), Tree 03 is a *Sequoia sempervirens* with a diameter of 51", a height of 75', and a crown spread of 40'. It has been previously topped, and out of this topping cut several codominant stems have emerged (Figure 6 and 7). This species is known to fail at codominant stems and is a shallow rooted species. Due to the species, the size, and the required soil removal depth, this tree would experience significant root loss and structural instability. This tree removal would best be done before the soil removal, to minimize the chances that the instability would cause a failure.

Juglans nigra

There are several *Juglans nigras* that have sprouted across the property. These are poorly placed and were potentially planted through natural means i.e. squirrels or birds. There is a cluster to the western side (Figure 8 and 9) of the house and another has sprouted next to the power pole in the northeastern corner of the property (Figure 10). These trees should be removed prior to the soil removal and would pose a liability if they were maintained in the landscape.

Corylus avellana

Located along the northern fence line originating on the property to the north (██████████) is a *Corylus avellana* that would be impacted by the soil removal activity (Figure 11 and 12). To minimize the impacts to the root system, the soil should be vector excavated, hand dug, or other minimal impact excavation technique at a diameter of 5 feet from the center of the

multi-stem section invading DU-09. It is recommended that an arborist is onsite while the soil removal is conducted in this critical root zone to monitor potential root damage.



Figure 3



Figure 4



Figure 5



Figure 6



Figure 7



Figure 8



Figure 9



Figure 10



Figure 11



Figure 12



Basic Tree Risk Assessment Form

Client GSI Date 4/4/23 Time 1700
 Address/Tree location DU-09 Tree no. DU-09-1 Sheet 1 of 1
 Tree species Prunus Spp. dbh 3" Height 7' Crown spread dia. 8'
 Assessor(s) Cory Shields Time frame 3 Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Parked cars in driveway to south	✓	✓	✓	3	Y	Y
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Snow/ice on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Codominant failures/inclusions

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ House to north _____ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 70 %
 Dead twigs/branches ☒ 5 % overall Max. dia. <1"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☐ _____ Lightning damage ☐
 Codominant ☐ _____ Included bark ☐
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐ _____
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☒ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☒ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth Around wound
 Main concern(s) wound at trunk

Load on defect N/A ☐ Minor ☒ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth 3" Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																									
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)		
							Failure				Impact				Failure & Impact (from Matrix 1)										
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe			
1	Trunk	wound on trunk	2"	3'	1	N/A	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low	
2							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
3							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions Due to this species moderate tolerance of root pruning, its immature size, and the DBH, a 5" radius tree protection zone is recommended. This is assuming that the tree has not been transplanted.

Mitigation options

Residual risk

Residual risk

Residual risk

Residual risk

Overall tree risk rating

Low

Moderate

High

Extreme

Overall residual risk

Low

Moderate

High

Extreme

Data

Final

Preliminary

Advanced assessment needed

No

Yes-Type/Reason

Inspection limitations

None

Visibility

Access

Vines

Root collar buried

Describe

Work priority

1

2

3

4

Recommended inspection interval

Annual after work



Basic Tree Risk Assessment Form

Client GSI Date 4/4/23 Time 1730
 Address/Tree location DU-09 Tree no. DU-09-2 Sheet 1 of 1
 Tree species Psuedotsuga menziesii dbh 16" Height 50' Crown spread dia. 20'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet, binoculars

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to south		✓	✓	4	N	N
2	Shed to east	✓	✓	✓	4	N	N
3	Overhead communication lines to north	✓	✓	✓	4	N	N
4							

Site Factors

History of failures Small branches < 2" diameter Topography Flat ☒ Slope ☐ % Aspect
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Snow/ice on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 90 % Chlorotic % Necrotic 10 %
 Pests Redwood to west is nearby and suppressing this tree Abiotic Nails in trunk
 Species failure profile Branches ☒ Trunk ☐ Roots ☒ Describe Overextended branch failures, root plate failures

Load Factors

Wind exposure Protected ☒ Partial ☐ Full ☐ Wind funneling ☐ Redwood to west Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☒ Normal ☐ Dense ☐ Interior branches Few ☒ Normal ☐ Dense ☐ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 55 %
 Dead twigs/branches ☒ 10 % overall Max. dia. 3"
 Broken/Hangers Number Max. dia.
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☒ Lion-tailed ☐
 Flush cuts ☐ Other
 Cracks ☐ Lightning damage ☐
 Codominant ☒ Topped Included bark ☐
 Weak attachments ☐ Cavity/Nest hole % circ.
 Previous branch failures ☒ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around codominant stems originating from topping

Main concern(s) Unbalanced crown, codominant

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐ Both
 Likelihood of failure Improbable ☒ Possible ☒ Probable ☐ Imminent ☐ unbalanced crown-improbable, codominant-possible

— Trunk —

Dead/Missing bark ☒ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☒
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole % circ. Depth Poor taper ☒
 Lean 10 ° Corrected? Yes

Response growth Yes, around wounds

Main concern(s) wound at base to north

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure
 Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth 3" Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk
 Root plate lifting ☐ Soil weakness ☐

Response growth

Main concern(s) collar buried

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure
 Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Canopy	Codominant	4"	30'	1	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low
			4"	30'	2	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Mod
			4"	30'	3	Shed	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>
2	Canopy	Unbalanced crown	10"	25'	1	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low
			10"	25'	2	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low
			10"	25'	3	Shed	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>
3							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>
4							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions Due to this species' poor tolerance of root pruning, its immature size, and the DBH, a 16' radius tree protection zone is recommended. The poor condition of this tree may warrant removal if the tree protection zone cannot be maintained

Mitigation options Removal

Residual risk None

Residual risk

Residual risk

Residual risk

Overall tree risk rating Low ☐ Moderate ☒ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Recommended inspection interval Annual after work

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☒ Root collar buried Describe 3" deep



Basic Tree Risk Assessment Form

Client GSI Date 4/4/23 Time 1755
 Address/Tree location DU-09 Tree no. DU-09-3 Sheet 1 of 1
 Tree species Sequoia sempervirens dbh 51" Height 75' Crown spread dia. 40'
 Assessor(s) Cory Shields Time frame 3 Tools used Probe, mallet, binoculars

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to south	✓	✓	✓	4	N	N
2	House to southwest	✓	✓	✓	4	N	N
3	Overhead communication lines to north	✓	✓	✓	4	N	N
4							

Site Factors

History of failures Small branches < 2" diameter Topography Flat ☒ Slope ☐ % Aspect
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Snow/ice on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 95 % Chlorotic % Necrotic 5 %
 Pests Abiotic
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Codominant failures/inclusions

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☐ Dense ☒ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 65 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 2"
 Broken/Hangers Number Max. dia.
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☒ Lion-tailed ☐
 Flush cuts ☐ Other
 Cracks ☐ Lightning damage ☐
 Codominant ☒ Old topping cut Included bark ☐
 Weak attachments ☐ Cavity/Nest hole % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around codominant stems originating from topping
 Main concern(s)

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole % circ. Depth Poor taper ☐
 Lean ° Corrected?
 Response growth
 Main concern(s)

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk
 Root plate lifting ☐ Soil weakness ☐
 Response growth
 Main concern(s)

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Canopy	Codominant	18"	40'	1	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low		
			18"	40'	2	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low		
			18"	40'	3	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low	
2							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		
3							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		
4							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions Due to this species' good tolerance of root pruning, its immature size, and the DBH, a 26' radius tree protection zone is recommended.

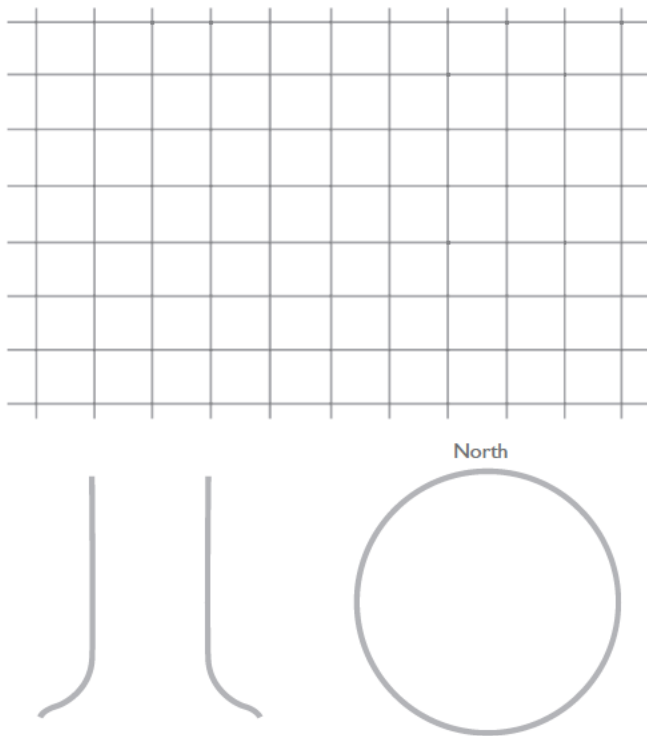
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____



June 2023

ARBORIST REPORT

Prepared by: Cory Shields of Spade Tree Preservation



➤➤ **DU-10 Report**



Arborist Report



Eugene, OR

Prepared for:

**Groundwater Solutions, Inc.
dba GSI Water Solutions, Inc.
650 NE Holladay St., Suite 900
Portland, OR 97232
for
JH Baxter Removal Action
Project Number: 02060.005.004**

Prepared by:

**Cory Shields
Certified Arborist PN-8292A
Tree Risk Assessment Qualified
International Society of Arboriculture
29110 Sheep Head Road
Brownsville, OR 97327**

Overview

This report covers the mitigation recommendations for the trees at [REDACTED] Street hereto referenced as DU-10. There are two total individual trees under the purview of this report, in addition to several smaller trees and shrubs not covered under the tree assessment forms. Figure 1 details the locations of the trees within DU-10. As detailed in Figure 2, soil removal on the eastern side of DU-10 will be at an 18-inch depth, which is where any potential tree impacts would be.



Figure 1

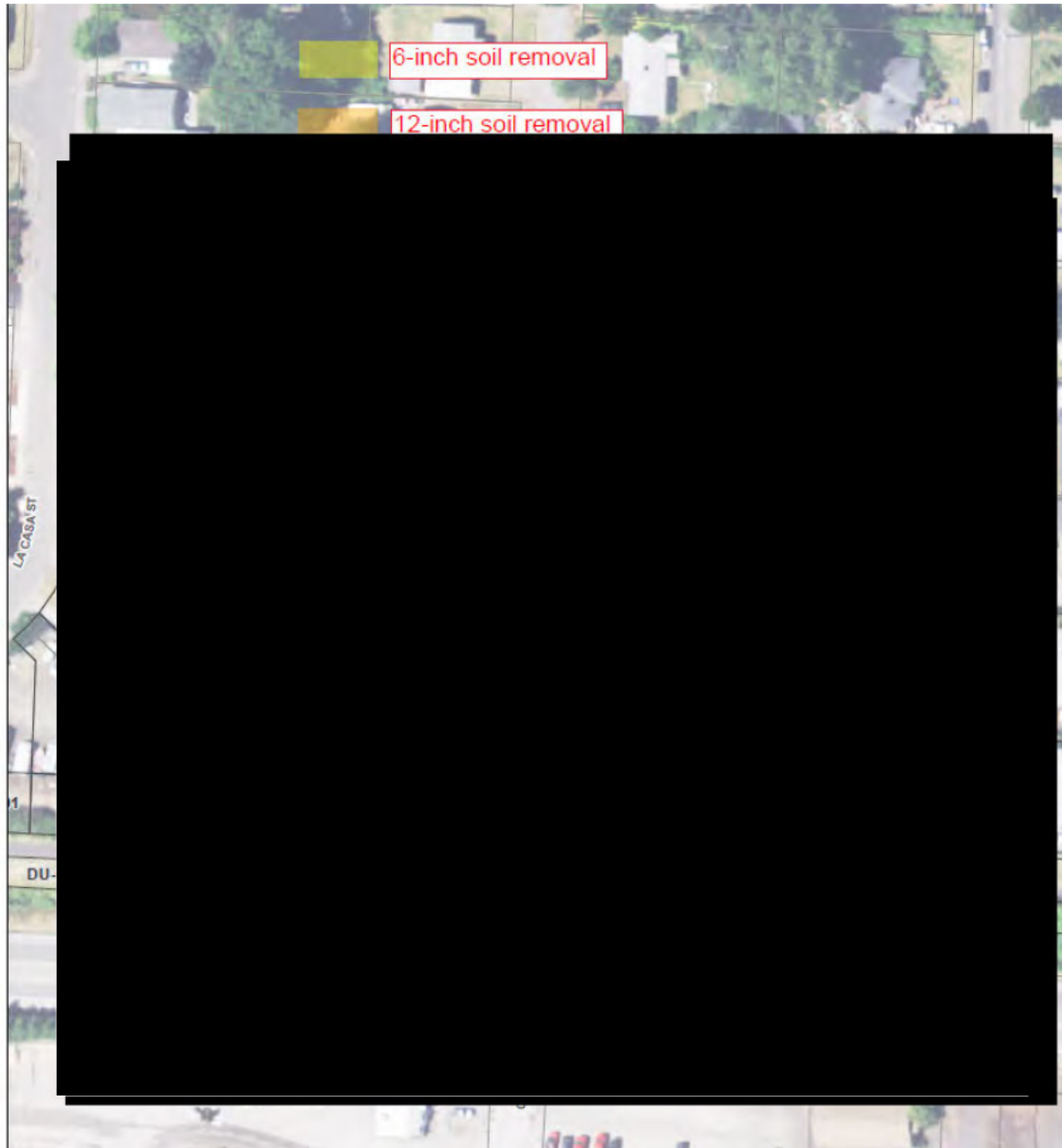


Figure 2

DU-10-01

Located on the eastern portion of the property in the front yard (Figure 1, 01), tree 01 is a *Psuedotsuga menziesii* with a diameter of 47", height of 85', and a crown spread of 40'. This tree originates in the City of Eugene right-of-way and to make any recommendations would be a conflict of interest. The best course of action would be to determine the extent of the soil removal in and near the tree and contact the City for options and their recommendations.

DU-09-02

Located to the north of tree 01 in the front yard near the driveway (Figure 1, 02), Tree 02 is an *Acer palmatum* with a diameter of 2", a height of 3', and a crown spread of 3' (Figures 3 and 4). Due to the species, the size, and the required soil removal depth, this tree would be best served by transplantation. This tree should be dug up to minimize root loss and once the tree is out of the ground, the soil can be washed off onsite. Care should be taken to place it in an appropriately sized container along with new planting soil. Once in the container with soil, it should be watered in to collapse air pockets and ensure the soil is covering cavities around the roots.

Shrubs

Located on the southern end of the property in a zone that may be on the property of DU-09 (██████████) are a line of shrubs (Figures 5 through 9). These shrubs should be transplanted, and the roots washed of all soil onsite.



Figure 3



Figure 4



Figure 5



Figure 6



Figure 7



Figure 8



Figure 9

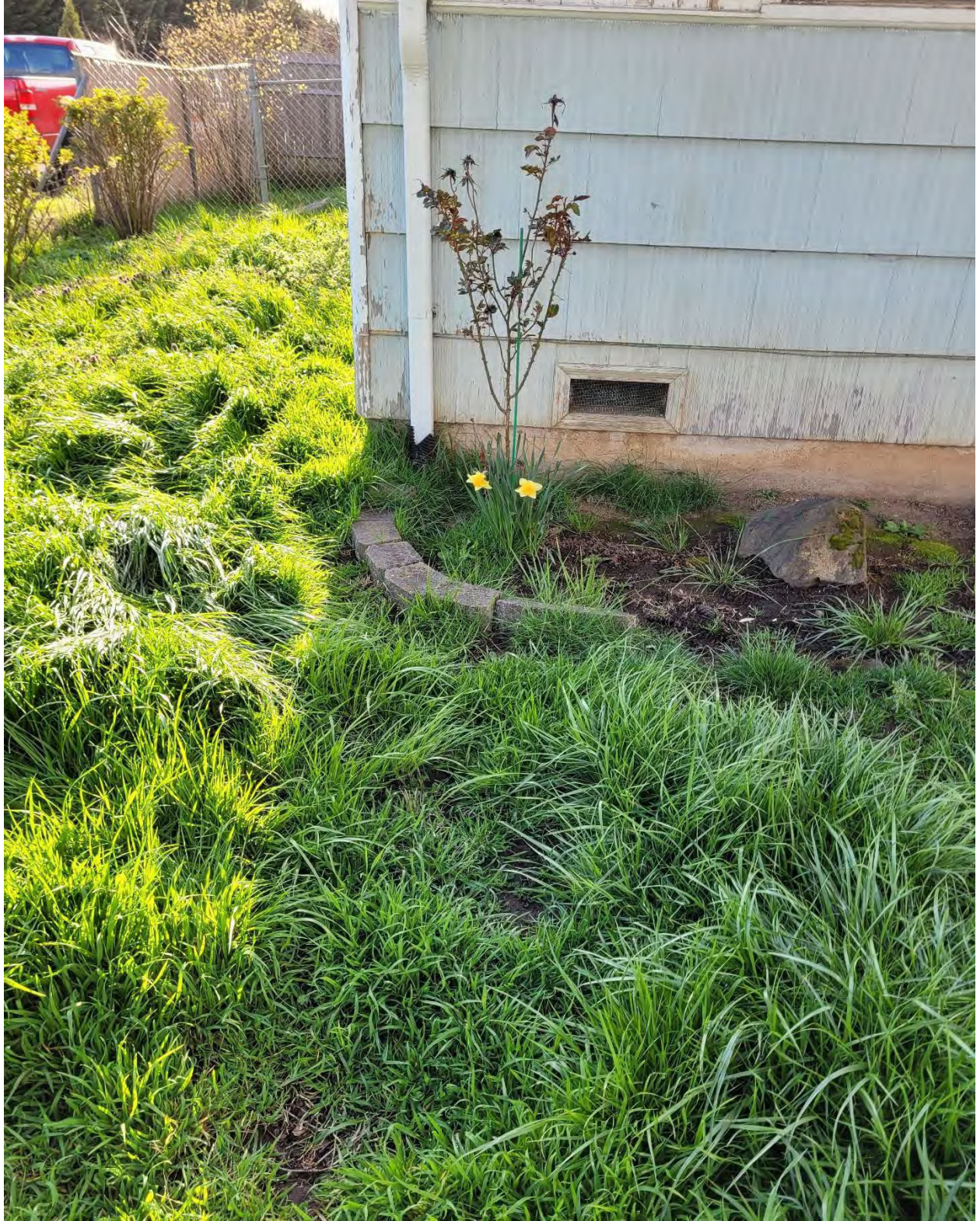


Figure 10



Basic Tree Risk Assessment Form

Client GSI Date 4-4-23 Time 1630
 Address/Tree location DU-10 Tree no. DU-10-1 Sheet 1 of 1
 Tree species Pseudotsuga menziesii dbh 47" Height 85' Crown spread dia. 40'
 Assessor(s) Cory Shields Time frame 3 years Tools used Probe, mallet, binoculars

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Traffic on road to east	✓	✓	✓	1	N	N
2	Telecom wires to east	✓	✓	✓	4	N	N
3	House to west		✓	✓	4	N	N
4							

Site Factors

History of failures Small broken branches Topography Flat ☒ Slope ☐ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☒ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☒ ~30 % Describe Paved road 7' to east
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic screws in trunk _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☒ Describe Overextended branch failures, root plate failures

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☐ Medium ☐ Large ☒
 Crown density Sparse ☐ Normal ☐ Dense ☒ Interior branches Few ☐ Normal ☐ Dense ☒ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 80 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 2"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☒ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☐ Lightning damage ☐
 Codominant ☒ Included bark ☒
 Weak attachments ☐ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around codominant union

Main concern(s) Topped codominant stem at ~60'

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐

Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☒ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☒
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean 5 ° Corrected? _____

Response growth Around codominant

Main concern(s) Codominant

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☒

Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐

Response growth _____

Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐

Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown	Codominant stems	14"	60'	1	Wires	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low	
			14"	50'	2	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low	
			14"	55'	3	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	Low	
2	Trunk	Codominant stems	30"	30'	1	Wires	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low	
			30"	30'	2	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	Low		
			30"	30'	3	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	Low		
3							<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>				
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>				
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>				
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>				

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions City of Eugene owned tree.

This species has Low tolerance of root disturbances and is a
mature specimen. Based on these factors and the DBH, a 59' radius
from the trunk tree protection zone is recommended. This specimen
is in COE ROW and root impacts should have COE approval.

Mitigation options Remove codominant in upper crown

Cable main codominant

Residual risk Low

Residual risk Low

Residual risk _____

Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Recommended inspection interval _____

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____



Basic Tree Risk Assessment Form

Client GSI Date 4-5-23 Time 1020
 Address/Tree location DU-10 Tree no. DU-10-2 Sheet 1 of 1
 Tree species Acer palmatum dbh 2" Height 3' Crown spread dia. 3'
 Assessor(s) Cory Shields Time frame 3 years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Fence	✓	✓	✓	1	Y	N
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 80 % Chlorotic _____ % Necrotic 20 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☐ Trunk ☐ Roots ☒ Describe Intolerant of root impacts

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ House 30' to west Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 70 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☒ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☒ Lightning damage ☐
 Codominant ☐ Included bark ☐
 Weak attachments ☐ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth _____
 Main concern(s) N/A

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☒ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☒
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean 5 ° Corrected? _____
 Response growth Around wound at base
 Main concern(s) Wound at base

Load on defect N/A ☐ Minor ☒ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☒ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☒ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth Around wound at base
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Trunk	Wound at base	3"	2'	1	N/A	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>					
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2							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>					
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>					
4							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>					
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>					

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions _____
 This species has Low tolerance of root disturbances and is a
 young specimen. Based on these factors and the DBH, a 5' radius
 from the trunk tree protection zone is recommended.

Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☐ Moderate ☐ High ☐ Extreme ☐ Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐
 Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐ Recommended inspection interval _____

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____

June 2023

ARBORIST REPORT

Prepared by: Cory Shields of Spade Tree Preservation





Arborist Report



Eugene, OR

Prepared for:

**Groundwater Solutions, Inc.
dba GSI Water Solutions, Inc.
650 NE Holladay St., Suite 900
Portland, OR 97232**

for

**JH Baxter Removal Action
Project Number: 02060.005.004**

Prepared by:

**Cory Shields
Certified Arborist PN-8292A
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International Society of Arboriculture
29110 Sheep Head Road
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Overview

This report covers the mitigation recommendations for the trees at [REDACTED] Baxter Street hereto referenced as DU-11. There are five total individual trees under the purview of this report, in addition to several smaller trees and shrubs not covered under the tree assessment forms. There is also a tree from [REDACTED] (DU-15) to the North that would be impacted by the soil removal efforts. Figure 1 details the locations of the trees within DU-11 and the hand dig area for the tree to the north. As detailed in Figure 2, soil removal on the southern side of DU-11 will be at an 18-inch plus depth, the northern side will be excavated to 12-inch depth.



Figure 1

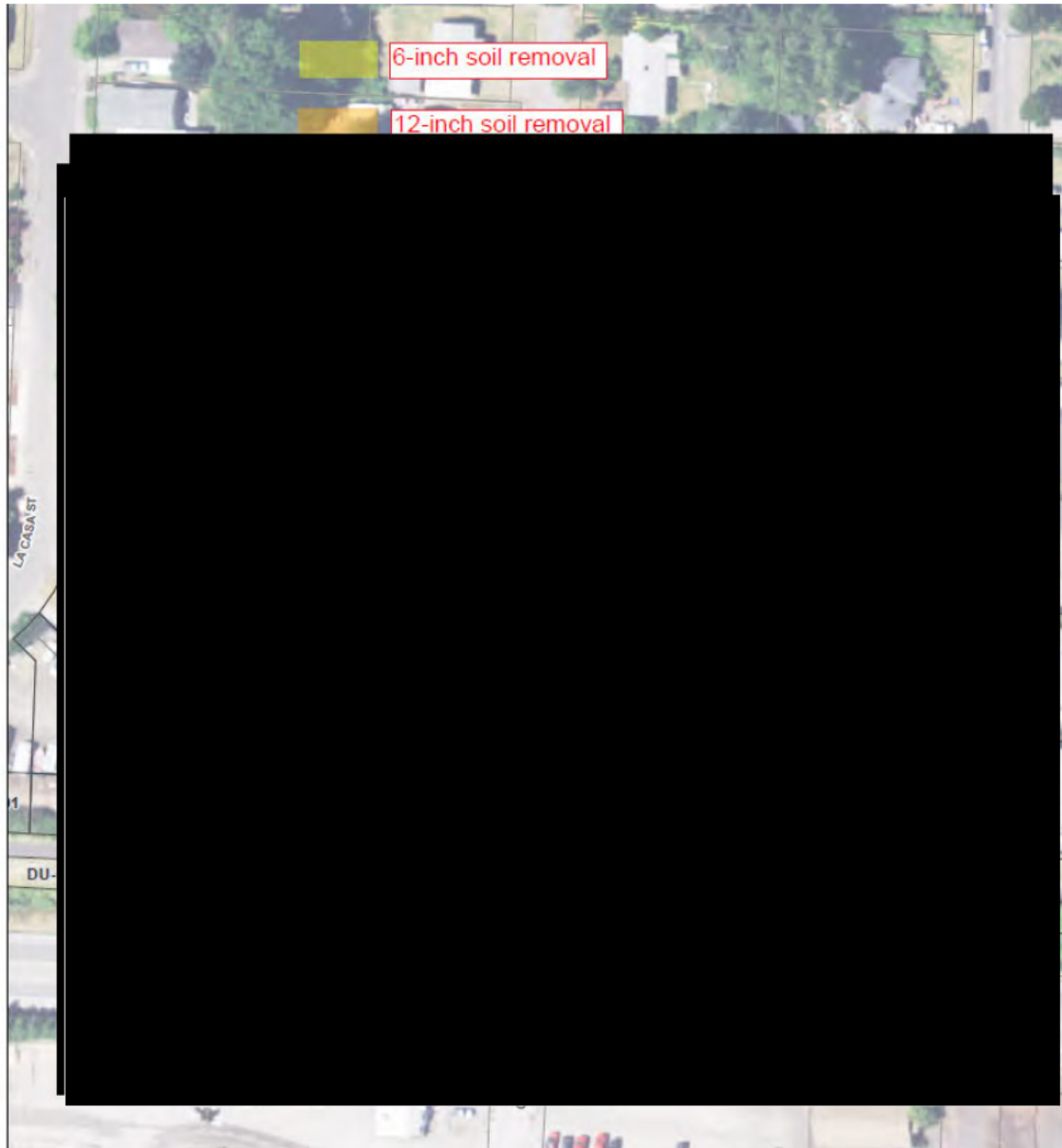


Figure 2

DU-11-01

Located on the western portion of the property in the front yard (Figure 1, 01), tree 01 is a *Picea pungens* with a diameter of 17", height of 40', and a crown spread of 30'. This individual has several issues and defects: it is located within 10' of the house and driveway (Figure 3), there are several wounds to the trunk, some with metal hardware, and there are codominant stems at 20' up the trunk (Figures 4 and 5). Due to the amount of soil removal, the defects in the tree,

and the proximity to targets, it is recommended that this tree is removed. The loss of soil volume would cause significant instability and/or considerable dieback in the specimen resulting in an unacceptable risk level. To reduce the risk of root failure, the tree should be removed prior to the soil removal.

DU-11-02

Located to the southern side of the property, to the southeast of the house (Figure 1, 02), Tree 02 is an *Psuedotsuga menziesii* with a diameter of 24", a height of 70', and a crown spread of 75' (Figure 6). The tree has several defects including a codominant stem at 40' up the main trunk and an unbalanced canopy to the south (Figures 7 and 8). This species has a poor tolerance of root disturbances, and, due to the depth of soil removal and the preexisting defects, it is recommended that this tree be removed. Due to the amount of soil removal, the defects in the tree, and the proximity to targets, it is recommended that this tree is removed. The loss of soil volume would cause significant instability and/or considerable dieback in the specimen resulting in an unacceptable risk level. To reduce the risk of root failure, the tree should be removed prior to the soil removal.

DU-11-03

Located to the southern side of the property, to the southeast of the house (Figure 1, 03), Tree 03 is a *Juglans nigra* with a diameter of 6", a height of 25', and a crown spread of 15'. The tree has a girdling branch wrapping around the main stem (Figure 9), is poorly sited next to the fence line, and is in close proximity to the road. This species has a poor tolerance of root disturbances, and, due to the depth of soil removal and the preexisting defects, it is recommended that this tree be removed. The loss of soil volume would cause significant instability and/or considerable dieback in the specimen resulting in an unacceptable risk level over the long term. The size of the tree would allow it to be removed at the same time as the soil removal action.

DU-11-04

Located to the eastern side of the property, to the east of the house in the backyard (Figure 1, 04), Tree 04 is an *Acer macrophyllum* with a multi-stem diameter of 26", a height of 50', and a crown spread of 40' (Figure 10). The tree has several defects including a codominant stem at the base of the tree and an unbalanced canopy to the south (Figures 11 and 12). This species has a poor tolerance of root disturbances. Due to the amount of soil removal, the defects in the tree, and the proximity to targets, it is recommended that this tree is removed. The loss of soil volume would cause significant instability and/or considerable dieback in the specimen resulting in an unacceptable risk level. To reduce the risk of root failure, the tree should be removed prior to the soil removal.

DU-11-05

Located to the north of tree 04 in the backyard (Figure 1, 05) tree 05 is a *Crataegus laevigata* with a multi-stem diameter of 11", a height of 35', and a crown spread diameter of 50' (Figure 13). The central stem has been removed, leaving two overextended scaffold branches to the east and west (Figures 14 and 15). The eastern branch has a large decay pocket in the trunk on the southern side at 5' (Figure 16). Due to the significant defects in this tree, removal is the recommended course of action. Maintaining the tree in the landscape after the soil removal would create an unacceptable level of risk. Due to the tree's size, the tree should be removed prior to the soil removal activities.

DU-15-06

Located on the property at [REDACTED] designated DU-15, along the southeastern fence line is a *Juglans nigra* (DU-15-06) with a diameter of 33" a height of 55' and a crown spread diameter of 45'. This tree's root system is incorporated by the property lines of DU-11. This tree is a high value, well established tree. While this species is typically intolerant of root disturbances, the soil removal level of 12" is within levels that should not significantly disrupt the tree specimen. The northeastern corner of DU-11 encompasses a portion of the critical root zone of DU-15-06, this zone is an approximate area of 30' to the west by 25' to the south beginning at the northeast corner. This section should be excavated by hand, an air or water assisted pressurized system, or a vactor excavator. This area can be marked by the consulting arborist and the excavation should be done with the consulting arborist onsite. After the excavation, the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay). Weekly watering should be performed for the following two summers. The tree should be monitored for a minimum of three years after the removal activity to ensure the tree's continued survival and stability.

Shrubs

Located on the northern side of the house, on the west side of the backyard fence are a line of shrubs and plants (Figure 17). These plants should be transplanted, with the native soil washed and removed.



Figure 3



Figure 4



Figure 5



Figure 6

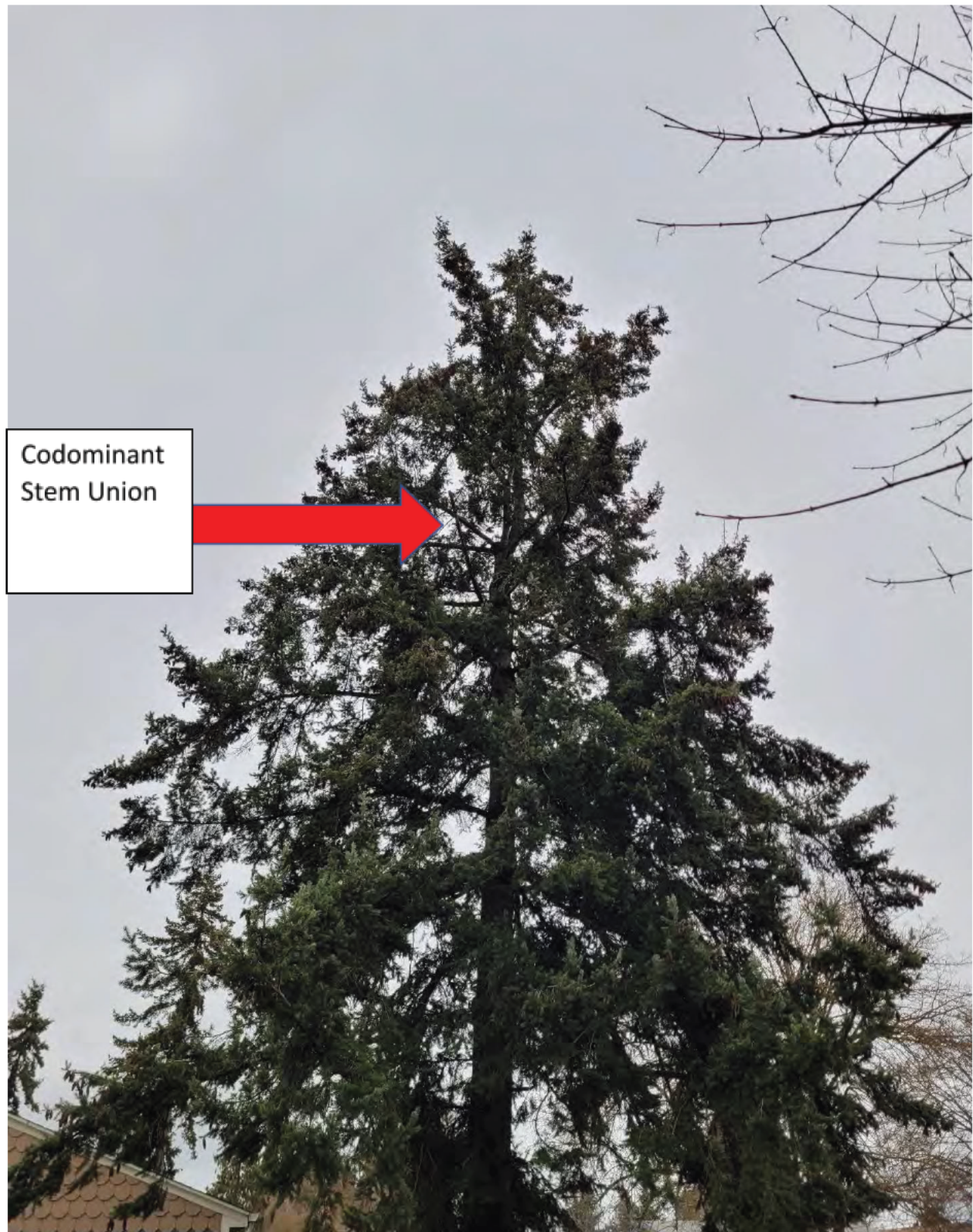


Figure 7



Figure 8



Figure 9



Figure 10



Figure 11



Figure 12



Figure 13



Figure 14



Figure 15



Figure 16



Figure 17



Basic Tree Risk Assessment Form

Client GSI Date 4-5-23 Time 1500
 Address/Tree location DU-11 Tree no. DU-11-1 Sheet 1 of 1
 Tree species Picea pungens dbh 17" Height 40' Crown spread dia. 30'
 Assessor(s) Cory Shields Time frame 3 years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to east		✓	✓	4	N	N
2	Traffic on road to west		✓	✓	1	N	N
3							
4							

Site Factors

History of failures None aparent Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☒ 25 % Describe Concrete 5' to S, 8" to E
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☐ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 90 % Chlorotic _____ % Necrotic 10 %
 Pests _____ Abiotic Nails in trunk _____
 Species failure profile Branches ☐ Trunk ☒ Roots ☐ Describe Codominant failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ House to east _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 60 %
 Dead twigs/branches ☒ 10 % overall Max. dia. 2"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other Stub cuts, tear out
 Main concern(s) Codominant

Cracks ☐ _____ Lightning damage ☐
 Codominant ☒ Stem 20' up Included bark ☒
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth Around codominant

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐ _____
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☒
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth Around old wounds
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown and Branches	Codominant	7"	25'	1	N/A															Low		
			7"	38"	2	N/A															Low		
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has moderate tolerance of root disturbances and is a young specimen. Based on these factors and the DBH, a 12' radius from the trunk tree protection zone is recommended.

Mitigation options N/A

Residual risk _____

Residual risk _____

Residual risk _____

Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

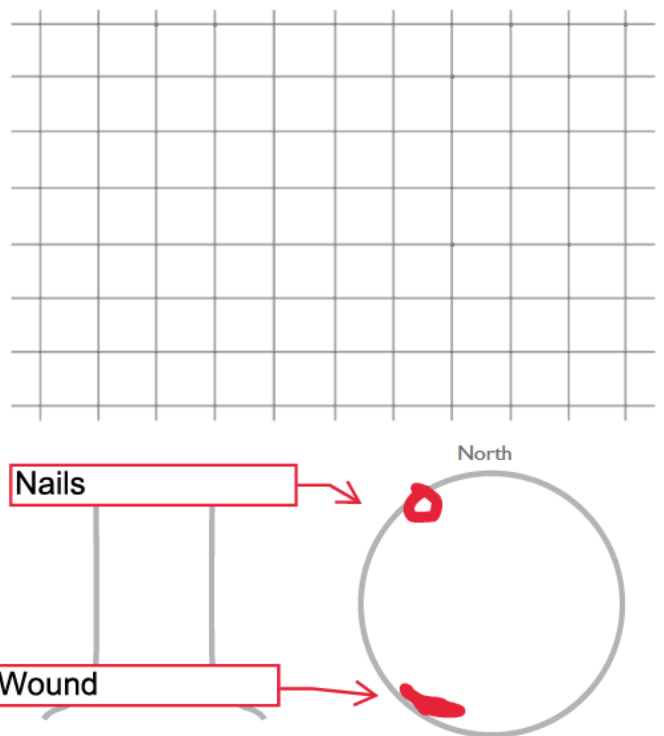
Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Recommended inspection interval _____

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4-5-23 Time 1520
 Address/Tree location DU-11 Tree no. DU-11-2 Sheet 1 of 1
 Tree species Psuedotsua Menziesii dbh 24" Height 70' Crown spread dia. 45'
 Assessor(s) Cory Shields Time frame 3 years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to northwest	✓	✓	✓	4	N	N
2	Traffic on road to S	✓	✓	✓	1	N	N
3							
4							

Site Factors

History of failures None aparent Topography Flat ☒ Slope ☐ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☒ 25 % Describe Concrete 5' to S, 8" to E
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☐ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic Graffiti on trunk
 Species failure profile Branches ☒ Trunk ☐ Roots ☒ Describe Overextend branch failures, root plate failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ House to northwest _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 90 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 2"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other Stubbed off branches
 Main concern(s) Codominant stem, unbalanced crown

Cracks ☐ _____ Lightning damage ☐
 Codominant ☒ _____ Included bark ☒
 Weak attachments ☒ At codominant Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth Around codominant

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐ For both _____
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐ For both _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☒ Distance from trunk 4'
 Root plate lifting ☐ Soil weakness ☐
 Response growth Around damaged root
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																											
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood																Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)												
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe					
1	Crown and Branches	Codominant unbalanced crown	12"	30'	1	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low				
			12"	30'	2	Fence	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	Low				
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>					
2							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>					
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3							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>						
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							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>						
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>						
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>						
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>						

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has low tolerance of root disturbances and is a young specimen. Based on these factors and the DBH, a 24' radius from the trunk tree protection zone is recommended. This specimen has some significant defects that could create hazards in the future.

Mitigation options N/A

Residual risk _____

Residual risk _____

Residual risk _____

Residual risk _____

Overall tree risk rating Low ☐ Moderate ☐ High ☐ Extreme ☐

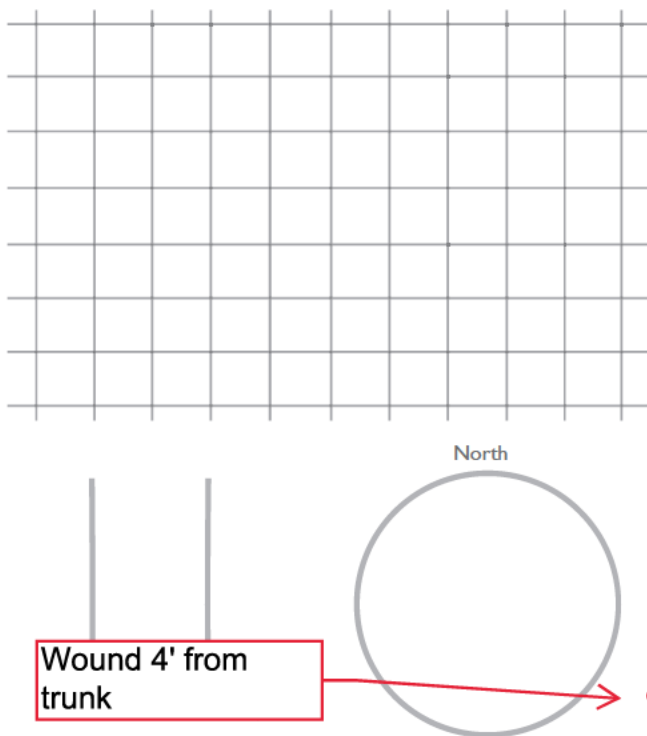
Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Recommended inspection interval _____

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4-5-23 Time 1600
Address/Tree location DU-11 Tree no. DU-11-3 Sheet 1 of 1
Tree species Juglans nigra dbh 6" Height 25' Crown spread dia. 15'
Assessor(s) Cory Shields Time frame 3 years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Traffic on road to south	✓	✓	✓	1	N	N
2							
3							
4							

Site Factors

History of failures None apparent Topography Flat ☒ Slope ☐ % Aspect _____
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☒ 25 % Describe Concrete 5' to S, 8" to E
Prevailing wind direction W Common weather Strong winds ☒ Ice ☐ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 98 % Chlorotic _____ % Necrotic 2 %
Pests _____ Abiotic _____
Species failure profile Branches ☒ Trunk ☐ Roots ☒ Describe Overextend branch failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to south and east _____ Relative crown size Small ☒ Medium ☐ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 98 %
Dead twigs/branches ☒ 2 % overall Max. dia. 0.5"
Broken/Hangers Number _____ Max. dia. _____
Over-extended branches ☐
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☒
Reduced ☐ Topped ☐ Lion-tailed ☐
Flush cuts ☐ Other Girdling branch
Cracks ☐ _____ Lightning damage ☐
Codominant ☒ _____ Included bark ☐
Weak attachments ☒ _____ Cavity/Nest hole _____ % circ.
Previous branch failures ☐ _____ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐ _____
Response growth _____
Main concern(s) Girdling branch

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐ _____
Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☒ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
Lean _____ ° Corrected? _____
Response growth Around girdling branch
Main concern(s) Girdling branch

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
Likelihood of failure
Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth 0.5" Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ _____ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
Root plate lifting ☐ Soil weakness ☐
Response growth Around damaged root
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure
Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																						
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood								Consequences				Risk rating of part (from Matrix 2)			
							Failure				Impact				Failure & Impact (from Matrix 1)							
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely		Negligible	Minor	Significant
1	Crown and Branches	Girdling branch	3"	15'	1	Fence																Low
2																						
3																						
4																						

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has low tolerance of root disturbances and is a young specimen. Based on these factors and the DBH, a 6' radius from the trunk tree protection zone is recommended. This specimen has some significant defects that could create hazards in the future.

Mitigation options N/A

Residual risk

Residual risk

Residual risk

Residual risk

Overall tree risk rating Low ☐ Moderate ☐ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Recommended inspection interval

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☒ Root collar buried Describe



Basic Tree Risk Assessment Form

Client GSI Date 4-5-23 Time 1615
 Address/Tree location DU-11 Tree no. DU-11-4 Sheet 1 of 1
 Tree species Acer macrophyllum dbh 26" Multistem Height 50' Crown spread dia. 40'
 Assessor(s) Cory Shields Time frame 3 years Tools used Probe, binoculars, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Shed to northwest		✓	✓	4	N	N
2							
3							
4							

Site Factors

History of failures Broken branches Topography Flat ☒ Slope ☐ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☐ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic Chain included in trunk _____
 Species failure profile Branches ☒ Trunk ☒ Roots ☐ Describe Codominant stem failures, inclusions

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Trees to north _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 60 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 2"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☐ _____ Lightning damage ☐
 Codominant ☒ _____ Included bark ☐
 Weak attachments ☒ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth _____
 Main concern(s) Deadwood

Load on defect N/A ☐ Minor ☒ Moderate ☐ Significant ☐ _____
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☒ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth Around girdling chain
 Main concern(s) Codominant

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☒
 Likelihood of failure
 Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☒ Distance from trunk 4'
 Root plate lifting ☐ Soil weakness ☐
 Response growth Around chain
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																					
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood								Consequences				Risk rating of part (from Matrix 2)		
							Failure				Impact									Failure & Impact (from Matrix 1)	
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely		Negligible	Minor
1	Trunk	Codominant stems	10"	30'	1	Fence															Low
2																					
3																					
4																					

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has low tolerance of root disturbances and is a mature specimen. Based on these factors and the DBH, a 33' radius from the trunk tree protection zone is recommended.

Mitigation options N/A

Residual risk _____

Residual risk _____

Residual risk _____

Residual risk _____

Overall tree risk rating Low ☐ Moderate ☐ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Recommended inspection interval _____

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____



Basic Tree Risk Assessment Form

Client GSI Date 4-5-23 Time 1630
 Address/Tree location DU-11 Tree no. DU-11-5 Sheet 1 of 1
 Tree species Crataegus laevigata dbh 11" Multistem Height 35' Crown spread dia. 50'
 Assessor(s) Cory Shields Time frame 3 years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Fence to north and east	✓	✓	✓	4	N	N
2							
3							
4							

Site Factors

History of failures Central stem appears to have failed Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☐ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☒ Roots ☐ Describe Codominant stem failures, weak attachment

Load Factors

Wind exposure Protected ☒ Partial ☐ Full ☐ Wind funneling ☐ Trees to northeast & south Relative crown size Small ☐ Medium ☐ Large ☒
 Crown density Sparse ☒ Normal ☐ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 55 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 1"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other Stub cuts
 Cracks ☐ _____ Lightning damage ☐
 Codominant ☐ _____ Included bark ☐
 Weak attachments ☒ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around decay pockets
 Main concern(s) overextended branches

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☒
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☒ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole 15 % circ. Depth 4" Poor taper ☐
 Lean 45 ° Corrected? No
 Response growth Around codominant and wounds
 Main concern(s) Codominant

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☒
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Trunk	Codominant stems	5"	20'	1	N/A																	
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has moderate tolerance of root disturbances and is a mature specimen. Based on these factors and the DBH, a 11' radius from the trunk tree protection zone is recommended. This specimen has significant defects and is not worth retaining

Mitigation options Removal

Residual risk None

Residual risk

Residual risk

Residual risk

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Recommended inspection interval

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe

June 2023

ARBORIST REPORT

Prepared by: Cory Shields of Spade Tree Preservation





Arborist Report



Eugene, OR

Prepared for:

**Groundwater Solutions, Inc.
dba GSI Water Solutions, Inc.
650 NE Holladay St., Suite 900
Portland, OR 97232
for
JH Baxter Removal Action
Project Number: 02060.005.004**

Prepared by:

**Cory Shields
Certified Arborist PN-8292A
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Overview

This report covers the mitigation recommendations for the trees at [REDACTED] Street hereto referenced as DU-15. There are five total individual trees under the purview of this report, in addition to several smaller trees and shrubs not covered under the tree assessment forms. Figure 1 details the locations of the trees. Table 1 lists the characteristics of tree number 03 through 05 as well as trees 07 through 15. As detailed in Figure 2, soil removal on the entirety of DU-15 will be excavated to a 12-inch depth.

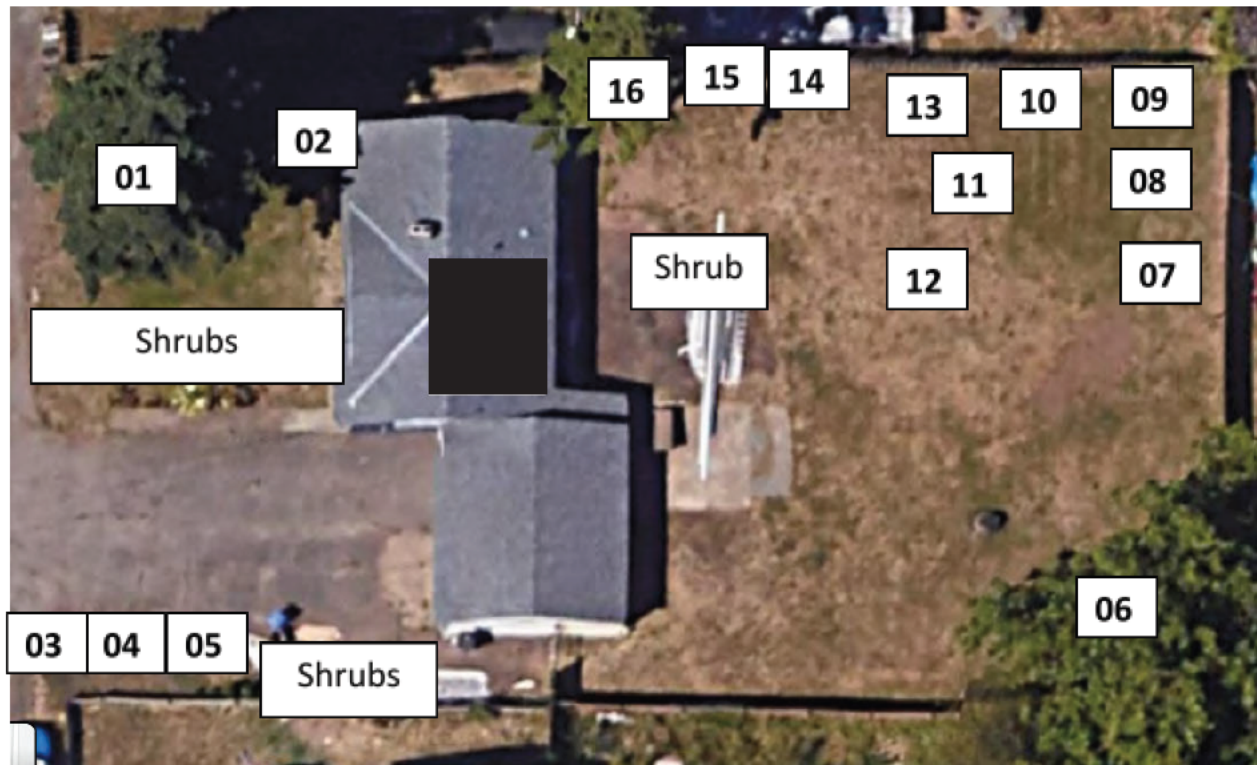


Figure 1

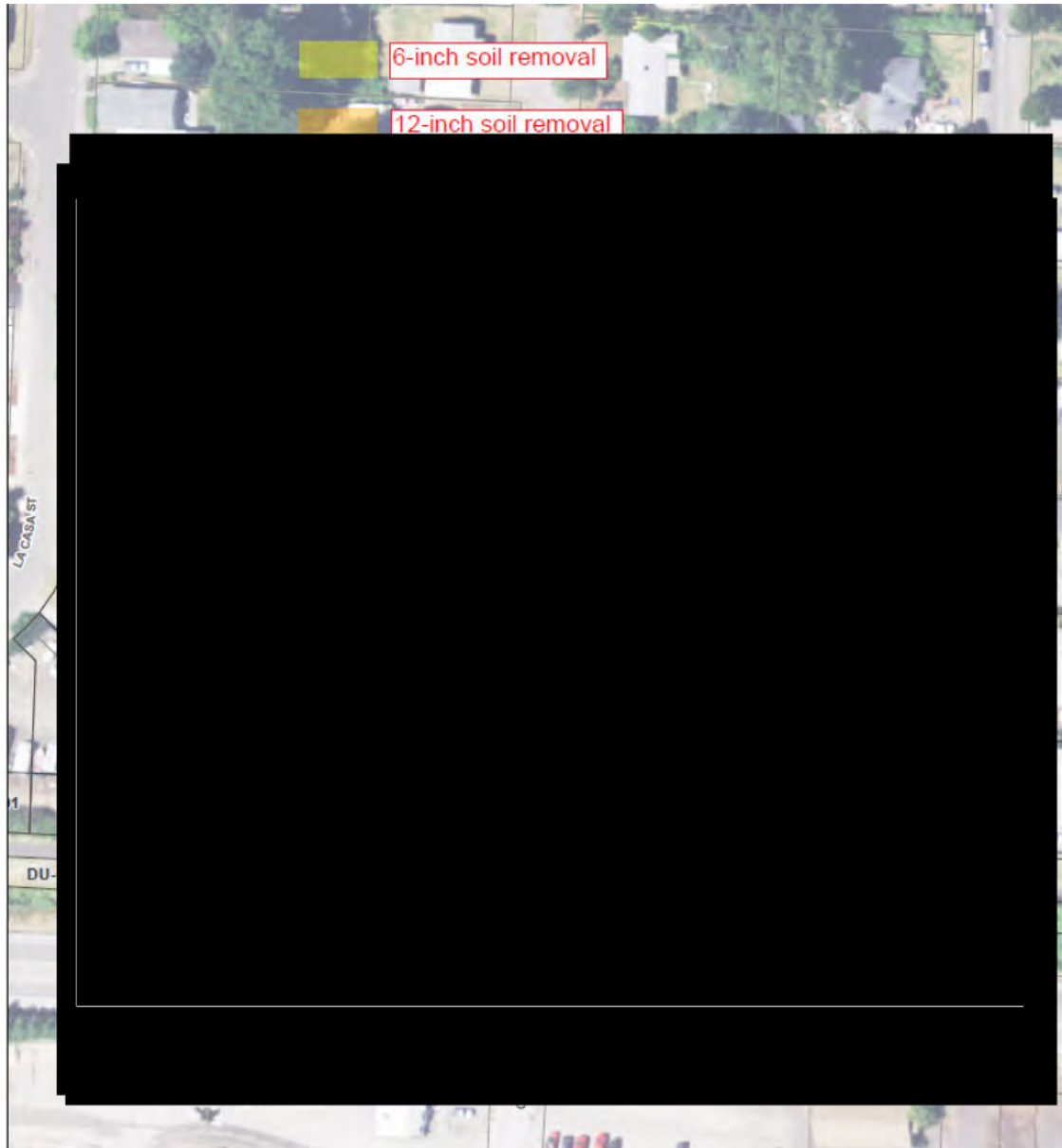


Figure 2

Table 1 Recommendations

Table 1 lists the characteristics of trees 03-05 and 07-15 since all of these individuals are close in size and mitigation activities. For each tree, transplant is the best option for long-term success. These trees should be dug up to minimize root loss and once they are out of the ground, the soil can be washed off onsite. Care should be taken to place them in an appropriately sized container along with new planting soil. Once in the container with soil, they should be watered

in to collapse air pockets and ensure the soil is covering cavities around the roots. The trees can be replanted once the fall/winter rains set in. If transplanting is not an option for any of the individual trees, a radius of 2' from the trunk should be excavated by hand, an air or water assisted pressurized system, or a vactor excavator. After the excavation, the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, and the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay). Weekly watering should be performed for the following two summers. The trees should be monitored for a minimum of three years after the removal activity to ensure the trees' continued survival and stability.

Table 1

Tree Number From Figure 1	Species	Diameter (Inches)	Height (Feet)	Crown Spread Diameter (Feet)
03	<i>Sequoia sempervirens</i>	1	5	4
04	<i>Calocedrus decurrens</i>	1	3	3
05	<i>Calocedrus decurrens</i>	1	3	3
07	<i>Malus domestica</i> 'Fuji'	1	5	2
08	<i>Pyrus communis</i> 'Bartlett'	1	4	3
09	<i>Pyrus pyrifolia</i>	1	5	2
10	<i>Prunus maritima</i>	1	3	2
11	<i>Prunus avium</i> 'Lapins'	1	4	2
12	<i>Ficus carica</i>	1	2	2
13	<i>Prunus persica</i>	1	5	2
14	<i>Sequoia sempervirens</i>	1	6	5
15	<i>Sequoia sempervirens</i>	1	4	3

DU-15-01

Located on the northwestern portion of the property in the front yard (Figure 1, 01), tree 01 is a *Psuedotsuga menziesii* with a diameter of 31", height of 75', and a crown spread of 35' (Figure 3). This tree is a high value, well established tree. While this species is typically intolerant of root

disturbances, the soil removal level of 12" is within levels that should not significantly disrupt the health or stability of the specimen. While there are some defects with the individual tree (overextended branches, hanger, root crown buried), these defects can be corrected to reduce the overall risk of the tree, and, in the case of the root crown, the soil excavation will mitigate the issue. The area within a 17' radius or a 35' diameter with the trunk as the center point should be excavated by hand, an air or water assisted pressurized system, or a vactor excavator. This area is the critical root zone, and no heavy equipment should be operated or staged within it. The excavation should be done with the consulting arborist onsite. After the excavation, the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, and the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay). Weekly watering should be performed for the following two summers. The tree should be monitored for a minimum of three years after the removal activity to ensure the tree's continued survival and stability.

DU-15-02

Located to the southern side of the property, to the southeast of the house (Figure 1, 02), Tree 02 is an *Ilex aquifolium* with a multi-stem diameter of 6", a height of 10', and a crown spread of 8' (Figure 4). The tree has several defects including codominant stems at the base, and *Ilex aquifolium* are an invasive species in Oregon, especially in, or near, riparian areas. This species has a good tolerance of root disturbances, but, due to the preexisting defects and invasive issue, it is recommended that this tree be removed. This tree is small enough that it can be removed at the same time as the soil removal activity.

DU-15-06

Located at the southeastern corner of DU-15, is a *Juglans nigra* (Figure 1, 06) with a diameter of 33" a height of 55' and a crown spread diameter of 45' (Figure 5). This tree's root system is incorporated by the property lines of DU-11. This tree is a high value, well established tree. While this species is typically intolerant of root disturbances, the soil removal level of 12" is within levels that should not significantly disrupt the tree specimen. The disturbances will also only encapsulate approximately 50% of the rooting area of the tree between properties DU-11 and DU-15. The southeastern corner of DU-15 encompasses a portion of the critical root zone, this zone is an approximate area of 30' to the west by 30' to the north beginning at the southeast corner. This area is the critical root zone, and no heavy equipment should be operated or staged within it. This section should be excavated by hand, an air or water assisted pressurized system, or a vactor excavator. This area can be marked by the consulting arborist and the excavation should be done with the consulting arborist onsite. After the excavation, the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay), and weekly watering should be performed for the

following two summers. The tree should be monitored for a minimum of three years after the removal activity to ensure the tree's continued survival and stability.

DU-11-16

Located on the northeastern corner of the house in the backyard (Figure 1, 16), tree 16 is a *Psuedotsuga menziesii* with a diameter of 27", a height of 75', and a crown spread diameter of 35' (Figure 6). There was a codominant stem that was removed to the eastern side of the tree, leaving the western stem unbalanced (Figures 7 and 8). The cut is too large for the tree to reasonably compartmentalize, and the unbalanced nature of the remaining stem creates a risk to the houses to the northwest and southwest. Due to the amount of soil removal, the defects in the tree, and the proximity to targets, it is recommended that this tree is removed. The loss of soil volume would cause significant instability and/or considerable dieback in the specimen resulting in an unacceptable risk level. To reduce the risk of root failure, the tree should be removed prior to the soil removal.

Shrubs

Located on the western side of the house, the southern side of the driveway, and in the backyard are a series of shrubs (Figures 9-14). These plants should be transplanted, with the native soil washed and removed.



Figure 3



Figure 4



Figure 5



Figure 6



Figure 7



Figure 8



Figure 9



Figure 10



Figure 11



Figure 12



Figure 13



Figure 14



Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1545
 Address/Tree location DU-15 Tree no. DU-15-1 Sheet 1 of 1
 Tree species Pseudotsuga menziesii dbh 31" Height 75' Crown spread dia. 35'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet, binoculars

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to east		✓	✓	4	N	N
2	Traffic on road to west	✓	✓	✓	1	N	N
3	House to northeast		✓	✓	4	N	N
4	Power-lines to west		✓	✓	4	N	N

Site Factors

History of failures Branch failures ~3-5" Topography Flat ☒ Slope ☐ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☒ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe Planter 2' from trunk
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☒ Describe Overextended branch failures, root plate failure

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 70 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 2"
 Broken/Hangers Number 1 Max. dia. 4"
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other Stub cuts
 Cracks ☐ Lightning damage ☐
 Codominant ☐ Included bark ☐
 Weak attachments ☐ Cavity/Nest hole _____ % circ.
 Previous branch failures ☒ stubs remain Similar branches present ☒
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth _____
 Main concern(s) Overextended branches, hanger

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☒ overextended: possible, hanger: imminent

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☒
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) Sap ooze

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☒
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth ~8" Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☒ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth Around exposed, damaged roots
 Main concern(s) planter girdles root growth, damaged roots

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☒
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown and Branches	Overextended branches	4"	20'	2	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low	
			4"	20'	3	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low	
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	
2	Crown and Branches	Hanger	4"	50'	2	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Mod	
			4'	50'	3	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Mod	
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	
3	Roots and root collar	Planter	25"	30'	2		<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low	
			25"	30'	3		<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low	
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	
4							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has low tolerance of root disturbances and is a mature specimen. Based on these factors and the DBH, a 39' radius from the trunk tree protection zone is recommended.

Mitigation options 1. Prune overextended branches

2. remove hanger

Residual risk Low

Residual risk low

Residual risk

Residual risk

Overall tree risk rating Low ☐ Moderate ☒ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Recommended inspection interval Annual after work

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe



Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1620
 Address/Tree location DU-15 Tree no. DU-15-2 Sheet 1 of 1
 Tree species Ilex aquifolium dbh 6" multitem Height 10 Crown spread dia. 8
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet, binoculars

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to east		✓	✓	4	N	N
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 98 % Chlorotic _____ % Necrotic 2 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☐ Trunk ☐ Roots ☐ Describe Branch Inclusion

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ House to east _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 100 %
 Dead twigs/branches ☒ 2 % overall Max. dia. <0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☐
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Main concern(s) N/A

Cracks ☐ _____ Lightning damage ☐
 Codominant ☐ _____ Included bark ☐
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around old cuts

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A																					
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has good tolerance of root disturbances and is an immature specimen. based on these factors and the DBH a 5" radius around the trunk is the recommended tree protection zone. However, this species is invasive near riparian areas, so removal is the best option

Mitigation options

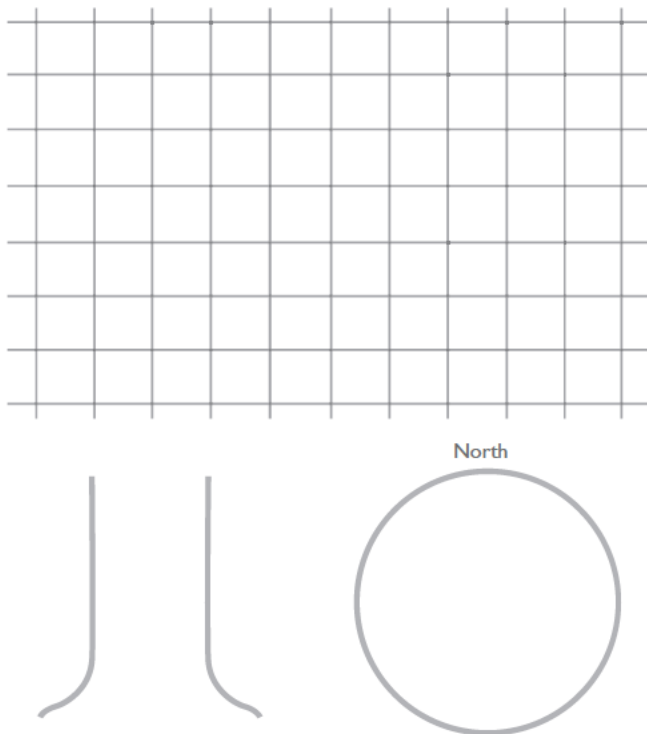
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1630
 Address/Tree location DU-15 Tree no. DU-15-3 Sheet 1 of 1
 Tree species Sequoia sempervirens dbh 1" Height 5' Crown spread dia. 4
 Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 98 % Chlorotic _____ % Necrotic 2 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion, codominant branch failures

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 100 %
 Dead twigs/branches ☒ 2 % overall Max. dia. <0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☐
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Main concern(s) N/A

Cracks ☐ Lightning damage ☐
 Codominant ☐ Included bark ☐
 Weak attachments ☐ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around old cuts

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) Bag/plastic around base

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A					<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
2							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has good tolerance of root disturbances and is an immature specimen. based on these factors and the DBH a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted

Mitigation options

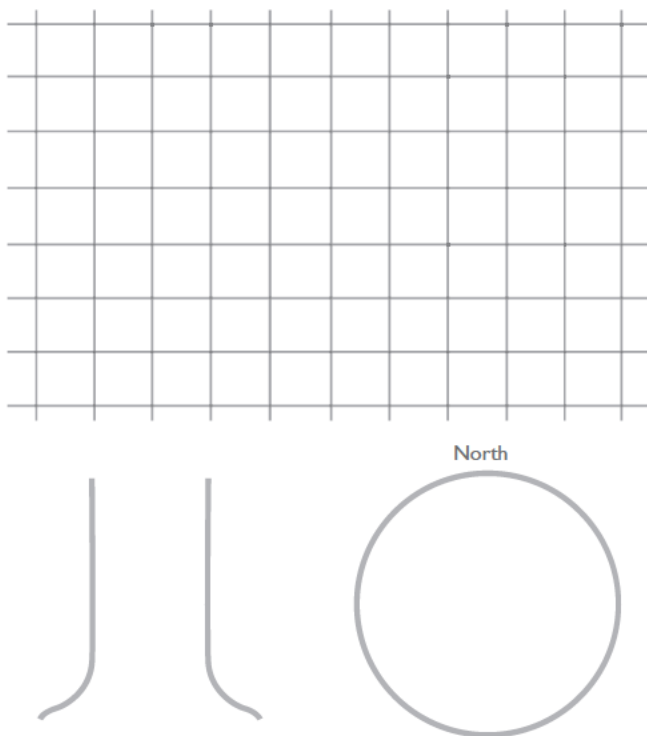
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1630
 Address/Tree location DU-15 Tree no. DU-15-4 Sheet 1 of 1
 Tree species Calocedrus decurrens dbh 1" Height 3' Crown spread dia. 3'
 Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests grass growing into foliage Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion, codominant branch failures

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 60 %
 Dead twigs/branches ☒ 5 % overall Max. dia. <0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☐
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☐ Lightning damage ☐
 Codominant ☐ Included bark ☐
 Weak attachments ☐ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth _____

Main concern(s) N/A

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____

Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐

Response growth _____
 Main concern(s) Bag/plastic around base

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A																					
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has moderate tolerance of root disturbances and is an immature specimen. based on these factors and the DBH a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted.

Mitigation options

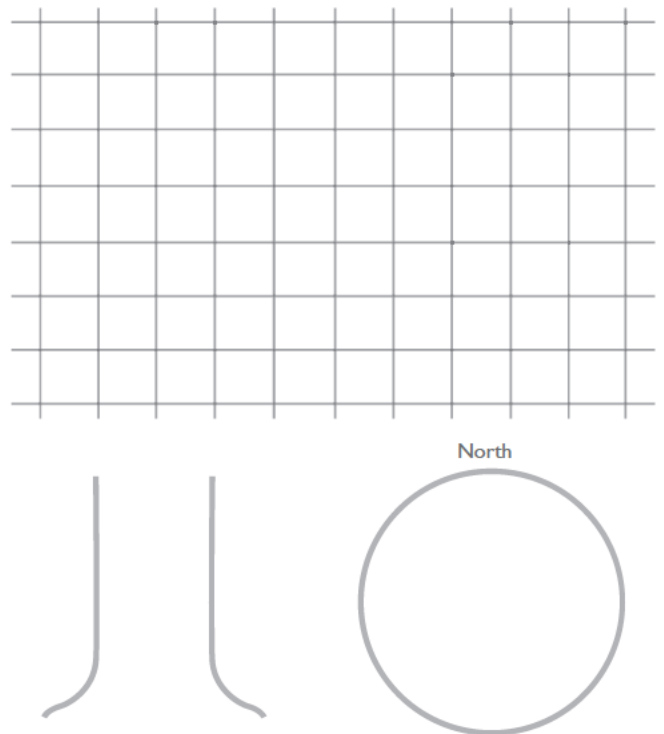
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____



Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Recommended inspection interval Annual after work _____



Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1630
 Address/Tree location DU-15 Tree no. DU-15-5 Sheet 1 of 1
 Tree species Calocedrus decurrens dbh 1" Height 3' Crown spread dia. 3'
 Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests grass growing into foliage Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion, codominant branch failures

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 60 %
 Dead twigs/branches ☒ 5 % overall Max. dia. <0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☐
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☐ Lightning damage ☐
 Codominant ☐ Included bark ☐
 Weak attachments ☐ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth _____
 Main concern(s) N/A

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) Bag/plastic around base

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A																					
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has moderate tolerance of root disturbances and is an immature specimen. based on these factors and the DBH a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted.

Mitigation options

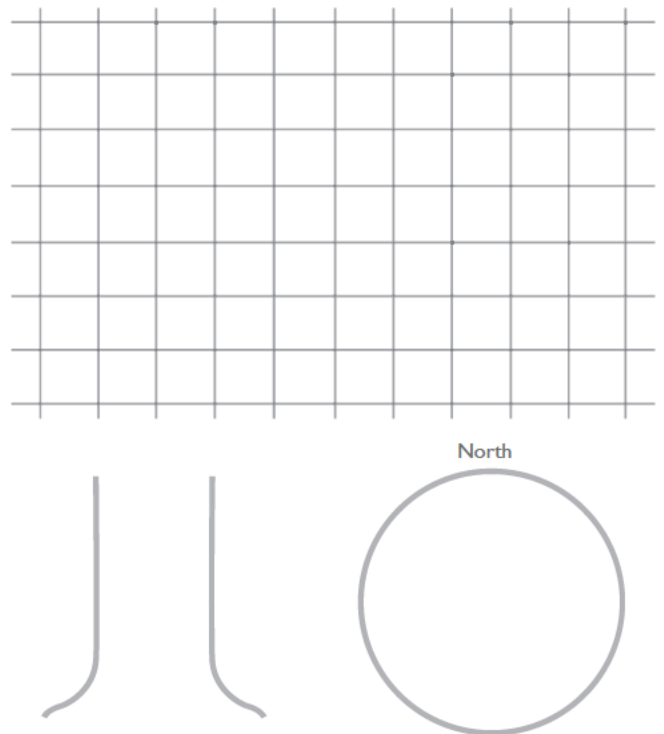
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1730
 Address/Tree location DU-15 Tree no. DU-15-6 Sheet 1 of 1
 Tree species Juglans nigra dbh 33" Height 55' Crown spread dia. 45'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet, binoculars

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Shed to northeast	✓	✓	✓	4	N	N
2	Fence to south and east	✓	✓	✓	4	N	N
3	Chicken coop to northwest	✓	✓	✓	4	Y	N
4							

Site Factors

History of failures Branch failures ~3-5" Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☒ Pavement over roots ☐ _____ % Describe gravel piled along south trunk
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 90 % Chlorotic _____ % Necrotic 10 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☒ Describe Overextended branch failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Trees to southwest _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 90 %
 Dead twigs/branches ☒ 10 % overall Max. dia. 3"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Main concern(s) Overextended branches, dead branches

Cracks ☐ _____ Lightning damage ☐
 Codominant ☒ 8' up Included bark ☐
 Weak attachments ☒ sprouts at old cuts Cavity/Nest hole _____ % circ.
 Previous branch failures ☒ overextended Similar branches present ☒
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around old wounds/cuts

Load on defect N/A ☐ Minor ☒ Moderate ☒ Significant ☐ Overextended: moderate, dead branches: minor
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) codominant stem

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☒
 Likelihood of failure
Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth 2-3" Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown and Branches	Overextended branches	6"	20'	1	Fence	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low		
			6"	25'	2	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low		
			6"	25'	3	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low	
2			3"	20'	1	Fence	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low		
			3"	25'	2	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low		
			3"	25'	3	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low		
3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>			
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>			
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has low tolerance of root disturbances and is a mature specimen. Based on these factors and the DBH, a 42' radius from the trunk tree protection zone is recommended.

Mitigation options 1. Prune overextended branches

2. remove deadwood

Residual risk Low

Residual risk Low

Residual risk

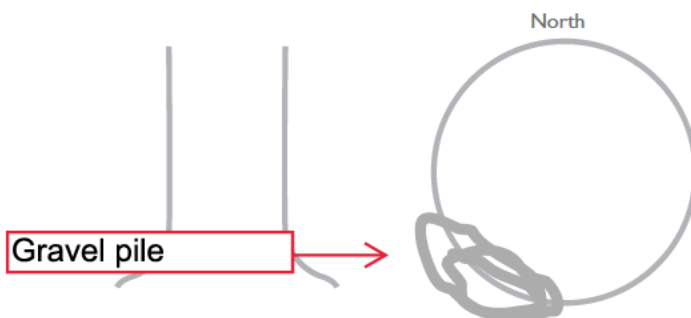
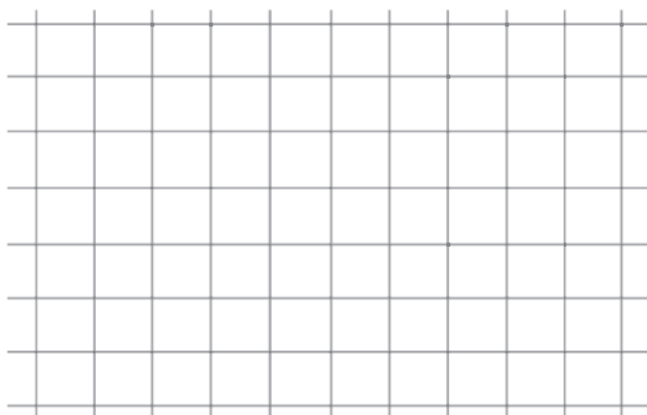
Residual risk

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☒ Root collar buried Describe Gravel to south side piled against trunk





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1810
Address/Tree location DU-15 Tree no. DU-15-7 Sheet 1 of 1
Tree species Malus domestica 'Fuji' dbh 1" Height 5' Crown spread dia. 2'
Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 100 % Chlorotic _____ % Necrotic _____ %
Pests _____ Abiotic _____
Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to east _____ Relative crown size Small ☒ Medium ☐ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 60 %
Dead twigs/branches ☐ _____ % overall Max. dia. _____
Broken/Hangers Number _____ Max. dia. _____
Over-extended branches ☐
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☒
Reduced ☐ Topped ☐ Lion-tailed ☐
Flush cuts ☐ Other _____
Main concern(s) N/A

Cracks ☐ _____ Lightning damage ☐
Codominant ☒ _____ Included bark ☐
Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
Previous branch failures ☐ _____ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐ _____
Response growth _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
Lean _____ ° Corrected? _____
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ _____ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
Root plate lifting ☐ Soil weakness ☐
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A																					
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has moderate tolerance of root disturbances and is an immature specimen. based on these factors and the DBH a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted.

Mitigation options

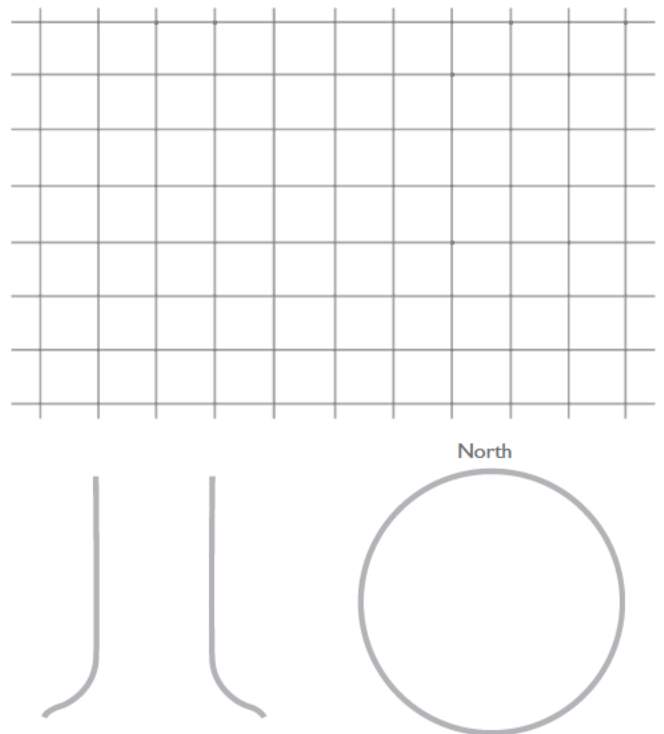
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1815
Address/Tree location DU-15 Tree no. DU-15-8 Sheet 1 of 1
Tree species Pyrus communis 'Bartlett' dbh 1" Height 4' Crown spread dia. 3'
Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 100 % Chlorotic _____ % Necrotic _____ %
Pests _____ Abiotic _____
Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to east _____ Relative crown size Small ☒ Medium ☐ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 40 %
Dead twigs/branches ☐ _____ % overall Max. dia. _____
Broken/Hangers Number _____ Max. dia. _____
Over-extended branches ☐
Cracks ☐ _____ Lightning damage ☐
Codominant ☒ _____ Included bark ☐
Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
Previous branch failures ☐ _____ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐ _____
Response growth _____
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☒
Reduced ☐ Topped ☒ Lion-tailed ☐
Flush cuts ☐ Other _____

Main concern(s) N/A

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐ _____

Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
Lean _____ ° Corrected? _____

Response growth _____

Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐

Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ _____ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
Root plate lifting ☐ Soil weakness ☐

Response growth _____

Main concern(s) Surface root

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐

Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A					<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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2							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has moderate tolerance of root disturbances and is an immature specimen. based on these factors and the DBH a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted.

Mitigation options

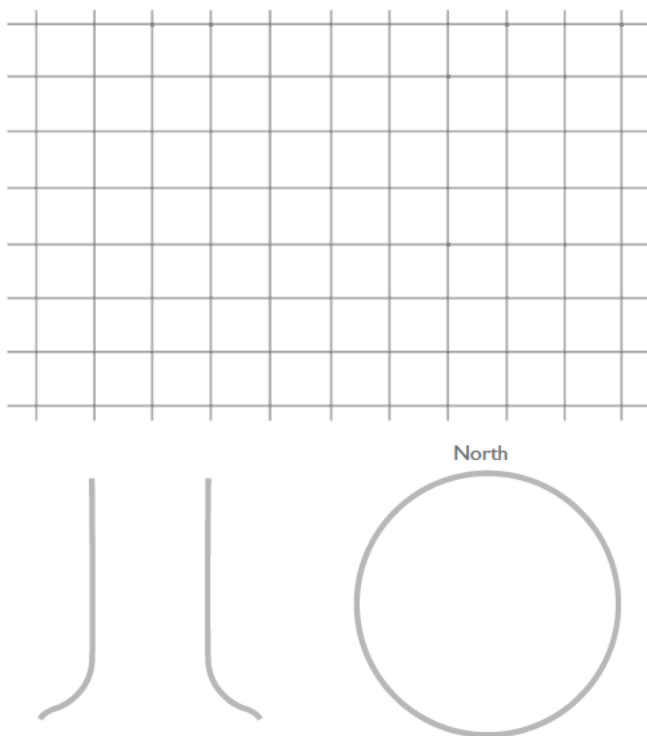
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____



Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Recommended inspection interval Annual after work



Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1820
 Address/Tree location DU-15 Tree no. DU-15-9 Sheet 1 of 1
 Tree species Pyrus pyrifolia dbh 1" Height 5' Crown spread dia. 2'
 Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 100 % Chlorotic _____ % Necrotic _____ %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to east and north _____ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 60 %
 Dead twigs/branches ☐ _____ % overall Max. dia. _____
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☒ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☐ _____ Lightning damage ☐
 Codominant ☒ _____ Included bark ☐
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth _____
 Main concern(s) N/A

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A					<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has moderate tolerance of root disturbances and is an immature specimen. based on these factors and the DBH, a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted.

Mitigation options

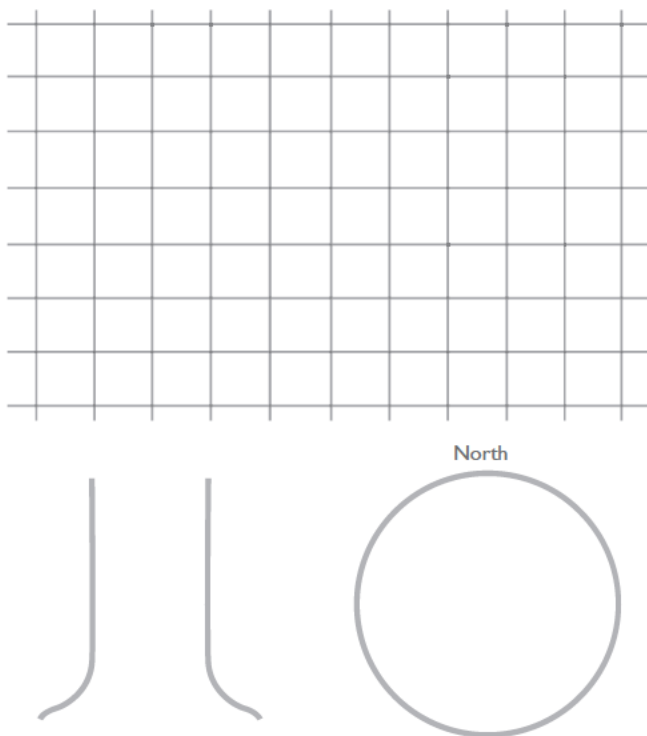
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1825
 Address/Tree location DU-15 Tree no. DU-15-10 Sheet 1 of 1
 Tree species Prunus maritima dbh 1" Height 3' Crown spread dia. 2'
 Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 100 % Chlorotic _____ % Necrotic _____ %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to north _____ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 10 % Cracks ☐ Lightning damage ☐
 Dead twigs/branches ☐ _____ % overall Max. dia. _____ Codominant ☒ Included bark ☐
 Broken/Hangers Number _____ Max. dia. _____ Weak attachments ☐ Cavity/Nest hole _____ % circ.
 Over-extended branches ☐ Previous branch failures ☐ Similar branches present ☐
Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒ Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Reduced ☐ Topped ☒ Lion-tailed ☐ Conks ☐ Heartwood decay ☐ _____
 Flush cuts ☐ Other _____ Response growth _____

Main concern(s) N/A

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____

Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐

Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A					<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has moderate tolerance of root disturbances and is an immature specimen. based on these factors and the DBH, a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted.

Mitigation options

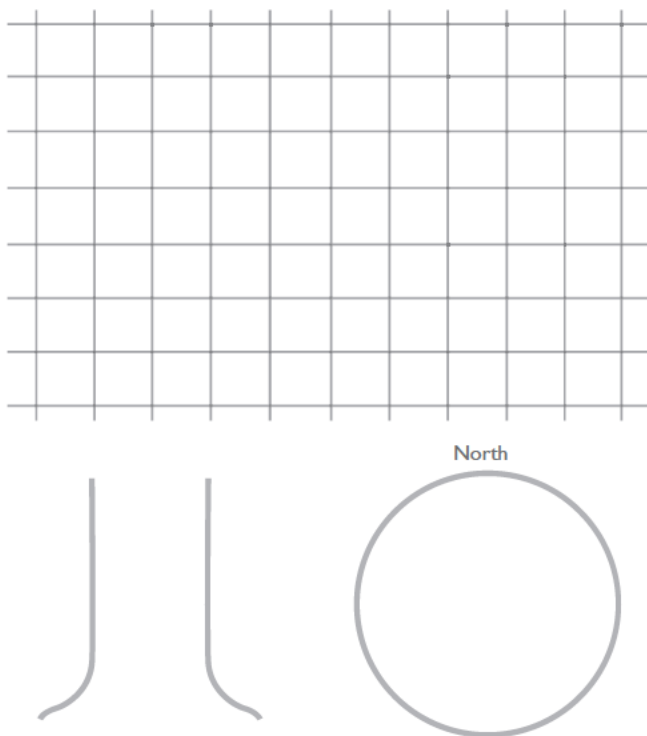
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1830
 Address/Tree location DU-15 Tree no. DU-15-11 Sheet 1 of 1
 Tree species Prunus avium 'Lapins' dbh 1" Height 4' Crown spread dia. 2'
 Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 100 % Chlorotic _____ % Necrotic _____ %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 75 %
 Dead twigs/branches ☐ _____ % overall Max. dia. _____
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☒ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☐ _____ Lightning damage ☐
 Codominant ☒ _____ Included bark ☐
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth _____
 Main concern(s) N/A

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐



Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1835
Address/Tree location DU-15 Tree no. DU-15-12 Sheet 1 of 1
Tree species Ficus carica dbh 1" Height 2' Crown spread dia. 2'
Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 100 % Chlorotic _____ % Necrotic _____ %
Pests _____ Abiotic _____
Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☒ Medium ☐ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 50 %
Dead twigs/branches ☐ _____ % overall Max. dia. _____
Broken/Hangers Number _____ Max. dia. _____
Over-extended branches ☐
Cracks ☐ _____ Lightning damage ☐
Codominant ☒ _____ Included bark ☐
Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
Previous branch failures ☐ _____ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐ _____
Response growth _____

Main concern(s) N/A

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
Lean _____ ° Corrected? _____

Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ _____ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
Root plate lifting ☐ Soil weakness ☐

Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A					<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

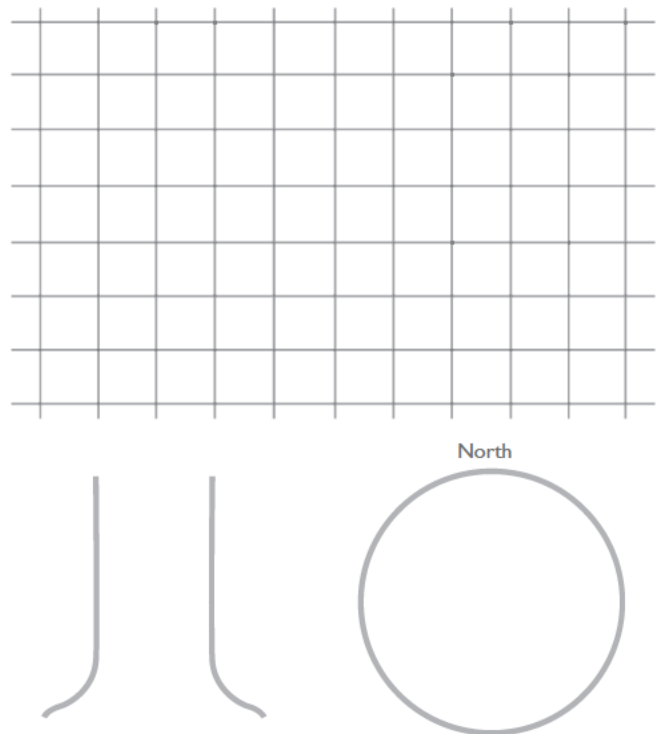
Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions _____
 This species has moderate tolerance of root disturbances and is an immature specimen. based on these factors and the DBH, a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted.

Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐
 Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐
 Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____
 Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1840
Address/Tree location DU-15 Tree no. DU-15-13 Sheet 1 of 1
Tree species Prunus persica dbh 1" Height 5' Crown spread dia. 2'
Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 100 % Chlorotic _____ % Necrotic _____ %
Pests _____ Abiotic _____
Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to north _____ Relative crown size Small ☒ Medium ☐ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 60 %
Dead twigs/branches ☐ _____ % overall Max. dia. _____
Broken/Hangers Number _____ Max. dia. _____
Over-extended branches ☐
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☒
Reduced ☐ Topped ☒ Lion-tailed ☐
Flush cuts ☐ Other _____
Main concern(s) N/A

Cracks ☐ _____ Lightning damage ☐
Codominant ☐ _____ Included bark ☐
Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
Previous branch failures ☐ _____ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐ _____
Response growth _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☒ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
Lean _____ ° Corrected? _____
Response growth _____
Main concern(s) wound at base of tree

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ _____ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
Root plate lifting ☐ Soil weakness ☐
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A					<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has moderate tolerance of root disturbances and is an immature specimen. based on these factors and the DBH, a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted.

Mitigation options

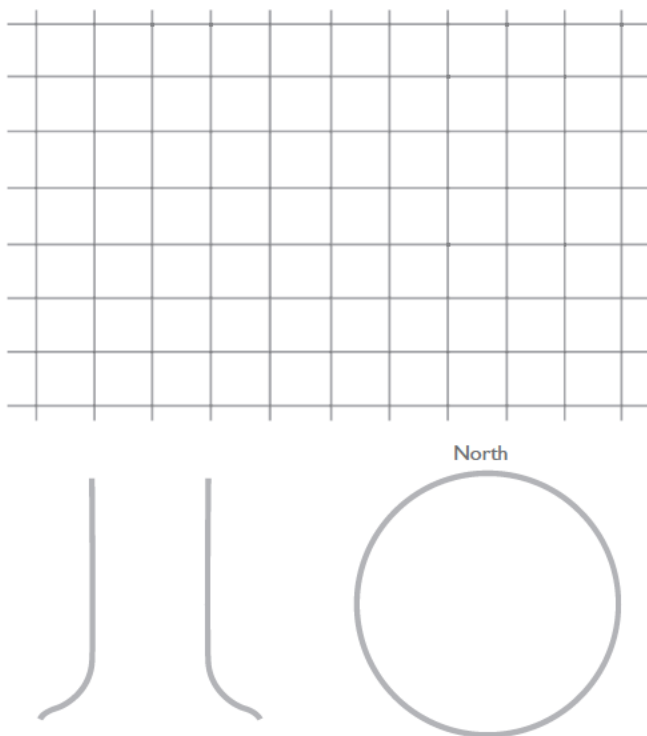
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____



Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Recommended inspection interval Annual after work _____



Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1650
 Address/Tree location DU-15 Tree no. DU-15-14 Sheet 1 of 1
 Tree species Sequoia sempervirens dbh 1" Height 6' Crown spread dia. 5'
 Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion, codominant branch failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to north _____ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 100 %
 Dead twigs/branches ☒ 5 % overall Max. dia. <0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☐
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Main concern(s) N/A

Cracks ☐ _____ Lightning damage ☐
 Codominant ☐ _____ Included bark ☐
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth Around old cuts

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) Bag/plastic around base

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A					<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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2							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has good tolerance of root disturbances and is an immature specimen. based on these factors and the DBH a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted

Mitigation options

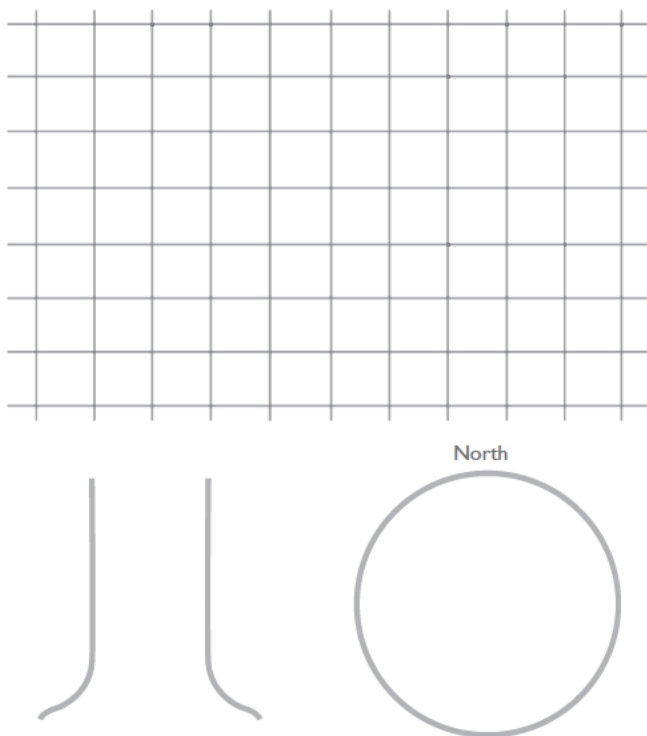
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1645
 Address/Tree location DU-15 Tree no. DU-15-15 Sheet 1 of 1
 Tree species Sequoia sempervirens dbh 1" Height 4' Crown spread dia. 3'
 Assessor(s) Cory Shields Time frame 3 Years Tools used _____

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	N/A						
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Branch Inclusion, codominant branch failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to north _____ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 95 %
 Dead twigs/branches ☒ 5 % overall Max. dia. <0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☐
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Main concern(s) N/A

Cracks ☐ _____ Lightning damage ☐
 Codominant ☐ _____ Included bark ☐
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth Around old cuts

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____
 Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☒ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) Bag/plastic around base
 Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	N/A	N/A					<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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2							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has good tolerance of root disturbances and is an immature specimen. based on these factors and the DBH a 5" radius around the trunk is the recommended tree protection zone. This is assuming that this tree was not transplanted

Mitigation options

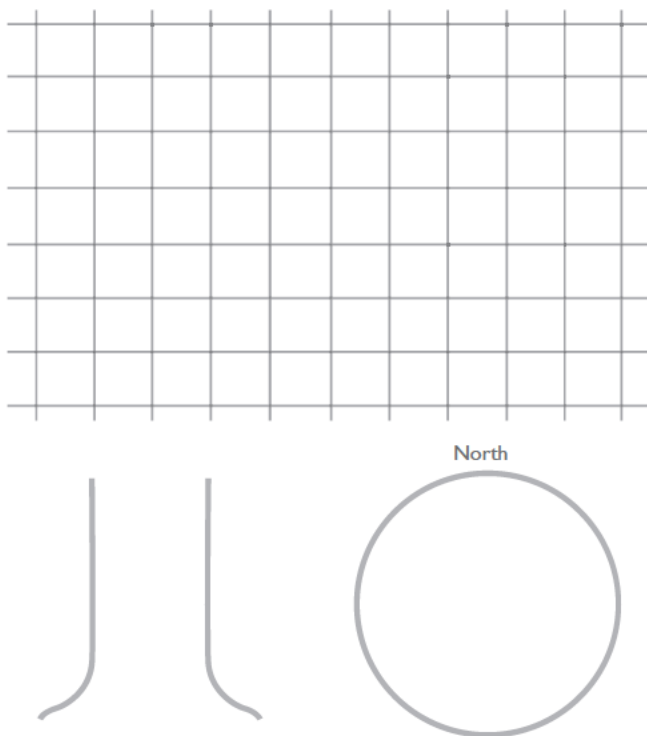
Residual risk _____
 Residual risk _____
 Residual risk _____
 Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1745
 Address/Tree location DU-15 Tree no. DU-15-16 Sheet 1 of 1
 Tree species Pseudotsuga menziesii dbh 27" Height 75' Crown spread dia. 35'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet, binoculars

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to southwest	✓	✓	✓	4	N	N
2	House to southwest	✓	✓	✓	4	N	N
3	Fence to north and west	✓	✓	✓	4	N	N
4							

Site Factors

History of failures Small broken branches Topography Flat ☒ Slope ☐ % Aspect
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☒ Pavement over roots ☐ % Describe gravel piled along south trunk
 Prevailing wind direction west Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 90 % Chlorotic % Necrotic 10 %
 Pests Abiotic
 Species failure profile Branches ☒ Trunk ☐ Roots ☒ Describe Overextended branch failures, root plate failures

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 90 %
 Dead twigs/branches ☒ 10 % overall Max. dia. 2"
 Broken/Hangers Number Max. dia.
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other Large codom removal 6'
 Cracks ☐ Lightning damage ☐
 Codominant ☒ 6' up, east side, cut back to trunk Included bark ☐
 Weak attachments ☐ Cavity/Nest hole % circ.
 Previous branch failures ☒ broken stubs Similar branches present ☒
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around codominant cut.

Main concern(s) Overextended branches, unbalanced crown.

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☒ Overextended: moderate, unbalanced: significant
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐ for both overextended and unbalanced crown

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☒ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☒
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole % circ. Depth Poor taper ☐
 Lean ° Corrected?

Response growth Around codom stem

Main concern(s) codominant stem cut

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☒

Likelihood of failure

Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ % circ.
 Cracks ☐ Cut/Damaged roots ☒ Distance from trunk 3'
 Root plate lifting ☐ Soil weakness ☐

Response growth Around exposed root damage

Main concern(s)

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐

Likelihood of failure

Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown and Branches	Overextended branches	6"	40'	1	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low		
			6"	40'	2	Fence	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low		
			6"	45'	3	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low		
2	Crown and branches	unbalanced crown	18"	40'	1	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low		
			18"	40'	2	Fence	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low		
			18"	45'	3	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low		
3							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>			
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>				
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions

This species has low tolerance of root disturbances and is an immature specimen. Based on these factors and the DBH, a 27' radius from the trunk tree protection zone is recommended. However, due to its condition, removal is a better long term solution if any root impacts.

Mitigation options 1. Prune overextended branches

2. removal

Residual risk Low

Residual risk None

Residual risk

Residual risk

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

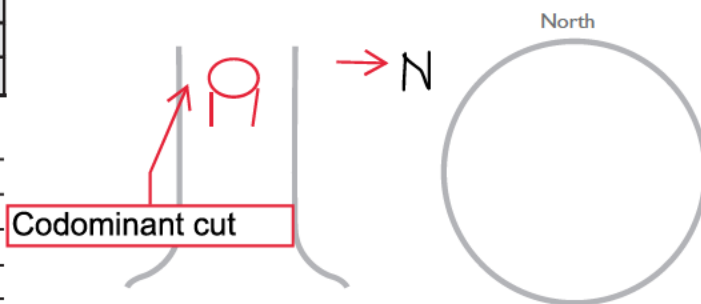
Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Recommended inspection interval Annual after work

Data ☐ Final ☒ Preliminary Advanced assessment needed ☒ No ☐ Yes-Type/Reason

Inspection limitations ☐ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe



June 2023

ARBORIST REPORT

Prepared by: Cory Shields of Spade Tree Preservation





Arborist Report



Eugene, OR

Prepared for:

**Groundwater Solutions, Inc.
dba GSI Water Solutions, Inc.
650 NE Holladay St., Suite 900
Portland, OR 97232**
for

**JH Baxter Removal Action
Project Number: 02060.005.004**

Prepared by:

**Cory Shields
Certified Arborist PN-8292A
Tree Risk Assessment Qualified
International Society of Arboriculture
29110 Sheep Head Road
Brownsville, OR 97327**

Overview

This report covers the mitigation recommendations for the trees at [REDACTED] Street hereto referenced as SO-06. There are eight total individual trees under the purview of this report, in addition to several smaller trees and shrubs not covered under the tree assessment forms. There are four trees to the north on the property from [REDACTED] that would be impacted by the soil removal efforts. Figure 1 details the locations of the trees within SO-06. As detailed in Figure 2, soil removal at SO-06 will be at six inches for the entire property.

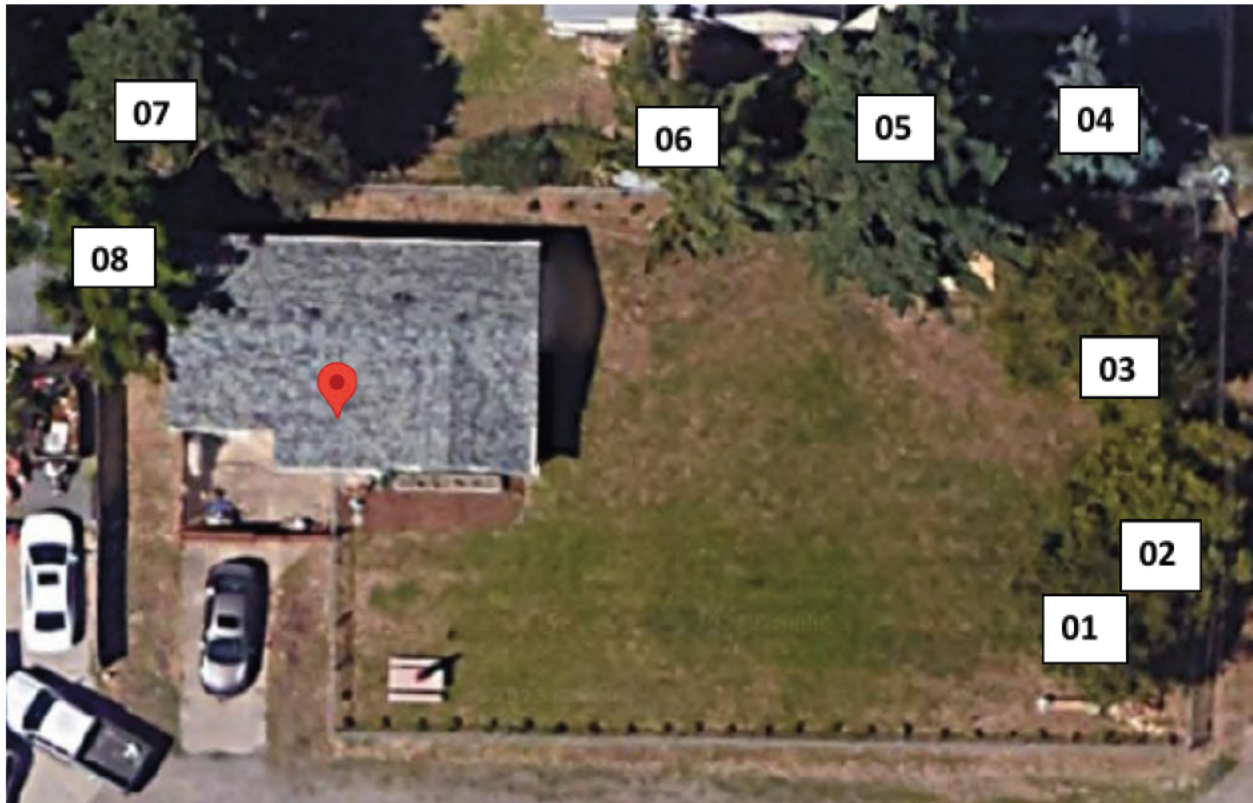


Figure 1

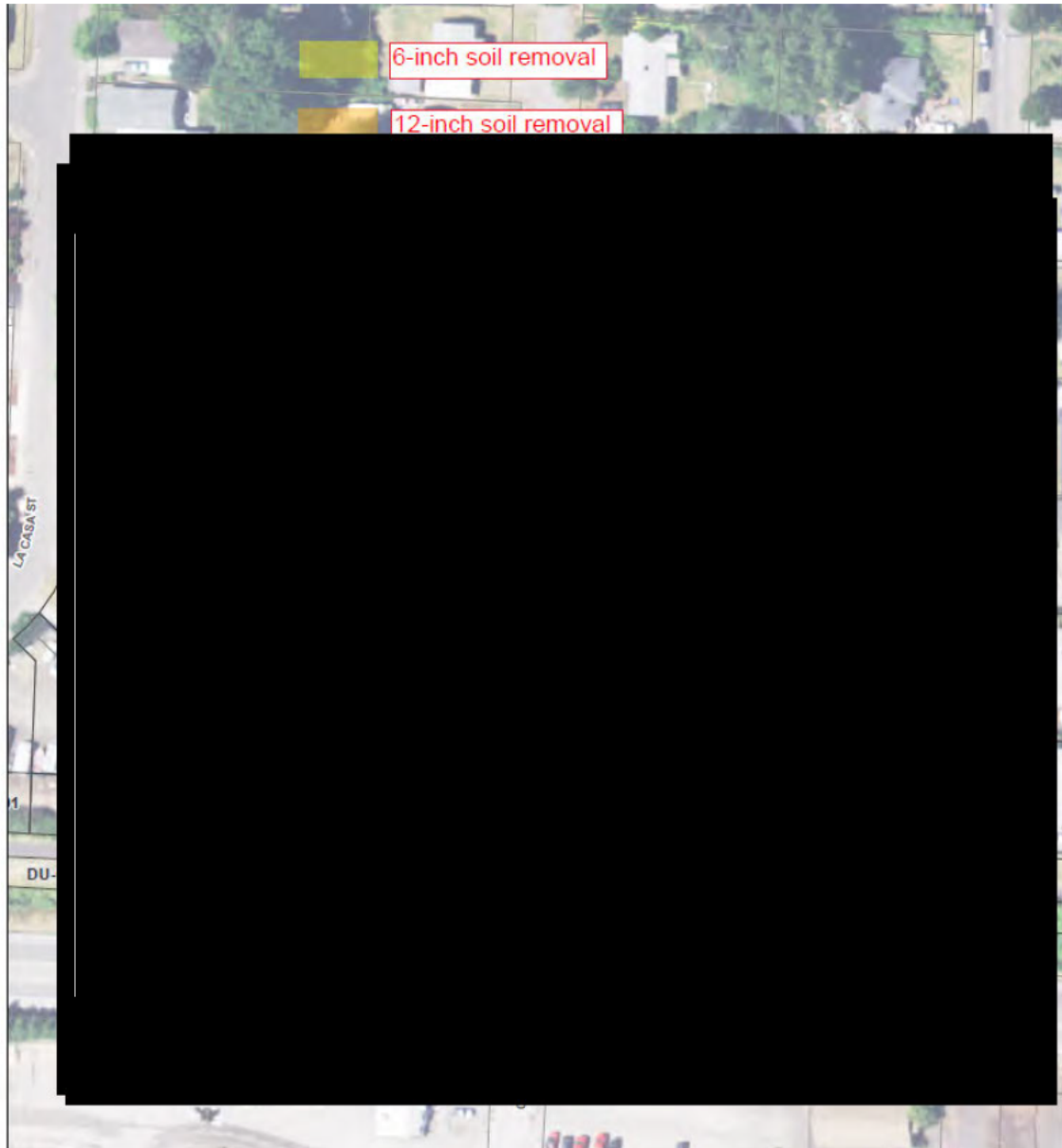


Figure 2

SO-06-01

Located on the eastern portion of the property in the front yard (Figure 1, 01), tree 01 is a *Crataegus laevigata* with a diameter of 17", height of 25', and a crown spread of 25'. This individual has several issues and defects: rot in trunk (Figure 3), overextended branches with previous failures apparent (Figure 4) and is intertwined with the overhead communication wires to the east. Due to the condition of the tree and its proximity to targets, retention is not

warranted. This specimen should be removed as the soil removal activities would create a greater potential risk. To reduce the risk of root failure, the tree should be removed prior to the soil removal.

SO-06-02

Located to the eastern side of the property, to the east of the house (Figure 1, 02), Tree 02 is an *Corylus avellana* with a multi-stem diameter of 16", a height of 25', and a crown spread of 25' (Figure 5). This tree has a good tolerance of root pruning and is without any significant defects. Due to the amount of soil removal and the lack of defects in the specimen, tree 02 could be maintained if soil was removed by hand in a one foot radius around the trunk. The mechanical removal of soil in the rest of the root system should be done with the consulting arborist onsite to assess damage, and if the root damage is too significant, the tree could be removed. After the excavation and if the tree is to be maintained, the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay), and weekly watering should be performed for the following two summers. The tree should be monitored for a minimum of three years after the removal activity to ensure the tree's continued survival and stability.

SO-06-03

Located on the eastern portion of the property in the front yard (Figure 1, 03), tree 03 is a *Crataegus laevigata* with a diameter of 16", height of 30', and a crown spread of 30'. This individual has several issues apparent including: rot in trunk, old flush cut/failure (Figures 6 and 7), overextended branches with previous failures apparent, and is intertwined with the overhead communication wires to the east. Due to the condition of the tree and its proximity to targets, retention is not warranted. This individual should be removed as the soil removal activities would create a greater potential risk. To reduce the risk of root failure, the tree should be removed prior to the soil removal.

SO-06-04

Located to the northeastern side of the property, to the northeast of the house on the neighboring [REDACTED] (Figure 1, 04), Tree 04 is a *Picea pungens* with a diameter of 15", a height of 40', and a crown spread of 15'. This tree should not be impacted by the soil removal activity, but it would still be advisable to have the consulting arborist onsite while excavation occurs near this specimen.

SO-06-05

Located to the west of tree 04 on the neighboring [REDACTED] property (Figure 1, 05), tree 05 is a *Psuedotsuga menziesii* with a diameter of 20", a height of 60', and a crown spread diameter of 25'. This species has a low tolerance for root pruning, however, the depth of soil removal would be minimally impactful. A radius of 15' from the trunk of the tree (approximate dripline) should be excavated by hand under the oversight of the consulting arborist. After the excavation the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay), and weekly watering should be performed for the following two summers. The tree should be monitored for a minimum of three years after the removal activity to ensure the tree's continued survival and stability.

SO-06-06

Located to the west of tree 05 on the neighboring [REDACTED] property (Figure 1, 06), tree 06 is a *Picea stichensis* with a diameter of 19", a height of 35', and a crown spread diameter of 25'. This species has a moderate tolerance for root pruning, however, the depth of soil removal would be minimally impactful. Despite the minimal impacts the soil removal may have, the tree has significant epicormic growth at the trunk (Figure 8). It is also shorter than tree 05 even though it has a similar growth rate and is a similar age (Figure 9). While the top was not visible from the ground, there are several signs that point to a previously topped tree. The potential topping, when combined with the overextended lower branches, indicates several defects which raise concerns about the tree's long-term risk. There are several targets within striking distance of this tree, and the risk of failure is high enough to warrant removal of this tree prior to soil removal activities. Maintaining the tree in the landscape after the soil removal activities would create an unacceptable risk level, and the stress may lead to catastrophic failures in the canopy and/or root system.

SO-06-07

Located to the west of tree 06 on the neighboring [REDACTED] St. property (Figure 1, 07), tree 07 is a *Psuedotsuga menziesii* with a diameter of 21", a height of 60', and a crown spread diameter of 25'. This species has a low tolerance for root pruning, however, the depth of soil removal would be minimally impactful. A radius of 15' from the trunk of the tree (approximate dripline) should be excavated by hand under the oversight of the consulting arborist. After the excavation the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay), and weekly watering should be performed for the following two summers. The tree should be monitored for a minimum of three years after the removal activity to ensure the tree's continued survival and stability.

SO-06-06

Located to the west of tree 05 on the neighboring [REDACTED] St. property (Figure 1, 06), tree 06 is a *Juglans nigra* with a diameter of 11", a height of 35', and a crown spread diameter of 25' (Figure 13). This species has a low tolerance for root pruning, however, the depth of soil removal would be minimally impactful. Despite the minimal impacts the soil removal may have, the tree has a couple overextended branches and an unbalanced canopy, with most of the weight extending to the west (Figures 10 and 11). There are several targets within striking distance of this tree, and the risk of failure is high enough to warrant removal of this tree prior to soil removal activities. Maintaining the tree in the landscape after the soil removal activities would create an unacceptable risk level, as the unbalanced canopy could lead to a failure to the west potentially damaging the neighboring shed or house. This tree should be removed before soil removal to minimize failures due to root instability.

Shrubs

Located along the northern and southern fence line of the property is a hedge line of laurels (Figures 12, 13, 14, and 15) (*Prunus spp.*). On the southeastern corner of the property is also a small fruit tree (Figure 14). These plants can either be transplanted or, if damage can be avoided in the soil removal activity, maintained in the landscape. If they are to be transplanted, the native soil should be washed out of the roots and removed before they are placed in a new soil medium.



Figure 3



Figure 4



Figure 5



Figure 6



Figure 7



Figure 8



Figure 9



Figure 10



Figure 11



Figure 12



Figure 13



Figure 14



Figure 15



Basic Tree Risk Assessment Form

Client GSI Date 4/5/23 Time 1055
 Address/Tree location SO-06 Tree no. SO-06-1 Sheet 1 of 1
 Tree species Crataegus laevigata dbh 17" Height 25' Crown spread dia. 25'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Communication wires to east	✓	✓	✓	4	N	N
2	Fence to east	✓	✓	✓	4	N	N
3	Container to west	✓	✓	✓	4	N	N
4							

Site Factors

History of failures Broken branches on ground, tear-outs Topography Flat ☒ Slope ☐ % Aspect
 Site changes None ☐ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 85 % Chlorotic % Necrotic 15 %
 Pests Abiotic
 Species failure profile Branches ☒ Trunk ☒ Roots ☐ Describe Codominant stem failure, overextended branches, rot in trunks

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Contained to W, tree to N Relative crown size Small ☐ Medium ☐ Large ☒
 Crown density Sparse ☐ Normal ☐ Dense ☒ Interior branches Few ☐ Normal ☐ Dense ☒ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 65 %
 Dead twigs/branches ☒ 15 % overall Max. dia. 3'
 Broken/Hangers Number 4 Max. dia. 1'
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☒ Topped ☒ Lion-tailed ☐
 Flush cuts ☐ Other stub cuts
 Cracks ☐ Lightning damage ☐
 Codominant ☒ Multiple Included bark ☐
 Weak attachments ☒ Multiple Cavity/Nest hole 10 % circ.
 Previous branch failures ☒ Similar branches present ☒
 Dead/Missing bark ☐ Cankers/Galls/Burls ☒ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around deadwood/old wounds
 Main concern(s) weak attachments, overextended branches

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐ For both conditions
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☒ Imminent ☐ For both conditions

— Trunk —

Dead/Missing bark ☒ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☒ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole 10 % circ. Depth 4" Poor taper ☐
 Lean 10 ° Corrected? yes
 Response growth Around decay
 Main concern(s) Cavities

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure
Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk
 Root plate lifting ☐ Soil weakness ☐
 Response growth To west at base of tree
 Main concern(s)

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown branches	Overextended branches, weak attachments	4"	15'	1	Other tree																Low	
			4"	15'	2	Other tree																Low	
			4"	15'	3																		Low
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is a mature and the species has a moderate tolerance for root disturbances. Given these factors and the DBH, a 17' radius from the trunk is the minimum recommended tree protection zone.

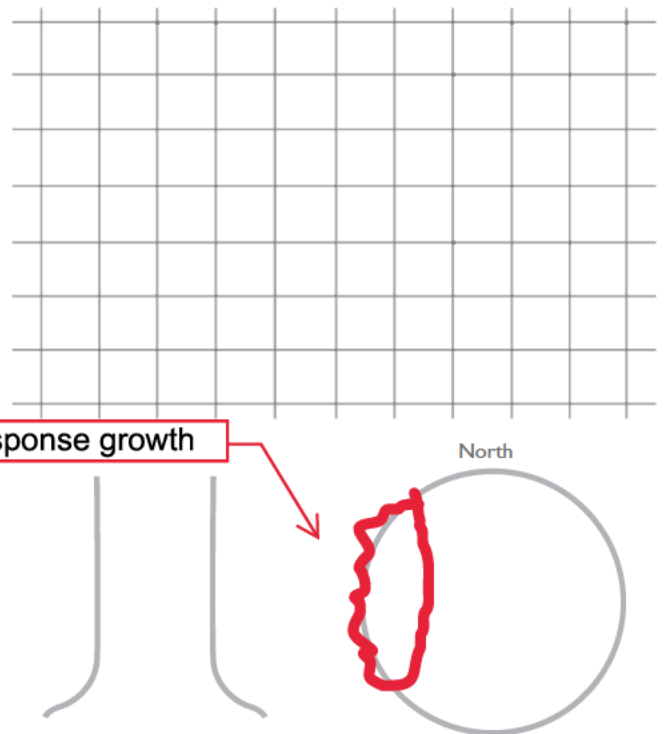
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/5/23 Time 1130
 Address/Tree location SO-06 Tree no. SO-06-2 Sheet 1 of 1
 Tree species Corylus avellana dbh 16" Multistem Height 25' Crown spread dia. 25'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Communication wires to east	✓	✓	✓	4	N	N
2	Container to west	✓	✓	✓	4	N	N
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☒ Roots ☐ Describe Codominant stem failures, overextended branches

Load Factors

Wind exposure Protected ☒ Partial ☐ Full ☐ Wind funneling ☐ Container to W, tree to SW Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 80 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other stub cuts
 Cracks ☐ Lightning damage ☐
 Codominant ☒ Included bark ☐
 Weak attachments ☐ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☒ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around wounds
 Main concern(s) overextended branch over container to the west

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐ For both conditions
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐ For both conditions

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☒ Cracks ☐
 Sapwood damage/decay ☒ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☒ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth Around small wounds
 Main concern(s) Wound to northeast

Load on defect N/A ☐ Minor ☒ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown branches	Overextended branches	2"	10'	1																		Low
			2"	10'	2																		Low
2	Trunk	Codominants	2"	10'	1																		Low
			2"	10'	2																		Low
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is a mature and the species has a good tolerance for root disturbances. Given these factors and the DBH, a 11' radius from the trunk is the minimum recommended tree protection zone.

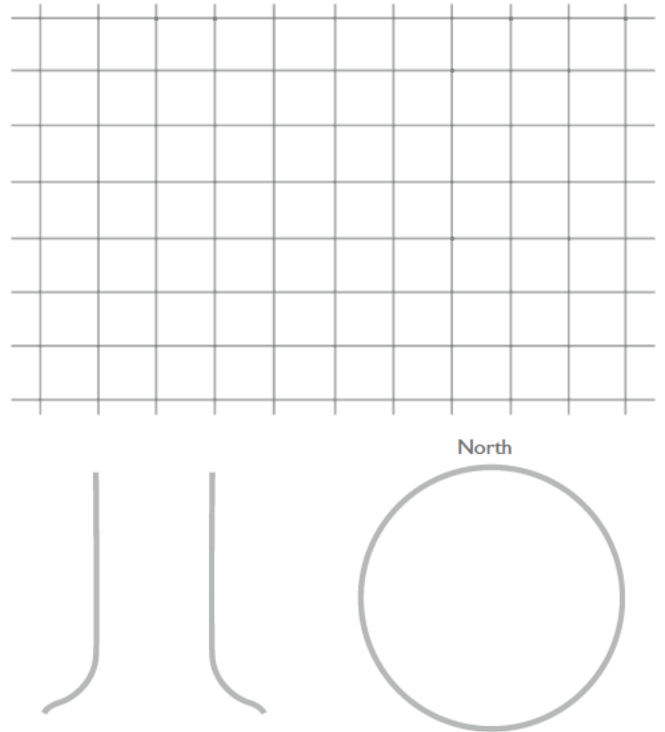
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/5/23 Time 1215
 Address/Tree location SO-06 Tree no. SO-06-3 Sheet 1 of 1
 Tree species Crataegus laevigata dbh 16" Height 30' Crown spread dia. 30'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Communication wires to east	✓	✓	✓	4	N	N
2	Fence to east	✓	✓	✓	4	N	N
3	Container to west	✓	✓	✓	4	N	N
4							

Site Factors

History of failures Broken branches on ground, tear-outs Topography Flat ☒ Slope ☐ % Aspect
 Site changes None ☐ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 90 % Chlorotic % Necrotic 10 %
 Pests Abiotic
 Species failure profile Branches ☒ Trunk ☒ Roots ☐ Describe Codominant stem failure, overextended branches, rot in trunks

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Container to W Relative crown size Small ☐ Medium ☐ Large ☒
 Crown density Sparse ☐ Normal ☐ Dense ☒ Interior branches Few ☐ Normal ☐ Dense ☒ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 65 %
 Dead twigs/branches ☒ 10 % overall Max. dia. 1"
 Broken/Hangers Number Max. dia.
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other stub cuts
 Cracks ☐ Lightning damage ☐
 Codominant ☒ Multiple Included bark ☐
 Weak attachments ☒ Multiple Cavity/Nest hole 10 % circ.
 Previous branch failures ☒ Overextended Similar branches present ☒
 Dead/Missing bark ☐ Cankers/Galls/Burls ☒ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth Around wounds

Main concern(s) weak attachments, overextended branches

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐ For both conditions
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐ For both conditions

— Trunk —

Dead/Missing bark ☒ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☒ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☒ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☒ Conks/Mushrooms ☐
 Cavity/Nest hole 30 % circ. Depth 5" Poor taper ☐
 Lean 10 ° Corrected? yes

Response growth Around wounds
 Main concern(s) Wound to northeast

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure
Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk
 Root plate lifting ☐ Soil weakness ☐

Response growth To west at base of tree
 Main concern(s)

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																								
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)	
							Failure				Impact				Failure & Impact (from Matrix 1)									
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe		
1	Crown branches	Overextended branches, weak attachments	3"	10'	1		<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
			3"	10'	2		<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
2	Trunk	Cavity and wound	6"	10'	1		<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
			6"	10'	2		<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
3							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is a mature and the species has a moderate tolerance for root disturbances. Given these factors and the DBH, a 16' radius from the trunk is the minimum recommended tree protection zone.

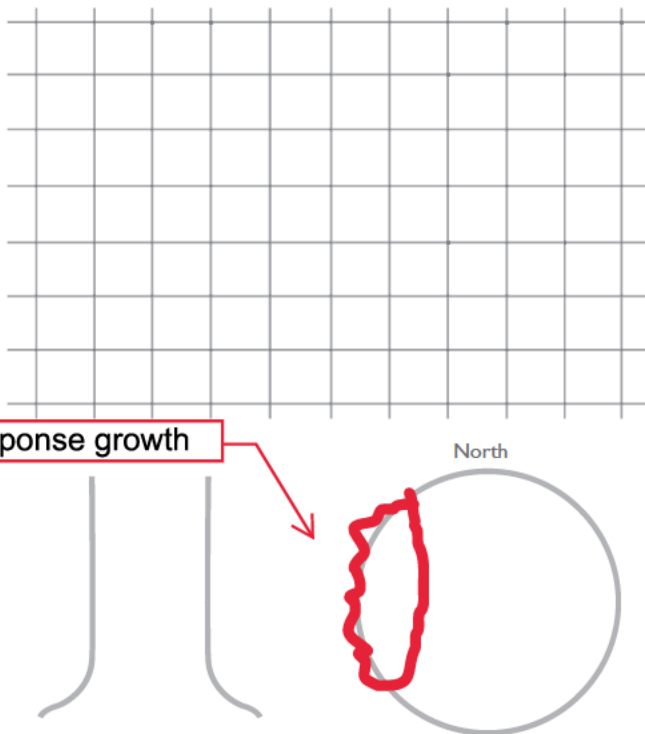
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/5/23 Time 1245
 Address/Tree location SO-06 Tree no. SO-06-4 Sheet 1 of 1
 Tree species Picea pungens dbh 15" Height 40' Crown spread dia. 15'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Cars in driveway to north		✓	✓	3	N	N
2	House to northwest		✓	✓	4	N	N
3	Power-lines to east		✓	✓	4	N	N
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☒ Roots ☐ Describe codominant stem failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Trees to west/southwest _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☒ Normal ☐ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 70 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other stub cuts
 Cracks ☐ _____ Lightning damage ☐
 Codominant ☐ _____ Included bark ☐
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☒
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth Around old branch cuts
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1		No conditions of concern					<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
2							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
3							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is young and the species has a moderate tolerance for root disturbances. Given these factors and the DBH, a 10' radius from the trunk is the minimum recommended tree protection zone.

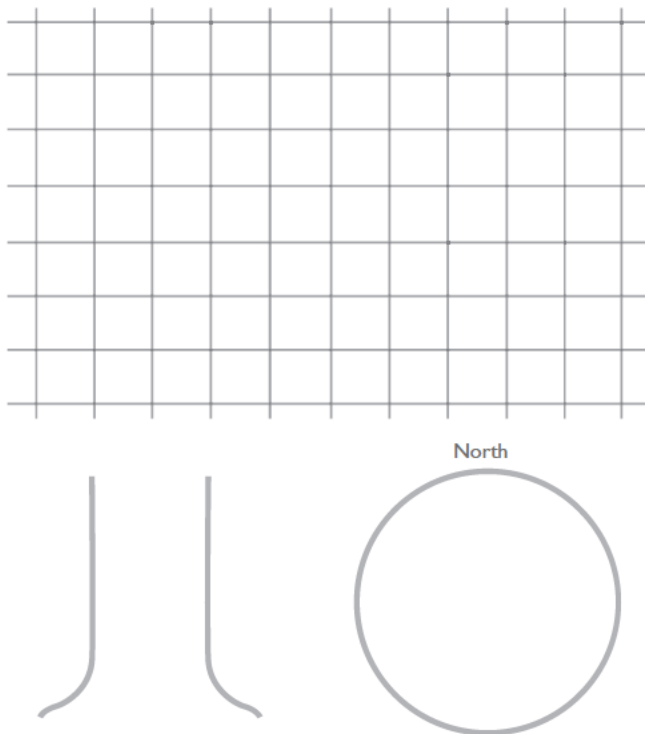
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/5/23 Time 1300
 Address/Tree location SO-06 Tree no. SO-06-5 Sheet 1 of 1
 Tree species Psuedotsuga menziesii dbh 20" Height 60' Crown spread dia. 25'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to north	✓	✓	✓	4	N	N
2	House to southwest		✓	✓	4	N	N
3	Power-lines to south	✓	✓	✓	4	N	N
4	Shed to south	✓	✓	✓	4	N	N

Site Factors

History of failures None apparent Topography Flat ☒ Slope ☐ % Aspect
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic % Necrotic 5 %
 Pests Abiotic
 Species failure profile Branches ☒ Trunk ☒ Roots ☒ Describe Overextended branch failures, codominant failures, root plate failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Trees to east and west Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☒ Normal ☐ Dense ☐ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 75 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 2"
 Broken/Hangers Number 3 Max. dia. 0.5"
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other
 Main concern(s) Deadwood

Cracks ☐ Lightning damage ☐
 Codominant ☐ Included bark ☐
 Weak attachments ☐ Cavity/Nest hole % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth

Load on defect N/A ☐ Minor ☒ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole % circ. Depth Poor taper ☐
 Lean ° Corrected?
 Response growth
 Main concern(s)

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk
 Root plate lifting ☐ Soil weakness ☐
 Response growth
 Main concern(s)

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown and Branches	Deadwood	2"	30'	1																Low		
			2"	30'	2																Low		
			2"	25'	3																Low		
2	"	"	2"	30'	4	Power-lines															Low		
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is young and the species has a low tolerance for root disturbances. Given these factors and the DBH, a 20' radius from the trunk is the minimum recommended tree protection zone.

Mitigation options Remove deadwood and hangers Residual risk Low
Residual risk
Residual risk
Residual risk

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐ Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐
Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐ Recommended inspection interval

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe



Basic Tree Risk Assessment Form

Client GSI Date 4/5/23 Time 1320
 Address/Tree location SO-06 Tree no. SO-06-6 Sheet 1 of 1
 Tree species Picea stichensis dbh 19" Height 35' Crown spread dia. 25'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to north	✓	✓	✓	4	N	N
2	House to southwest	✓	✓	✓	4	N	N
3	Power-lines to south	✓	✓	✓	4	N	N
4							

Site Factors

History of failures Broken branch tips Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic Lawn mower damage to south
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Overextended branch failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Trees to east _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☐ Dense ☒ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 65 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 2"
 Broken/Hangers Number 1 Max. dia. 3"
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☐ Topped ☒ Lion-tailed ☐
 Flush cuts ☐ Other stub cuts
 Cracks ☐ _____ Lightning damage ☐
 Codominant ☐ _____ Included bark ☐
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth _____
 Main concern(s) Overextended branches, potentially topped.

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth Epicormic growth
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth Around wounds
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown and branches	overextended branches	3"	25'	1		<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
			3"	25'	2		<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low	
			3"	10'	3		<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
2							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
3							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is young and the species has a moderate tolerance for root disturbances. Given these factors and the DBH, a 13' radius from the trunk is the minimum recommended tree protection zone.

Mitigation options Reduce overextended branches

Residual risk Low

Residual risk

Residual risk

Residual risk

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Recommended inspection interval

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason

Inspection limitations ☐ None ☒ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe Cannot see potential topping cut



Basic Tree Risk Assessment Form

Client GSI Date 4/5/23 Time 1340
Address/Tree location SO-06 Tree no. SO-06-7 Sheet 1 of 1
Tree species Psuedotsuga menziesii dbh 21" Height 60' Crown spread dia. 25'
Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to southeast	✓	✓	✓	4	N	N
2	House to southwest		✓	✓	4	N	N
3	Power-lines to south	✓	✓	✓	4	N	N
4							

Site Factors

History of failures None apparent Topography Flat ☒ Slope ☐ % Aspect _____
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe _____
Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
Pests _____ Abiotic Lawn mower damage to south
Species failure profile Branches ☒ Trunk ☒ Roots ☒ Describe Overextended branch failures, codominant failures, root plate failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Trees to west _____ Relative crown size Small ☐ Medium ☒ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 75 %
Dead twigs/branches ☒ 5 % overall Max. dia. 0.5"
Broken/Hangers Number _____ Max. dia. _____
Over-extended branches ☐
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☒
Reduced ☐ Topped ☐ Lion-tailed ☐
Flush cuts ☐ Other _____
Cracks ☐ _____ Lightning damage ☐
Codominant ☐ _____ Included bark ☐
Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
Previous branch failures ☐ _____ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐ _____
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐ _____
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
Lean _____ ° Corrected? _____
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ _____ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
Root plate lifting ☐ Soil weakness ☐
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1		No conditions of concern			1																		Low
					2																		Low
					3																		Low
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is young and the species has a low tolerance for root disturbances. Given these factors and the DBH, a 21' radius from the trunk is the minimum recommended tree protection zone.

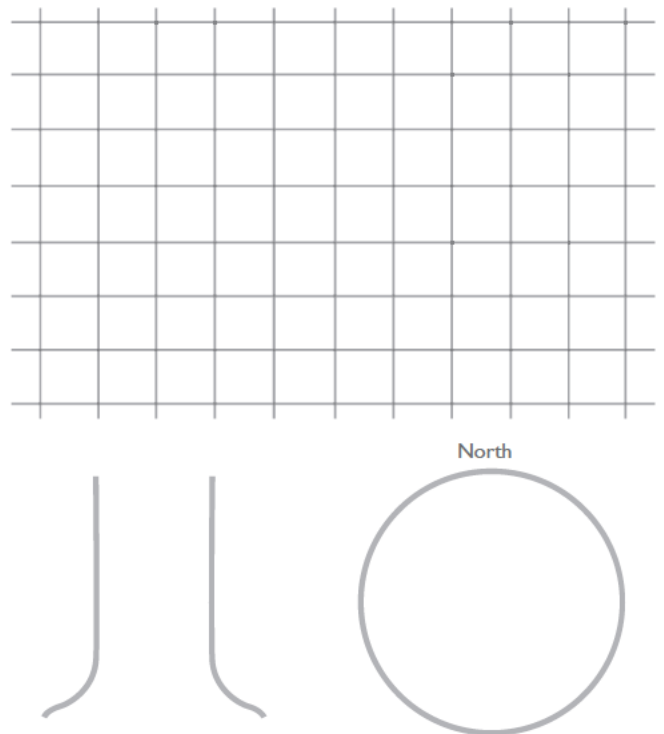
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/5/23 Time 1300
Address/Tree location SO-06 Tree no. SO-06-8 Sheet 1 of 1
Tree species Juglans nigra dbh 11" Height 35" Crown spread dia. 25'
Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	House to east	✓	✓	✓	4	N	N
2	Power-lines to north	✓	✓	✓	4	N	N
3	Shed to west	✓	✓	✓	4	N	N
4	House to west		✓	✓	4	N	N

Site Factors

History of failures None apparent Topography Flat ☒ Slope ☐ % Aspect
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe
Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 98 % Chlorotic % Necrotic 2 %
Pests Abiotic
Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Overextended branch failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☒ Houses to west and east Relative crown size Small ☐ Medium ☒ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐
Recent or planned change in load factors

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 80 %
Dead twigs/branches ☒ 2 % overall Max. dia. <0.5"
Broken/Hangers Number Max. dia.
Over-extended branches ☒
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☒
Reduced ☐ Topped ☐ Lion-tailed ☐
Flush cuts ☐ Other
Main concern(s) Unbalanced crown

Cracks ☐ Lightning damage ☐
Codominant ☐ Included bark ☐
Weak attachments ☐ Cavity/Nest hole % circ.
Previous branch failures ☐ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐
Response growth

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole % circ. Depth Poor taper ☐
Lean ° Corrected?
Response growth
Main concern(s)

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure
Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk
Root plate lifting ☐ Soil weakness ☐
Response growth
Main concern(s)

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure
Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																						
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood								Consequences				Risk rating of part (from Matrix 2)			
							Failure				Impact									Failure & Impact (from Matrix 1)		
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely		Negligible	Minor	Significant
1	Crown and Branches	Unbalanced crown	8"	15'	1		<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low	
			8"	15'	2		<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low	
			8"	15'	3	Fence	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low
2	"	"	8"	15'	4	Shed	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low	
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	
3							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		
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4							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		
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Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is young and the species has a low tolerance for root disturbances. Given these factors and the DBH, an 11' radius from the trunk is the minimum recommended tree protection zone.

Mitigation options Reduce overextended branches

Residual risk Low

Residual risk

Residual risk

Residual risk

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Recommended inspection interval

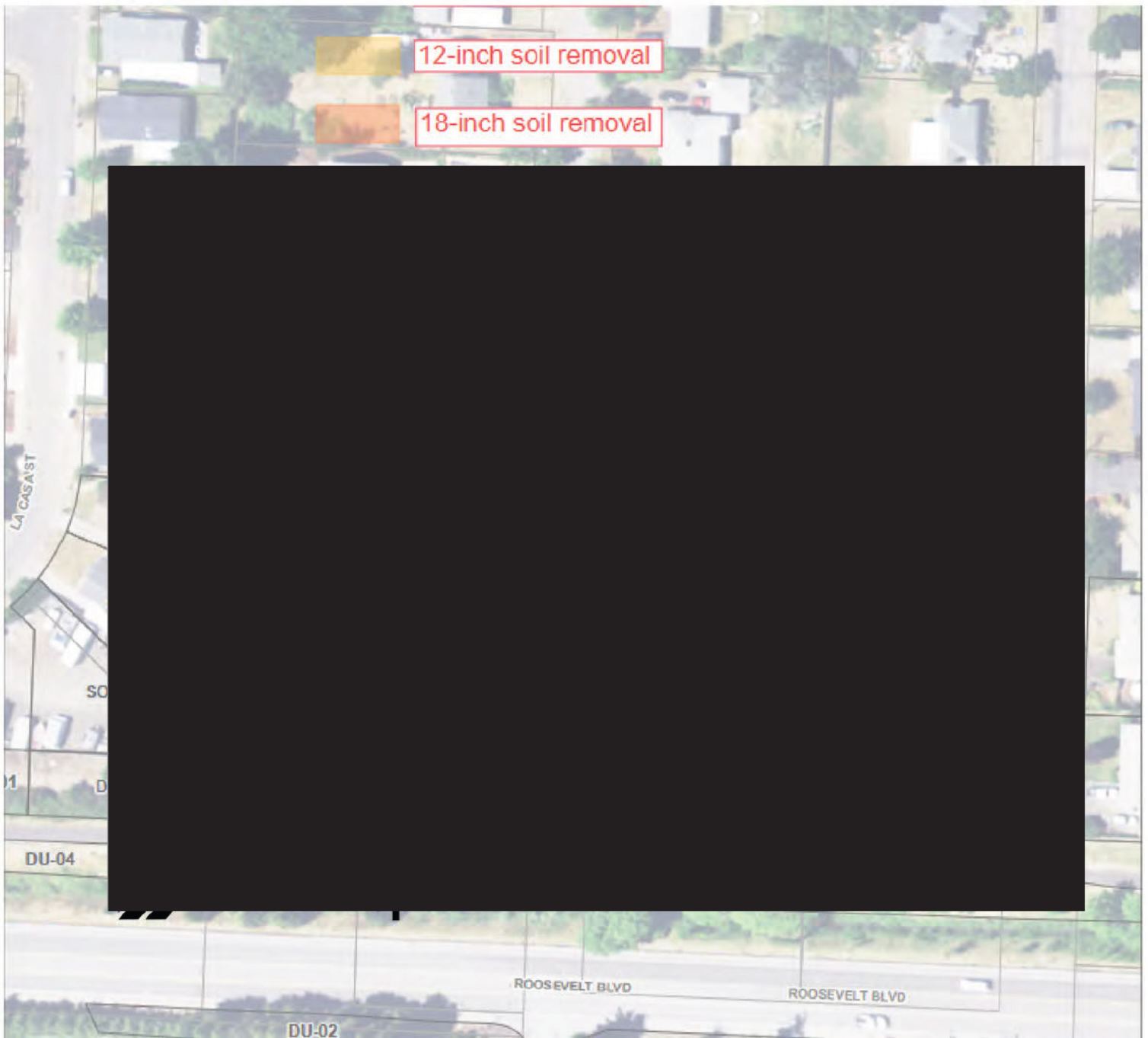
Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe

June 2023

ARBORIST REPORT

Prepared by: Cory Shields of Spade Tree Preservation





Arborist Report

[REDACTED]

Eugene, OR

Prepared for:

Groundwater Solutions, Inc.

dba GSI Water Solutions, Inc.

650 NE Holladay St., Suite 900

Portland, OR 97232

for

JH Baxter Removal Action

Project Number: 02060.005.004

Prepared by:

Cory Shields

Certified Arborist PN-8292A

Tree Risk Assessment Qualified

International Society of Arboriculture

29110 Sheep Head Road

Brownsville, OR 97327

Overview

This report covers the mitigation recommendations for the trees at [REDACTED] Street hereto referenced as SO-07. There are 6 total individual trees under the purview of this report, in addition to several shrubs not covered under the tree assessment forms. Figure 1 details the locations of the trees withing SO-07. As detailed in Figure 2, soil removal on the entirety of SO-07 will be at a 12-inch depth.



Figure 1

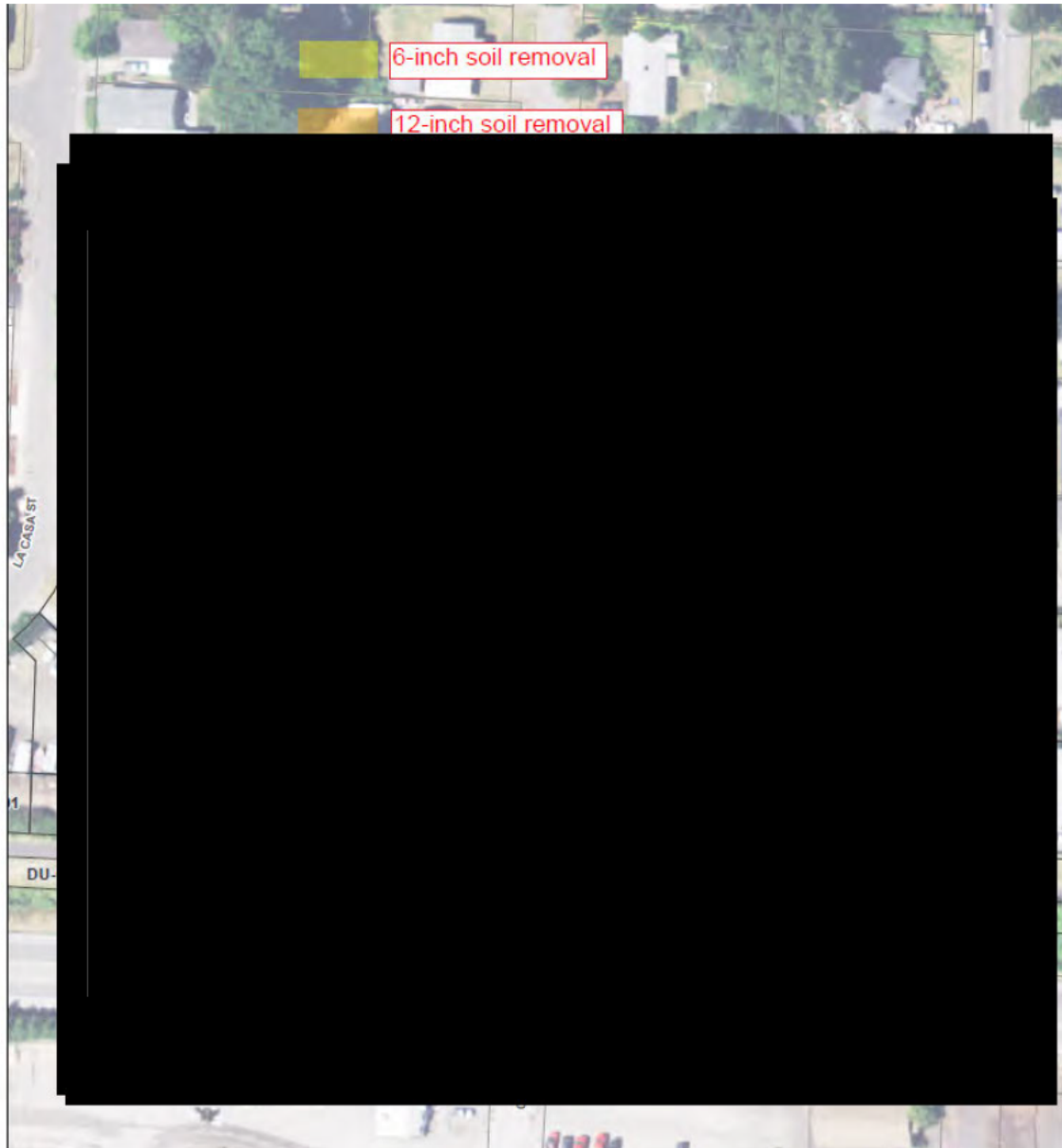


Figure 2

SO-07-01

Located on the western portion of the property in the front yard (Figure 1, 01), tree 01 is an *Acer saccharum* with a diameter of 14", height of 35', and a crown spread of 30' (Figure 3). This tree originates in the City of Eugene right-of-way and to make any recommendations would be a conflict of interest. The best course of action would be to determine the extent of the soil removal in and near the tree and contact the City for options and their recommendations.

SO-07-02

Located on the northwestern corner of the house in the front yard (Figure 1, 02), Tree 02 is a *Acer palmatum* with a diameter of 2", a height of 3', and a crown spread of 5' (Figure 4). Due to the species, the size, and the required soil removal depth, this tree would be best served by transplantation. This tree should be dug up to minimize root loss and once the tree is out of the ground, the soil can be washed off onsite. Care should be taken to place it in an appropriately sized container along with new planting soil. Once in the container with soil, it should be watered in to collapse air pockets and ensure the soil is covering cavities around the roots. It can be replanted once the fall/winter rains set in.

SO-07-03 and 04

Located along the the northern fence line in the backyard (Figure 1, 03 and 04), Tree 03 and 04 are *Malus pumila* both with diameters of 1", a height of 8', and a crown spread of 6' (Figure 5). These specimens can either be transplanted or, if damage can be avoided, vactor, hand, or other minimal impact excavation technique excavated within a 2' radius around the trunk. If transplanted, these trees should be dug up to minimize root loss and once the trees are out of the ground, the soil can be washed off onsite. Care should be taken to place them in an appropriately sized container along with new planting soil. Once in the container with soil, they should be watered in to collapse air pockets and ensure the soil is covering cavities around the roots. These trees can be replanted once the fall/winter rains set in.

SO-07-05

Located along the eastern fence line near the northeastern corner of the property (Figure 1, 05) is an *Acer ginnala* with multi-stem diameter of 6", a height of 20', and a crown spread of 20' (Figure 6). This tree species has a low tolerance to root impacts, is valuable enough to maintain in the landscape, and is too large to transplant. To minimize the impacts to the root system, the soil should be vactor excavated, hand dug, or other minimal impact excavation technique at a diameter of 8' from the center of the trunk. It is recommended that an arborist is onsite while the soil removal is conducted in this critical root zone to monitor potential root damage, and to ensure equipment does not damage the canopy. After the excavation, the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay). Weekly watering should be performed for the following two summers. The tree should be monitored for a minimum of three years after the removal activity to ensure the tree's continued survival and stability.

Shrubs

There are several shrub and plant specimens that would benefit from transplanting prior to soil excavation (Figures 7-10). The shrub pictured in Figure 8 (closest to the northeastern corner of the house) may prove too difficult to transplant, and it can be maintained in the landscape if at a radius of 2' from the trunk the soil is vactor excavated, hand dug, or other minimal impact excavation technique. The other plants and shrubs can be transplanted. These plants should be dug up to minimize root loss and once they are out of the ground, the soil can be washed off onsite. Care should be taken to place them in an appropriately sized container along with new planting soil. Once in the container with soil, they should be watered in to collapse air pockets and ensure the soil is covering cavities around the roots. These plants can be replanted once the fall/winter rains set in.



Figure 3



Figure 4



Figure 5



Figure 6



Figure 7



Figure 8



Figure 9



Figure 10



Basic Tree Risk Assessment Form

Client GSI Date 4/5/23 Time 1420
 Address/Tree location SO-07 Tree no. SO-07-1 Sheet 1 of 1
 Tree species Acer saccharum dbh 14" Height 35' Crown spread dia. 30'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Traffic on road to west	✓	✓	✓	1	N	N
2	Power-lines to house to north	✓	✓	✓	4	N	N
3	House to east	✓	✓	✓	4	N	N
4							

Site Factors

History of failures Crack in branch to southeast Topography Flat ☒ Slope ☐ % Aspect
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 98 % Chlorotic % Necrotic 2 %
 Pests Abiotic Vehicle damaged tear out
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Codominant and poor attachment points

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐
 Recent or planned change in load factors

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 85 %
 Dead twigs/branches ☒ 2 % overall Max. dia. 1"
 Broken/Hangers Number Max. dia.
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other
 Cracks ☒ Branch to southeast side Lightning damage ☐
 Codominant ☒ Included bark ☐
 Weak attachments ☐ Cavity/Nest hole % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☒ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth
 Main concern(s) compartmentalized crack

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole % circ. Depth Poor taper ☐
 Lean ° Corrected?
 Response growth
 Main concern(s)

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ % circ.
 Cracks ☐ Cut/Damaged roots ☒ Distance from trunk 0"
 Root plate lifting ☐ Soil weakness ☐
 Response growth
 Main concern(s) Cut girdling root

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown and Banches	Overextended branches	4"	15'	1	Fence																Low	
			4"	15'	2	Fence																Low	
			4"	15'	3	N/A																Low	
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is mature and the species has a low tolerance for root disturbances. Given these factors and the DBH, a 18' radius from the trunk is the minimum recommended tree protection zone.

Mitigation options Remove cracked branch

Residual risk Low

Residual risk

Residual risk

Residual risk

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Work priority 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐

Recommended inspection interval

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe



Basic Tree Risk Assessment Form

Client GSI Date 4/7/23 Time 1720
 Address/Tree location SO-07 Tree no. SO-07-2 Sheet 1 of 1
 Tree species Acer palmatum dbh 2" Height 3' Crown spread dia. 5'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1						N	N
2						N	N
3						N	N
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☐ Trunk ☐ Roots ☒ Describe Intolerant of root disturbances

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ house to east _____ Relative crown size Small ☒ Medium ☐ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 80 %
 Dead twigs/branches ☒ 5 % overall Max. dia. <0.5"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☐
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☐ _____ Lightning damage ☐
 Codominant ☐ _____ Included bark ☐
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☒ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐ _____
 Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																									
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)		
							Failure				Impact				Failure & Impact (from Matrix 1)										
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe			
1																							Low		
2																									
3																									
4																									

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is young and the species has a low tolerance for root disturbances. Given these factors and the DBH, a 5' radius from the trunk is the minimum recommended tree protection zone.

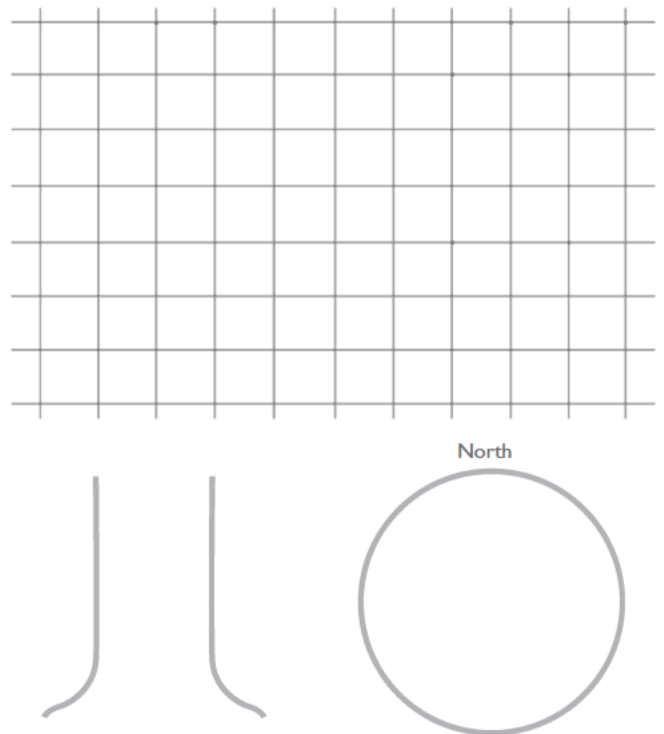
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/7/23 Time 1720
Address/Tree location SO-07 Tree no. SO-07-3 Sheet 1 of 1
Tree species Malus pumila dbh 1" Height 8' Crown spread dia. 6'
Assessor(s) Cory Shields Time frame 3 Years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1						N	N
2						N	N
3						N	N
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 100 % Chlorotic _____ % Necrotic 100 %
Pests _____ Abiotic _____
Species failure profile Branches ☐ Trunk ☐ Roots ☐ Describe _____

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☐ Wind funneling ☐ Relative crown size Small ☒ Medium ☐ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 60 %
Dead twigs/branches ☐ _____ % overall Max. dia. _____
Broken/Hangers Number _____ Max. dia. _____
Over-extended branches ☐
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☒
Reduced ☒ Topped ☐ Lion-tailed ☐
Flush cuts ☐ Other _____
Cracks ☐ _____ Lightning damage ☐
Codominant ☐ _____ Included bark ☐
Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
Previous branch failures ☐ _____ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐ _____
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
Lean _____ ° Corrected? _____
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ _____ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
Root plate lifting ☐ Soil weakness ☐
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																								
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)	
							Failure				Impact				Failure & Impact (from Matrix 1)									
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe		
1																							Low	
2																								
3																								
4																								

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is young and the species has a low tolerance for root disturbances. Given these factors and the DBH, a 5' radius from the trunk is the minimum recommended tree protection zone.

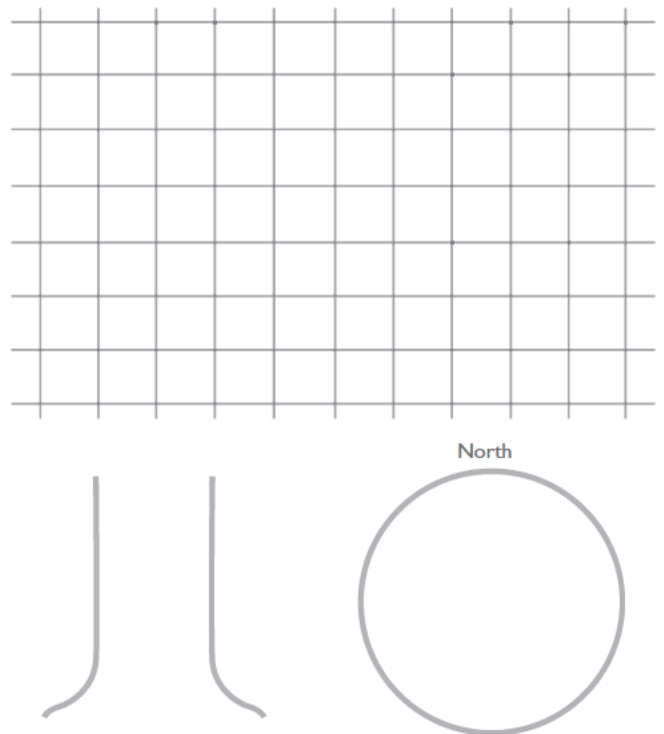
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/7/23 Time 1720
Address/Tree location SO-07 Tree no. SO-07-4 Sheet 1 of 1
Tree species Malus pumila dbh 1" Height 8' Crown spread dia. 6'
Assessor(s) Cory Shields Time frame 3 Years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1						N	N
2						N	N
3						N	N
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 100 % Chlorotic _____ % Necrotic 100 %
Pests _____ Abiotic _____
Species failure profile Branches ☐ Trunk ☐ Roots ☐ Describe _____

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☐ Wind funneling ☐ Relative crown size Small ☒ Medium ☐ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 60 %
Dead twigs/branches ☐ _____ % overall Max. dia. _____
Broken/Hangers Number _____ Max. dia. _____
Over-extended branches ☐
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☒
Reduced ☒ Topped ☐ Lion-tailed ☐
Flush cuts ☐ Other _____
Cracks ☐ _____ Lightning damage ☐
Codominant ☐ _____ Included bark ☐
Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
Previous branch failures ☐ _____ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐ _____
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐ _____
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
Lean _____ ° Corrected? _____
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ _____ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
Root plate lifting ☐ Soil weakness ☐
Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1																							Low
2																							
3																							
4																							



Basic Tree Risk Assessment Form

Client GSI Date 4/7/23 Time 1720
 Address/Tree location SO-07 Tree no. SO-07-5 Sheet 1 of 1
 Tree species Acer ginnala dbh 6" Multistem Height 20' Crown spread dia. 20'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Fence to east	✓	✓	✓	4	N	N
2						N	N
3						N	N
4							

Site Factors

History of failures Broken branches Topography Flat ☒ Slope ☐ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☐ Trunk ☐ Roots ☐ Describe _____

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to east _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 75 %
 Dead twigs/branches ☒ 5 % overall Max. dia. 1'
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☒
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Main concern(s) Codominant stem

Cracks ☐ Lightning damage ☐
 Codominant ☒ Included bark ☐
 Weak attachments ☒ Epicormic _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ Similar branches present ☐
 Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth _____

Load on defect N/A ☐ Minor ☒ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☒
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth Around old wounds
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☒ Cavity ☐ _____ % circ.
 Cracks ☒ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown and Branches	Codominant stem	2"	7'	1	N/A	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	Low		
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>				
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
2							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		
3							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		
4							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>			
							<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>	<div></div>		

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is mature and the species has a low tolerance for root disturbances. Given these factors and the DBH, a 8' radius from the trunk is the minimum recommended tree protection zone.

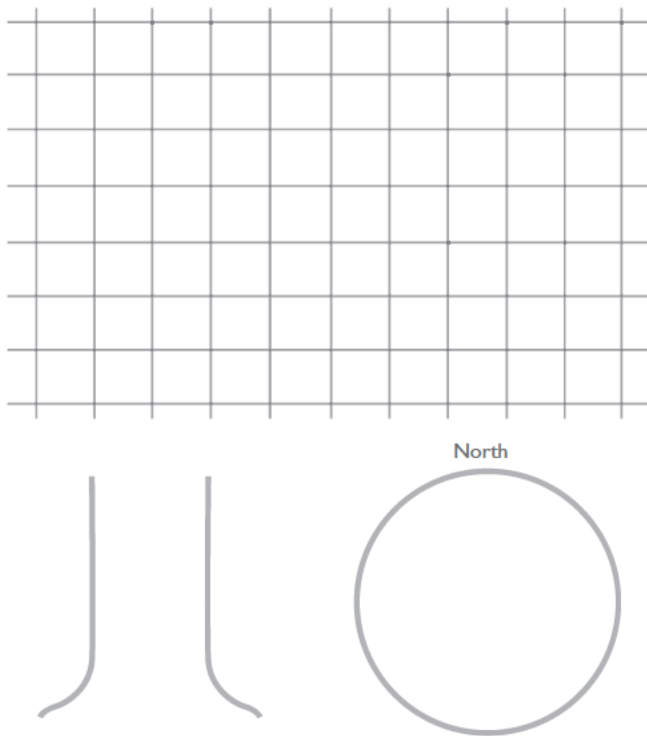
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

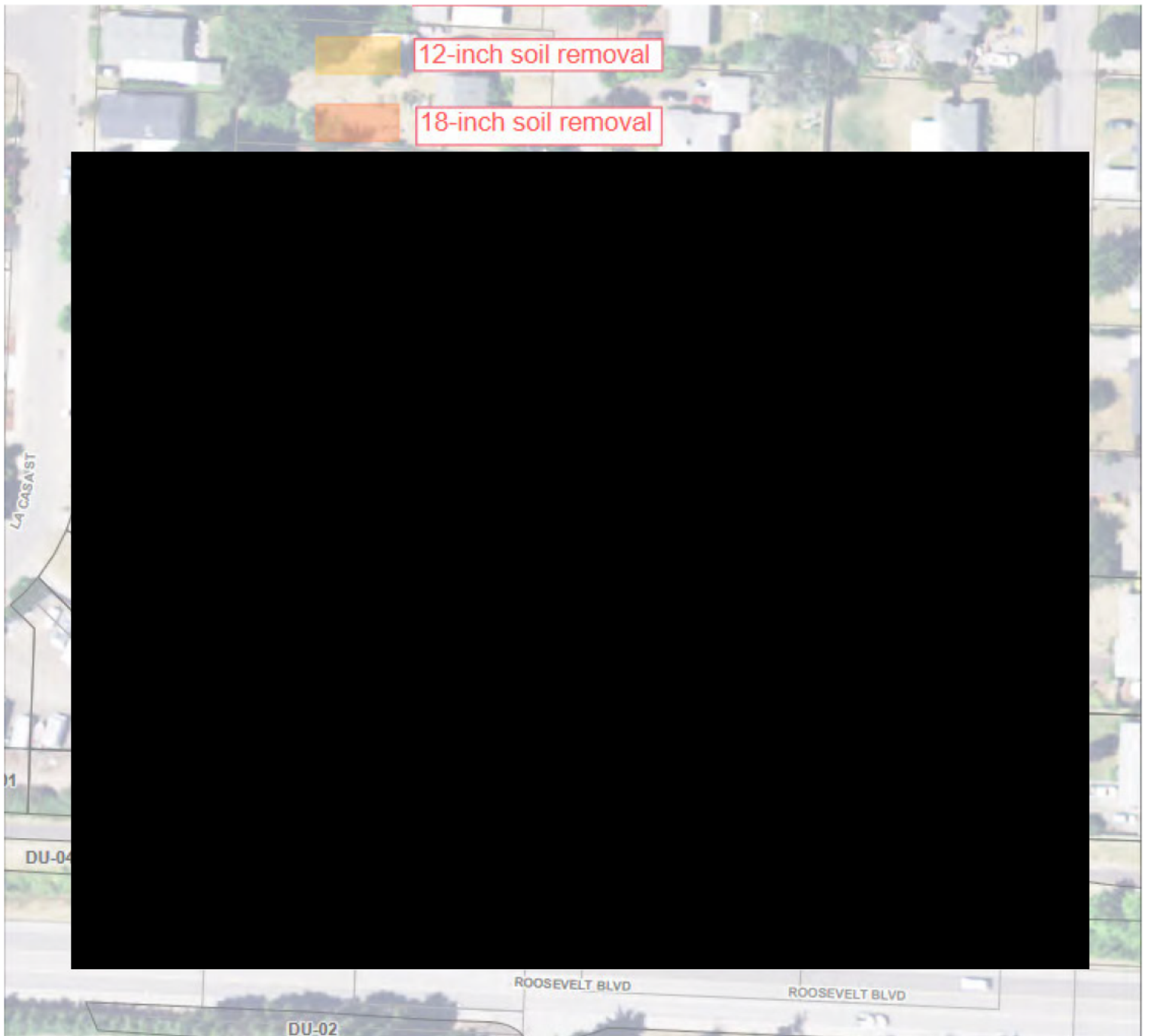
Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____



June 2023

ARBORIST REPORT

Prepared by: Cory Shields of Spade Tree Preservation





Arborist Report

[REDACTED] Dr.

Eugene, OR

Prepared for:

Groundwater Solutions, Inc.

dba GSI Water Solutions, Inc.

650 NE Holladay St., Suite 900

Portland, OR 97232

for

JH Baxter Removal Action

Project Number: 02060.005.004

Prepared by:

Cory Shields

Certified Arborist PN-8292A

Tree Risk Assessment Qualified

International Society of Arboriculture

29110 Sheep Head Road

Brownsville, OR 97327

Overview

This report covers the mitigation recommendations for the trees at [REDACTED] Dr. hereto referenced as AP-01. There are 6 total individual trees under the purview of this report, in addition to several shrubs not covered under the tree assessment forms. Figure 1 details the locations of the trees withing SO-07. As detailed in Figure 2, soil removal on the entirety of SO-07 will be at a 12-inch depth.



Figure 1

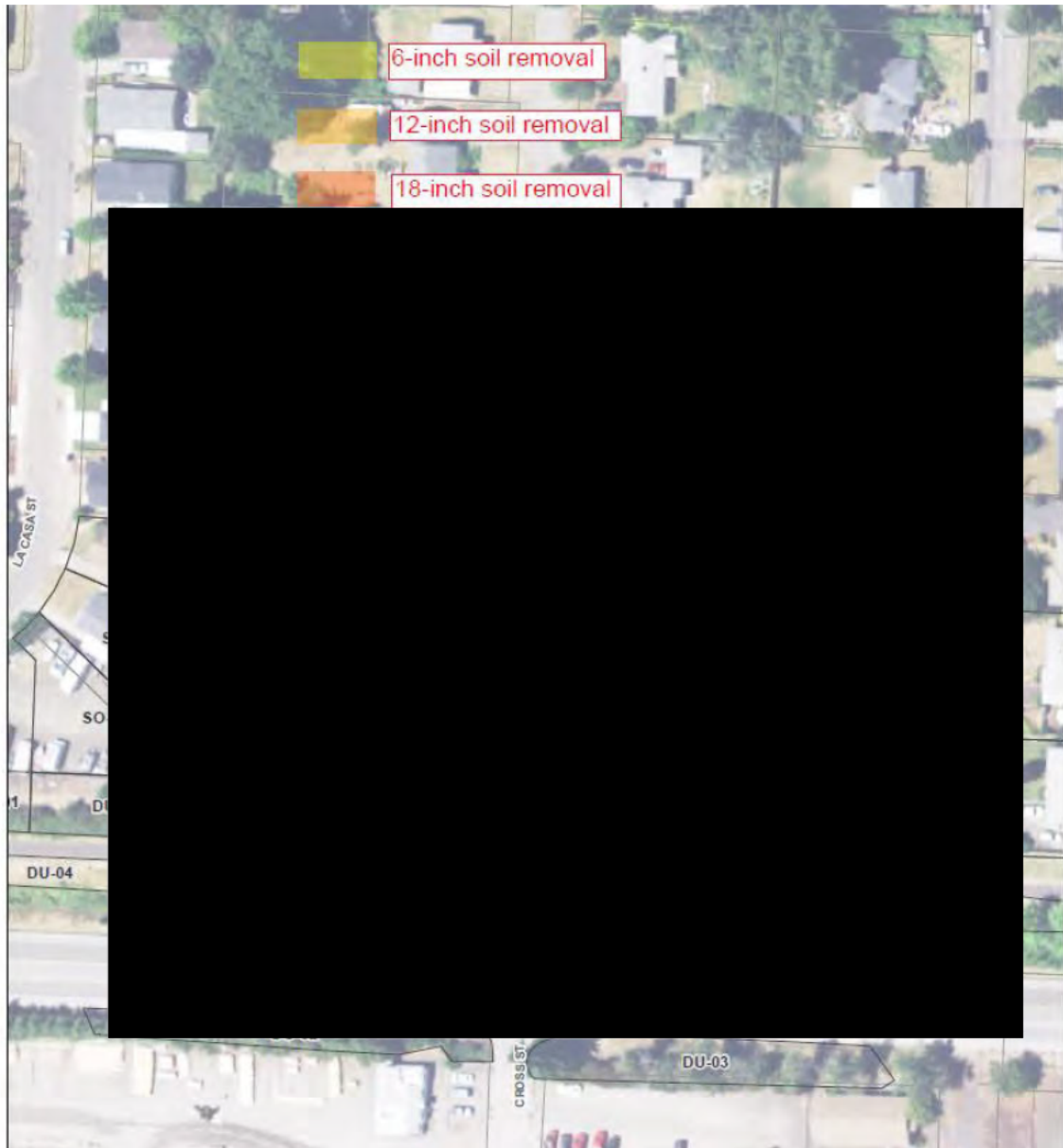


Figure 2

AP-01-01

Located on the eastern portion of the property in the front yard to the north of the driveway (Figure 1, 01), tree 01 is a *Chamaecyparis lawsoniana* with a diameter of 29", height of 80', and a crown spread of 40' (Figure 3). This tree originates in the City of Eugene right-of-way and to make any recommendations would be a conflict of interest. The best course of action would be to determine the extent of the soil removal in and near the tree and contact the City for options and their recommendations.

AP-01-02

Located in the backyard, due west of the house (Figure 1, 02), Tree 02 is a *Malus ioensis* with a multi-stem diameter of 12", a height of 15', and a crown spread of 20' (Figure 4). This tree species has a high tolerance to root impacts, is valuable enough to maintain in the landscape, and is too large to transplant. To minimize the impacts to the root system, the soil should be vacuum excavated, hand dug, or other minimal impact excavation technique at a radius of 8' from the center of the trunks. It is recommended that an arborist is onsite while the soil removal is conducted in this critical root zone to monitor potential root damage, and to ensure equipment does not damage the canopy. After the excavation, the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, and the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay). Weekly watering should be performed for the following two summers. The tree should be monitored for a minimum of three years after the soil removal activity to ensure the tree's continued survival and stability.

AP-01-03

Located near the southwestern corner of the property in the backyard (Figure 1, 03), Tree 03 is a *Juglans nigra* with a diameter of 18", a height of 40', and a crown spread of 35' (Figure 5). This tree species has a low tolerance to root impacts, is valuable enough to maintain in the landscape, and is too large to transplant. To minimize the impacts to the root system, the soil should be vacuum excavated, hand dug, or other minimal impact excavation technique at a radius of 18' from the center of the trunk. It is recommended that an arborist is onsite while the soil removal is conducted in this critical root zone to monitor potential root damage, and to ensure equipment does not damage the canopy. After the excavation, the exposed roots should be covered as soon as possible either with a tarp, mulch, or new soil. New soil should be added within a couple of days, and the new soil should match the native soil in terms of consistency and makeup (sand, silt, clay). Weekly watering should be performed for the following two summers. The tree should be monitored for a minimum of three years after the removal activity to ensure the tree's continued survival and stability.

AP-01-04

Located along the northern fence line near the northwestern corner of the property (Figure 1, 04), tree 04 is a *Ligustrum ovalifolium* with a multistem diameter of 3", height of 15', and a crown spread of 20' (Figure 6). This specimen is in such poor shape removal is the best and most cost-effective option. The tree can be removed at the same time as the soil excavation.

AP-01-05

Located in the northwestern corner of the property (Figure 1, 05), tree 05 is a *Corylus avellana* with a multi-stem diameter of 8", height of 15', and a crown spread of 25' (Figure 7). This specimen has an old decaying trunk in the center of multiple stems (Figure 8). The tree is in such poor shape removal is the best and most cost-effective option. To reduce the risk of root failure, the tree should be removed prior to the soil removal.

Laurel and *Juglans nigra*

There is a Laurel shrub (*Prunus spp.*) located in the northwestern corner of the property (Figure 9). This shrub can be maintained if a radius of 2' is vector excavated, hand dug, or other minimal impact excavation technique around the main trunk. Along the southern fence line in the backyard is a cluster of small *Juglans nigra*, which are immature and poorly sited (Figure 10). The trees should be removed with the soil excavation activities.



Figure 3



Figure 4



Figure 5



Figure 6



Figure 7



Figure 8



Figure 9



Figure 10



Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1910
Address/Tree location AP-01 Tree no. AP-01-1 Sheet 1 of 1
Tree species Chamaecyparis lawsoniana dbh 29" Height 80' Crown spread dia. 40'
Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet, binoculars

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Traffic on road to east	✓	✓	✓	1	N	N
2	House to west		✓	✓	4	N	N
3	Power-lines to north and east		✓	✓	4	N	N
4							

Site Factors

History of failures None apparent Topography Flat ☒ Slope ☐ % Aspect _____
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☒ 45 % Describe Road to west, driveway south
Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 95 % Chlorotic _____ % Necrotic 5 %
Pests _____ Abiotic _____
Species failure profile Branches ☐ Trunk ☒ Roots ☐ Describe Codominant failures

Load Factors

Wind exposure Protected ☐ Partial ☐ Full ☒ Wind funneling ☐ Relative crown size Small ☐ Medium ☐ Large ☒
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐ _____
Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 80 %
Dead twigs/branches ☒ 5 % overall Max. dia. 2"
Broken/Hangers Number 1 Max. dia. 1"
Over-extended branches ☒
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☐
Reduced ☐ Topped ☒ Lion-tailed ☐
Flush cuts ☐ Other _____
Cracks ☐ Lightning damage ☐
Codominant ☒ Included bark ☒
Weak attachments ☒ at codominant Cavity/Nest hole _____ % circ.
Previous branch failures ☐ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐
Response growth _____

Main concern(s) codominant stems

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☒
Likelihood of failure Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☒
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
Lean _____ ° Corrected? _____

Response growth Around old cuts
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ _____ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
Root plate lifting ☐ Soil weakness ☐

Response growth _____
Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																					
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood								Consequences				Risk rating of part (from Matrix 2)		
							Failure				Impact				Failure & Impact (from Matrix 1)						
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely		Negligible	Minor
1	Crown and Banches	Codominant stems	12"	40'	1	N/A															Low
			12"	30'	2	N/A															Low
			12"	25'	3	N/A															Low
2																					
3																					
4																					

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is mature and the species has a high tolerance for root disturbances. Given these factors and the DBH, a 19' radius from the trunk is the minimum recommended tree protection zone. This tree falls in the right-of-way for the city of Eugene and they should be consulted on root impacts.

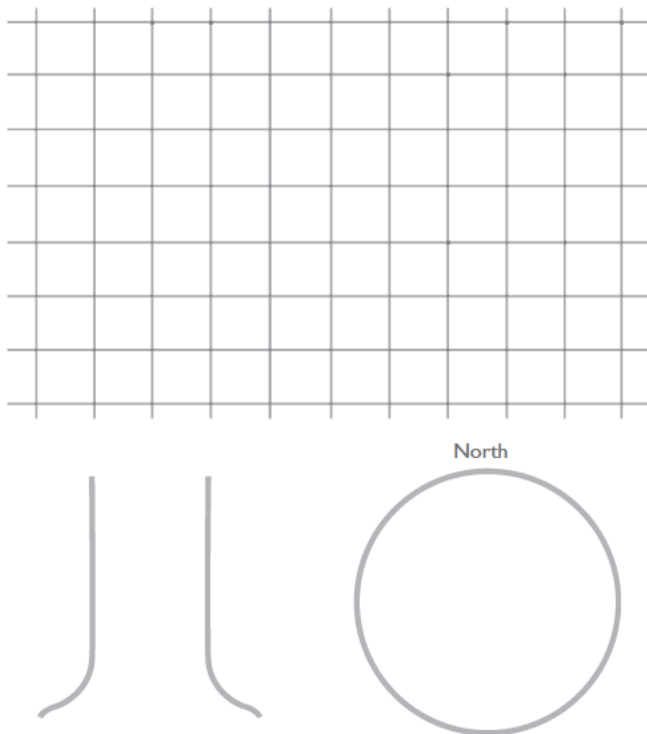
Mitigation options _____ **Residual risk** _____
 _____ **Residual risk** _____
 _____ **Residual risk** _____
 _____ **Residual risk** _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary **Advanced assessment needed** ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☒ None ☐ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1950
 Address/Tree location AP-01 Tree no. AP-01-2 Sheet 1 of 1
 Tree species Malus ioensis dbh 12" Multistem Height 15' Crown spread dia. 20'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	People in yard	✓	✓	✓	1	Y	Y
2							
3							
4							

Site Factors

History of failures Small branches Topography Flat ☒ Slope ☐ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 90 % Chlorotic _____ % Necrotic 10 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Codominant stem failures, wear attachment failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ House to northwest _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☐ Dense ☒ Interior branches Few ☐ Normal ☐ Dense ☒ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 100 %
 Dead twigs/branches ☒ 10 % overall Max. dia. 2"
 Broken/Hangers Number 1 Max. dia. 3"
 Over-extended branches ☐
 Pruning history
 Crown cleaned ☐ Thinned ☒ Raised ☐
 Reduced ☐ Topped ☒ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☐ Lightning damage ☐
 Codominant ☒ Included bark ☒
 Weak attachments ☒ Cavity/Nest hole _____ % circ.
 Previous branch failures ☒ Overweighted Similar branches present ☒
 Dead/Missing bark ☒ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☒ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth Around stem in center of tree
 Main concern(s) Center stem with dead on it

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
 Likelihood of failure
 Improbable ☒ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure
 Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Trunk	dead bark around center stem	4"	10'	1	other																Low	
						branches																	
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is mature and the species has a good tolerance for root disturbances. Given these factors and the DBH an 8' radius from the trunk is the minimum recommended tree protection zone.

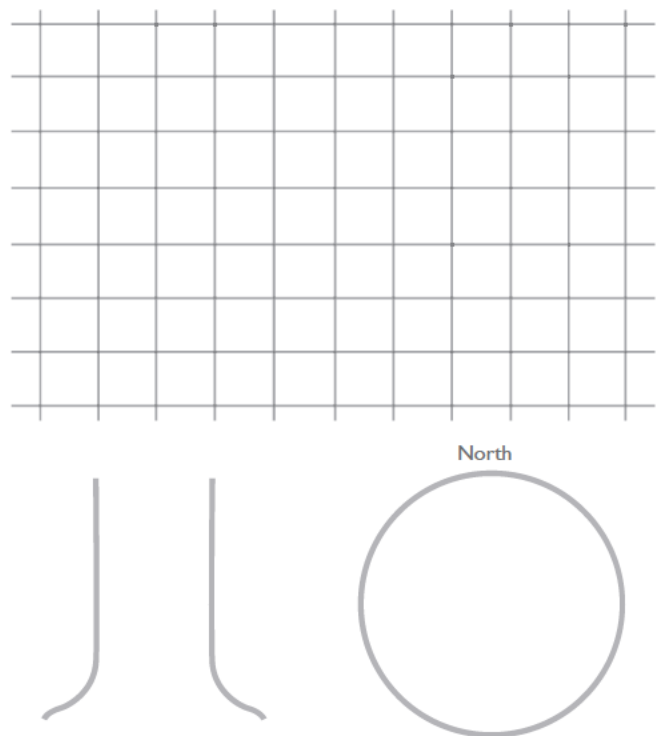
Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☒ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe Losing Daylight _____





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1930
Address/Tree location AP-01 Tree no. AP-01-3 Sheet 1 of 1
Tree species Juglans nigra dbh 18" Height 40' Crown spread dia. 35'
Assessor(s) Cory Shields Time frame 3 Years Tools used Probe, mallet

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Neighbor's shed to southwest	✓	✓	✓	4	N	N
2	Neighbor's shed to west	✓	✓	✓	4	N	N
3	Playhouse to east	✓	✓	✓	4	N	N
4							

Site Factors

History of failures None apparent Topography Flat ☒ Slope ☐ % Aspect
Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe
Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ % Describe
Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 95 % Chlorotic % Necrotic 5 %
Pests Abiotic
Species failure profile Branches ☒ Trunk ☐ Roots ☐ Describe Overextended branch failures

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Playhouse to east Relative crown size Small ☐ Medium ☒ Large ☐
Crown density Sparse ☐ Normal ☒ Dense ☐ Interior branches Few ☐ Normal ☒ Dense ☐ Vines/Mistletoe/Moss ☐
Recent or planned change in load factors

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☐ LCR 90 %
Dead twigs/branches ☒ 5 % overall Max. dia. 2"
Broken/Hangers Number Max. dia.
Over-extended branches ☒
Pruning history
Crown cleaned ☐ Thinned ☐ Raised ☐
Reduced ☐ Topped ☒ Lion-tailed ☐
Flush cuts ☐ Other
Cracks ☐ Lightning damage ☐
Codominant ☒ Included bark ☐
Weak attachments ☐ Cavity/Nest hole % circ.
Previous branch failures ☐ Similar branches present ☐
Dead/Missing bark ☐ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
Conks ☐ Heartwood decay ☐
Response growth
Main concern(s) overextended branches

Load on defect N/A ☐ Minor ☐ Moderate ☒ Significant ☐
Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
Codominant stems ☐ Included bark ☐ Cracks ☐
Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
Cavity/Nest hole % circ. Depth Poor taper ☐
Lean ° Corrected?
Response growth
Main concern(s)

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth Stem girdling ☐
Dead ☐ Decay ☐ Conks/Mushrooms ☐
Ooze ☐ Cavity ☐ % circ.
Cracks ☐ Cut/Damaged roots ☐ Distance from trunk
Root plate lifting ☐ Soil weakness ☐
Response growth
Main concern(s)

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Crown and Banches	Overextended branches	4"	25'	1	Fence																	Low
			4"	25'	2	Fence																	Low
			4"	25'	3	N/A																	Low
2																							
3																							
4																							

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is young and the species has a low tolerance for root disturbances. Given these factors and the DBH, a 18' radius from the trunk is the minimum recommended tree protection zone.

Mitigation options Reduce overextended branches **Residual risk** Low

Residual risk _____

Residual risk _____

Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐ **Work priority** 1 ☐ 2 ☐ 3 ☐ 4 ☐

Overall residual risk Low ☒ Moderate ☐ High ☐ Extreme ☐ **Recommended inspection interval** _____

Data ☐ Final ☒ Preliminary **Advanced assessment needed** ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☒ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe Losing sunlight



Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 1940
 Address/Tree location AP-01 Tree no. AP-01-4 Sheet 1 of 1
 Tree species Ligustrum ovalifolium dbh 3" Multistem Height 15' Crown spread dia. 20'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Fence to north	✓	✓	✓	4	N	N
2							
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☐ None (dead) ☐ Normal 60 % Chlorotic _____ % Necrotic 40 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☐ Trunk ☐ Roots ☐ Describe _____

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Fence to north _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☐ Dense ☒ Interior branches Few ☐ Normal ☐ Dense ☒ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 90 %
 Dead twigs/branches ☒ 40 % overall Max. dia. 2"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☒ Raised ☐
 Reduced ☒ Topped ☐ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Cracks ☒ _____ Lightning damage ☐
 Codominant ☐ _____ Included bark ☒
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☒ Overweighted Similar branches present ☒
 Dead/Missing bark ☒ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☐ _____
 Response growth _____
 Main concern(s) Overextended branches

Load on defect N/A ☐ Minor ☒ Moderate ☐ Significant ☐ _____
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☐ Included bark ☐ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																							
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood												Consequences				Risk rating of part (from Matrix 2)
							Failure				Impact				Failure & Impact (from Matrix 1)								
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely	Negligible	Minor	Significant	Severe	
1	Branches	Overextended branches	1"	4'	1	N/A	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Low
2							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
3							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is mature and the species has a moderate tolerance for root disturbances. Given these factors and the DBH, an 5' radius from the trunk is the minimum recommended tree protection zone. This specimen is in poor condition and retention should not be a priority.

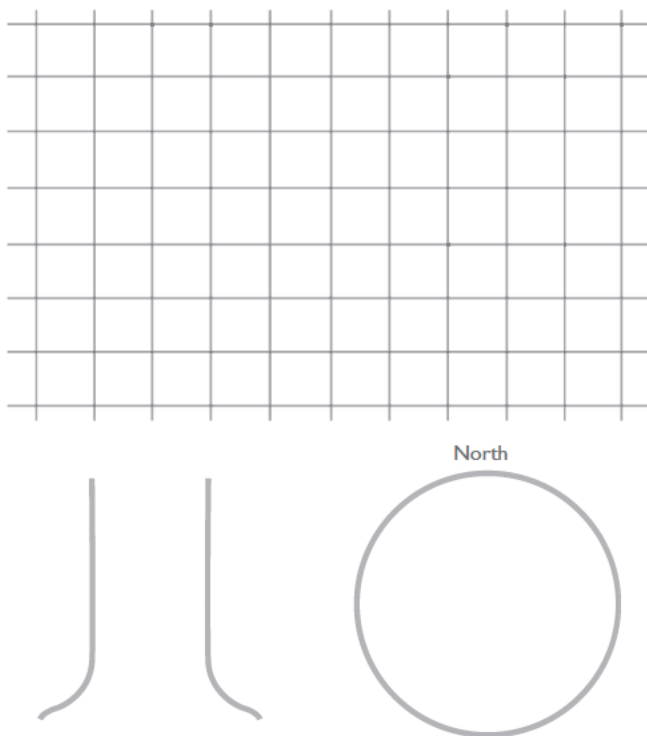
Mitigation options _____ **Residual risk** _____
 _____ **Residual risk** _____
 _____ **Residual risk** _____
 _____ **Residual risk** _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary **Advanced assessment needed** ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☒ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe Losing Daylight





Basic Tree Risk Assessment Form

Client GSI Date 4/6/23 Time 2005
 Address/Tree location AP-01 Tree no. AP-01-5 Sheet 1 of 1
 Tree species Corylus avellana dbh 8" Multistem Height 15' Crown spread dia. 25'
 Assessor(s) Cory Shields Time frame 3 Years Tools used Probe

Target Assessment

Target number	Target description	Target zone			Occupancy rate 1 – rare 2 – occasional 3 – frequent 4 – constant	Practical to move target?	Restriction practical?
		Target within drip line	Target within 1 x Ht.	Target within 1.5 x Ht.			
1	Fence to north and west	✓	✓	✓	4	N	N
2	People in yard	✓	✓	✓	1	Y	Y
3							
4							

Site Factors

History of failures N/A Topography Flat ☒ Slope ☐ _____ % Aspect _____
 Site changes None ☒ Grade change ☐ Site clearing ☐ Changed soil hydrology ☐ Root cuts ☐ Describe _____
 Soil conditions Limited volume ☐ Saturated ☐ Shallow ☐ Compacted ☐ Pavement over roots ☐ _____ % Describe _____
 Prevailing wind direction W Common weather Strong winds ☒ Ice ☒ Snow ☒ Heavy rain ☒ Describe Ice/snow on ~ 2 year interval

Tree Health and Species Profile

Vigor Low ☐ Normal ☒ High ☐ Foliage None (seasonal) ☒ None (dead) ☐ Normal 90 % Chlorotic _____ % Necrotic 10 %
 Pests _____ Abiotic _____
 Species failure profile Branches ☐ Trunk ☐ Roots ☐ Describe _____

Load Factors

Wind exposure Protected ☐ Partial ☒ Full ☐ Wind funneling ☐ Hedge and fence _____ Relative crown size Small ☐ Medium ☒ Large ☐
 Crown density Sparse ☐ Normal ☐ Dense ☒ Interior branches Few ☐ Normal ☐ Dense ☒ Vines/Mistletoe/Moss ☐ _____
 Recent or planned change in load factors _____

Tree Defects and Conditions Affecting the Likelihood of Failure

— Crown and Branches —

Unbalanced crown ☒ LCR 95 %
 Dead twigs/branches ☒ 10 % overall Max. dia. 1"
 Broken/Hangers Number _____ Max. dia. _____
 Over-extended branches ☒
 Pruning history
 Crown cleaned ☐ Thinned ☐ Raised ☐
 Reduced ☐ Topped ☒ Lion-tailed ☐
 Flush cuts ☐ Other _____
 Main concern(s) Topped, resprouts

Cracks ☐ _____ Lightning damage ☐
 Codominant ☒ _____ Included bark ☒
 Weak attachments ☐ _____ Cavity/Nest hole _____ % circ.
 Previous branch failures ☐ _____ Similar branches present ☐
 Dead/Missing bark ☒ Cankers/Galls/Burls ☐ Sapwood damage/decay ☐
 Conks ☐ Heartwood decay ☒ Central dead stem ☐
 Response growth _____

Load on defect N/A ☐ Minor ☐ Moderate ☐ Significant ☐ _____
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐ _____

— Trunk —

Dead/Missing bark ☐ Abnormal bark texture/color ☐
 Codominant stems ☒ Included bark ☒ Cracks ☐
 Sapwood damage/decay ☐ Cankers/Galls/Burls ☐ Sap ooze ☐
 Lightning damage ☐ Heartwood decay ☐ Conks/Mushrooms ☐
 Cavity/Nest hole _____ % circ. Depth _____ Poor taper ☐
 Lean _____ ° Corrected? _____
 Response growth _____
 Main concern(s) Central dead stem

Load on defect N/A ☐ Minor ☒ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☒ Probable ☐ Imminent ☐

— Roots and Root Collar —

Collar buried/Not visible ☐ Depth _____ Stem girdling ☐
 Dead ☐ Decay ☐ Conks/Mushrooms ☐
 Ooze ☐ Cavity ☐ _____ % circ.
 Cracks ☐ Cut/Damaged roots ☐ Distance from trunk _____
 Root plate lifting ☐ Soil weakness ☐
 Response growth _____
 Main concern(s) _____

Load on defect N/A ☒ Minor ☐ Moderate ☐ Significant ☐
 Likelihood of failure Improbable ☐ Possible ☐ Probable ☐ Imminent ☐

Risk Categorization																					
Condition number	Tree part	Conditions of concern	Part size	Fall distance	Target number	Target protection	Likelihood								Consequences				Risk rating of part (from Matrix 2)		
							Failure				Impact									Failure & Impact (from Matrix 1)	
							Improbable	Possible	Probable	Imminent	Very low	Low	Medium	High	Unlikely	Somewhat	Likely	Very likely		Negligible	Minor
1	Trunk	Central dead trunk	3"	8'	1	shrub	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	Low
			3"	8'	2	N/A	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	Low	
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
2							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
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3							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
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							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
4							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
							<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		

Matrix 1. Likelihood matrix.

Likelihood of Failure	Likelihood of Impacting Target			
	Very low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very likely
Probable	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

Matrix 2. Risk rating matrix.

Likelihood of Failure & Impact	Consequences of Failure			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

Notes, explanations, descriptions This specimen is mature and the species has a good tolerance for root disturbances. Given these factors and the DBH, a 14' radius from the trunk is the minimum recommended tree protection zone.

Mitigation options _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____
 _____ Residual risk _____

Overall tree risk rating Low ☒ Moderate ☐ High ☐ Extreme ☐

Overall residual risk Low ☐ Moderate ☐ High ☐ Extreme ☐

Data ☐ Final ☒ Preliminary Advanced assessment needed ☐ No ☐ Yes-Type/Reason _____

Inspection limitations ☐ None ☒ Visibility ☐ Access ☐ Vines ☐ Root collar buried Describe Losing Daylight _____

