

LANE TRANSIT DISTRICT

RESOLUTION NO. 2006-028

**A RESOLUTION REVISING DBE POLICIES AND
DBE AFFIRMATIVE ACTION PROGRAM**

THE LANE TRANSIT DISTRICT BOARD OF DIRECTORS RESOLVES AS FOLLOWS:

WHEREAS, the LTD Board of Directors established by resolution an Affirmative Action Program and Disadvantaged Business Enterprise (DBE) Policy and adopted the same on the 20th day of October 1981; and

WHEREAS, LTD is required by 49 CFR Part 26 (formerly administered under 49 CFR Part 23), as amended, to maintain a policy statement giving DBE firms the maximum opportunity to participate in the performance of contracts financed in whole or part by the Department of Transportation (DOT) or other federal agencies; and

WHEREAS, LTD adopts new DBE policies and program on an annual basis; and

WHEREAS, said policies and program require amendment to comply with updated regulations; and

WHEREAS, the attached policies and program previously were amended to FY 2005-2006 DBE Policies and DBE Affirmative Action Program;

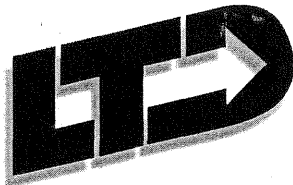
NOW, THEREFORE, BE IT RESOLVED that the FY 2006-2007 DBE Policies and DBE Program, copies of which are attached to and hereby made a part of the Resolution, are adopted.

September 19, 2006

Date


Board President

Q:\Reference\Board Packet\2006\09\Special Mtg 09-19-06\DBERES 06-07.doc



Lane Transit District

Lane Transit District
P. O. Box 7070
Eugene, Oregon 97401

(541) 682-6100
Fax: (541) 682-6111

CONSENT CALENDAR ITEM

FISCAL YEAR 2006-2007 DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM

Prepared by Jeanette Bailor, Purchasing Manager
September 19, 2006

On October 20, 1981, the LTD Board of Directors established by resolution an affirmative action program for disadvantaged business enterprise participation in Department of Transportation or other federal agency financial assistance projects. Since that time, the Board has adopted revised Disadvantaged Business Enterprise (DBE) Policies and DBE Affirmative Action Programs on an annual basis. DBEs are defined as women- and minority-owned business enterprises.

LTD's Board of Directors is being asked to approve the revised FY 2006-2007 goal and program.

Program Components:

- (1) Resolution Revising DBE Policies and DBE Affirmative Action Program
- (2) Fiscal Year 2006-2007 Overview and Goals
- (3) Fiscal Year 2006-2007 Policy Program and Appendices

Attachments: Attached are the Goals and Overview of the revised FY 2006-2007 DBE Policies and Programs. The entire document is being distributed to the Board under separate cover and can be reviewed upon request by any member of the public. Also attached is LTD Resolution No. 2006-028, A Resolution Revising DBE Policies and DBE Affirmative Action Program.

Staff Recommendation: Staff recommend that the Board adopt the attached Resolution Revising DBE Policies and DBE Affirmative Action Program as part of the Consent Calendar for September 19, 2006.

Consequences of Recommended Action: The revised DBE Policy and Program will be submitted to the Federal Transit Administration (FTA) for approval.

DISADVANTAGED BUSINESS ENTERPRISE GOALS & OVERVIEW FISCAL YEAR 2006-2007

Overall DBE Goal: Lane Transit District's overall goal for FY 2006-2007 is 3.93 percent (3.93%) of FTA-funded contracting activities, exclusive of FTA funds to be used for the purchase of transit vehicles.

The estimated total of FTA-funded contracting activities is:

Construction	\$ 1,462,700
Professional Services	\$ 6,912,750
Materials, Equipment	<u>\$ 1,545,510</u>
Total	\$ 9,920,960

LTD has used a two-step process to establish the overall aspirational goal for DBE participation:

Step 1. Base figures for DBE availability were developed using the Census Bureau's County Business Pattern database and the Oregon Office of Minority, Women, and Emerging Small Business Directory, with DBEs for Lane County selected.

As of December 2004 (the most current census figures available), the number of businesses in Lane County on the attached list of NAICS (North American Industry Classification System) codes is 535. There are 21 DBE businesses in the same NAICS code categories, as shown in the attached listing of DBE businesses.

The percentage based on the above figures is 3.93 percent. External data has been used to develop this goal. The District expects to develop internal data sources during the next year and expects to refine this methodology prior to setting goals for the next fiscal year.

Step 2. Historically, the goal achieved has ranged between 2 percent and 14 percent, with a 4 percent average. The goal achieved is related directly to the number of construction projects and opportunities for professional services. These historical figures are all related to contracts that have utilized a contract goal. LTD typically does not get any DBE participation on contracts that are federally funded without assigning a goal to the contract. Occasionally the goal is not met.

From the above data, a goal has been set at 3.93 percent. The District will meet the maximum feasible portion of its overall aspirational goal by using race-neutral means of facilitating DBE participation. It is estimated that, in meeting the stated overall aspirational goal, 3.93 percent will be obtained from race-neutral participation. The District uses the following race-neutral measures to increase DBE participation:

- Review of types and numbers of contracts projected that will use FTA funds, configuring large contracts into smaller contracts when feasible. Identify components of work that represent subcontracting opportunities and identify the availability of DBE sub-contractors.
- Provide technical assistance in orienting small-business-to-public-contract procedures.
- Make available for use by prime contractors a directory of DBEs that has been compiled by the Oregon Office of Minority, Women, and Emerging Small Business.
- Notify community organizations, general contractors groups, and those agencies knowledgeable about dispersing contract availability to DBEs.

**FTA FUNDING BASE
PROJECTED AWARDS FOR FISCAL YEAR 2005-2006**

1) Applicant: Lane Transit District 3) Months Covered: July 2006 to June 2007
2) Address: PO Box 7070, Eugene, OR 97401

(4) Contract/ Procurement Category	(5) Total FTA Capital Funds	(6) Total FTA Operating Funds	(7) Total FTA Planning Funds	(8) Total Other FTA Funds	(9) FTA Funds With Contracting Opportunities
(10) Non Contracting Opportunities	0	0	0	0	0
(11) Construction	1,462,700	0	0	0	1,462,700
(12) Professional Services	6,912,750	0	0	0	6,912,750
(13) Materials/ Supplies/ Equipment	1,545,510	0	0	0	1,545,510
(14) Other	0	0	0	0	0
(15) Totals	9,920,960	0	0	0	9,920,960

(16) Prepared by: Jeanette Bailor
(17) Certified by: Jeanette Bailor

Phone #: (541) 682-6100
Date: 08/31/06

CALCULATION OF ANNUAL GOALS FOR FISCAL YEAR 2006-2007

1) Applicant: Lane Transit District (3) Months Covered: July 2006 to June 2007
2) Address: PO Box 7070, Eugene, OR 97401 (4) Prepared by: Jeanette Bailor

(4) Contract/ Procurement Category	(5) FTA Funds With Contracting Opportunities	(6) FTA Adjusted Dollars Credit	DBE	(7) Total FTA Dollars DBE	(8) Percentages DBE
(9) Construction	1,462,700	100.00%	1,462,700	58,508	5.00%
(10) Professional Services	6,912,750	100.00%	6,912,750	340,799	5.27%
(11) Materials Supplies Equipment	2,575,850	60.00%	1,545,510	30,910	4.00%
(12) Other	0	100.00%	0	0	
(13) Totals	10,951,300		9,920,960	430,217	3.93%

(14) Annual Overall DBE Goal = Total Column (7) divided by Total Column (5) X 100 = 3.93%

(15) Prepared by: Jeanette Bailor
(16) Certified by: Jeanette Bailor

Phone #: (541) 682-6100
Date: 08/31/06

**LANE TRANSIT DISTRICT
PROJECTED FTA FUNDED CONTRACT AWARDS
FISCAL YEAR 2006-2007**

**ATTACHMENT 3
PAGE 1**

OPERATING FUNDS	PERSONAL SERVICES	MATERIALS & SVCS	CONTRACT SVCS	TOTAL
TRANSP. DEMAND 89.73%	127,800	14,400	10,000	152,200
MGMT(COMMUTER SOLUTIONS)				0
CS 100%	260,000	156,250	156,250	572,500
OPERATING COSTS		0	0	0
GRANT-ELIGIBLE COST	387,800	170,650	166,250	724,700
NONCONTRACTING OPPORTUNITIES				
PERSONAL SERVICES	387,800			387,800
NET CONTRACTING				
OPPORTUNITIES - TDM	0	170,650	166,250	336,900
CAPITAL FUNDS				
ADP SOFTWARE/HDW - 100%		500,000		500,000
ADP SOFTWARE/HDW - 80%		1,029,000		1,029,000
MISC. EQUIPMENT - 80%		130,000		130,000
COMMUNICATION EQUIP - 80%		0		0
PASS. BRDG IMPR. /FACILITIES 80%		93,000	50,000	143,000
PASS. BRDG IMPR. /FACILITIES 89.73%		169,700		169,700
SHOP EQUIPMENT		75,000	0	75,000
MISC FACILITY IMP 100%		700,000	100,000	800,000
MISC FACILITY IMP 80%		700,000	110,000	810,000
REVENUE VEHICLES		10,200,000	0	10,200,000
SUPPORT VEHICLES - 80%		106,200		106,200
EmXBUS PIONEER PKWY 89.73%	525,000		5,550,500	6,075,500
EmXBUS RAPID TRANSIT 80%				0
PROGRESSIVE CORRIDOR 89.73	100,000		936,000	1,036,000
ACCESSIBLE VEHICLES & PROJECTS 89.73%		617,000		617,000
GRANT FUNDED PARTS		365,000		365,000
LESS PERSONAL SERVICES	(1,012,800)			(1,012,800)
LESS BUSES(REVENUE VEHICLES)	0	(10,817,000)		(10,817,000)
NET CONTRACTING				
OPPORTUNITIES	0	4,038,550	6,912,750	10,951,300
FTA FUNDED - 80%		1,913,600	128,000	2,041,600
FTA FUNDED - 89.73%		165,193	5,829,309	5,994,502
FTA FUNDED - 100%		1,356,250	256,250	1,612,500
TOTAL FTA FUNDED		3,435,043	6,213,559	9,648,602
DBE ASPIRATIONAL GOAL 3.93%	0	134,997	244,193	379,190

**LANE TRANSIT DISTRICT
DISADVANTAGED BUSINESS ENTERPRISE POLICY & PROGRAM
FISCAL YEAR 2006-2007**

Revised August 2006

I. POLICY

Lane Transit District ("District") is committed to a Disadvantaged Business Enterprises (DBE) Program for the participation of "DBEs" in District contracting opportunities in accordance with 49 Code of Federal Regulations (CFR) Part 26, effective March 4, 1999, as may be amended ("Regulations"). The District has received federal financial assistance from the Department of Transportation, and as a condition of receiving this assistance, the District has signed an assurance that it will comply with 49 CFR Part 26 (see Appendix E). It is the policy of the District to ensure nondiscrimination on the basis of race, color, sex, or national origin in the award and administration of the U.S. Department of Transportation ("U.S. DOT") assisted contracts. It is the intention of the District to ensure DBEs, as defined in 49 CFR Part 26, have an equal opportunity to receive and participate in contracting opportunities, and create a level playing field on which DBEs can compete fairly for contracts and subcontracts relating to the District's construction, procurement, and professional services activities.

The Board of Directors is responsible for establishing the DBE policy of the District. The General Manager of the District is responsible for adherence to this policy. The DBE Administrator is responsible for the development, implementation, and monitoring of a DBE Program for Contracts in furtherance of the District's nondiscrimination policy. It is the expectation of the Board of Directors and the General Manager that all District personnel shall adhere to the provisions and the spirit of this program.

This policy will be circulated to all District personnel and to members of the community who perform or are interested in performing work on District contracts. The complete DBE Program and overall annual goals analysis are available for review at:

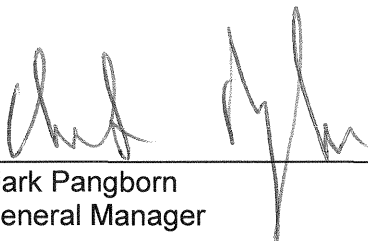
Lane Transit District
3500 East 17th Avenue
Eugene, Oregon 97403

The policy statement will be published in a newspaper of statewide (Oregon) general circulation at least once.

If you have any questions or would like further information regarding this program, please contact the assigned DBE Administrator, Jeanette Bailor, by telephone at (541) 682-6100 or by fax at (541) 682-6188.

9/7/06

Date



Mark Pangborn
General Manager

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

A. Applicability

Pursuant to 49 CFR §§ 26.3 and 26.21, the District, a recipient of federal financial assistance from the Federal Transit Administration ("FTA") of the U.S. DOT, is required to implement a DBE Program in accordance with 49 CFR Part 26. The Program outlined herein applies to all District contracts that are funded, in whole or in part, by U.S. DOT federal financial assistance.

B. Objectives

The objectives of this Program are the following:

1. To remove barriers to DBE participation in the bidding, award, and administration of District contracts;
2. To assist DBEs to develop and compete successfully outside of the Program;
3. To ensure that the Program is narrowly tailored in accordance with 49 CFR Part 26;
4. To ensure that the DBE program is fully compliant with applicable law and only DBEs meeting the eligibility requirements are allowed to participate as DBEs;
5. To identify business enterprises that are eligible as DBEs to provide the District with required materials, equipment, supplies, and services; and to develop a good rapport with the owners, managers, and sales representatives of those enterprises;
6. To develop communications programs and procedures that will acquaint prospective DBEs with the District's contract procedures, activities, and requirements and allow DBEs to provide the District with feedback on existing barriers to participation and effective procedures to eliminate those barriers; and
7. To administer the Program in close coordination with the various divisions and departments within the District so as to facilitate the successful implementation of this Program.

C. Prohibited Discrimination

The District shall not exclude persons from participation in, deny benefits to, or otherwise discriminate against any persons in connection with the award and performance of any contract governed by 49 CFR Part 26 on the basis of race, color, sex, or national origin. The District shall not directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of this program with respect to individuals of a particular race, color, sex, or national origin.

LTD does not use quotas in any way in the administration of this DBE program.

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

II. DEFINITIONS

Any terms used in this Program that are defined in 49 CFR § 26.5 or elsewhere in the Regulations shall have the meaning set forth in the Regulations. Some of the most common terms are defined below:

A. Disadvantaged Business Enterprise (DBE)

A DBE is a for-profit, small business concern 1) that is at least 51 percent owned by one or more individuals who are both socially and economically disadvantaged, or, in the case of a corporation, in which 51 percent of the stock is owned by one or more socially and economically disadvantaged individuals; and 2) whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own it.

B. Small Business Concern

A small business concern is an existing small business, as defined by Section 3 of the Small Business Act and the Small Business Administration regulations implementing it (13 CFR Part 121), whose average annual gross receipts for the previous three (3) years does not exceed \$16.6 million (or as adjusted for inflation by the Secretary of U.S. DOT) pursuant to 49 CFR § 26.65(b).

C. Socially and Economically Disadvantaged Individuals

There is a rebuttable presumption that an individual is both socially and economically disadvantaged if she/he is a citizen or lawfully admitted permanent resident of the United States and is:

1. Black American (including persons having origins in any of the Black racial groups of Africa);
2. Hispanic American (including persons of Central or South American, Cuban, Dominican, Mexican, Puerto Rican, or other Spanish or Portuguese culture or origin, regardless of race);
3. Native American (including persons who are Aleuts, American Indians, Eskimos, or Native Hawaiians);
4. Asian-Pacific American (including persons whose origins are from Brunei, Burma [Myanmar], Cambodia [Kampuchea], China, the Commonwealth of the Northern Marianas Islands, the Federated States of Micronesia, Fiji, Guam, Hong Kong, Indonesia, Japan, Juvalu, Kirbati, Korea, Laos, Macao, Malaysia, Nauru, the Philippines, Samoa, Taiwan, Thailand, Tonga, the U.S. Trust Territories of the Pacific Islands [Republic of Pilau], or Vietnam);
5. Subcontinent Asian American (including persons whose origins are from Bangladesh, Bhutan, India, the Maldives Islands, Nepal, Pakistan, or Sri Lanka);
6. A woman; or
7. A member of any additional group that is designated as socially and economically disadvantaged by the Small Business Administration.

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

Additionally, any individual can demonstrate, by a preponderance of evidence, that she/he is socially and economically disadvantaged on a case-by-case basis. The District will follow the guidelines in 49 CFR § Part 26.

An individual cannot be presumed or determined on a case-by-case basis to be economically disadvantaged if she/he has a personal net worth exceeding \$750,000 (excluding the individual's ownership interests in the small business concern and his or her primary residence).

D. Race-Neutral

A procedure or program that is used to assist all small businesses. For the purposes of this Program, race-neutral includes ethnic and gender neutrality.

E. Race-Conscious

A measure or program that focused specifically on assisting only DBEs, including women-owned DBEs.

F. Personal Net Worth

The net value of an individual's assets that remain after total liabilities are deducted. An individual's personal net worth does not include the individual's ownership interest in an applicant or participating DBE firm, nor the individual's equity in his or her primary place of residence. An individual's personal net worth includes only his or her own share of community property.

III. RESPONSIBILITY FOR DBE PROGRAM IMPLEMENTATION

A. Duties of DBE Administrator

Pursuant to 49 CFR § 26.23, the Program shall be administered by the DBE Administrator, who shall be appointed by and report directly to the General Manager of the District. The current DBE Administrator for the District is Jeanette Bailor. The DBE Administrator will be the primary person responsible for implementing all aspects of this Program and will work closely with operating divisions and other departments and consultants of the District, including legal, procurement, engineering, insurance and others who are responsible for making decisions relative to the District's construction, procurement, and professional service contracts. The DBE Administrator:

1. Gathers and reports statistical data and other information as required by DOT.
2. Reviews third-party contracts and purchase requisitions for compliance with this program.
3. Ensures that bid notices and requests for proposals are available to DBEs in a timely manner.
4. Identifies contracts and procurements so that DBE goals are included in solicitations (both race-neutral methods and contract specific goals) and monitors results.
5. Analyzes District's progress toward goal attainment and identifies ways to improve progress.

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

6. Participates in pre-bid meetings.
7. Advises the General Manager/Board of Directors on DBE matters and achievement.
8. Participates with the legal counsel and project director to determine contractor compliance with good-faith efforts.
9. Provides DBEs with information and assistance in preparing bids.
10. Participates in DBE training seminars.

IV. ADMINISTRATIVE REQUIREMENTS

A. DBE Financial Institutions

Pursuant to 49 CFR § 26.27, the DBE Administrator shall explore the full extent of services offered by banks and other financial institutions that qualify as DBEs in the Eugene/Springfield area and determine areas in which the District reasonably may utilize their services. The District also shall encourage its prime contractors to use the services of DBE financial institutions. There currently are not any DBE financial institutions in Oregon that meet the needs of the District.

B. DBE Database

The Oregon ESB/M/W/DBE Database Directory is a consolidated and automated directory that identifies firms that have been certified either as MBEs, WBEs, or DBEs by the OMWESB. This DBE Database is maintained and updated daily by the OMWESB. Pursuant to 49 CFR Part 26, the DBE Administrator will use the DBE Database as the primary resource in developing overall and contract-specific aspirational DBE participation goals and conducting outreach and other activities to promote DBE participation in U.S. DOT contracts. The DBE directory of certified firms is available on the Internet at <http://www.cbs.state.or.us/external/omwesb/>. The directory is updated daily; it shall be distributed to contractors and made available at the District to the public on request. The DBE Database shall include the firm's name, address, telephone number, and types of work for which the firm is certified as a DBE. The DBE Database shall not in any way prequalify the identified DBE firms with respect to licensing, bondability, competence, or financial responsibility.

C. Bidders' List

Pursuant to 49 CFR § 26.11, the DBE Administrator will create and maintain a bidders' list consisting of all firms bidding or proposing on LTD contracts as prime contracts and bidding or quoting on subcontracts on U.S. DOT-assisted projects. For every firm, the following information will be included: firm name, firm address, firm status as a DBE or non-DBE, the age of the firm, and the annual gross receipts of the firm. The DBE Administrator will maintain the confidentiality of any proprietary information in accordance with applicable Oregon and federal law. This information will be requested of all bidders as further described in Section IX below.

D. Dissemination of Policy Statement

Pursuant to 49 CFR § 26.23, the DBE Administrator shall issue a signed and dated Policy Statement throughout the District and to the business community, including DBEs

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

and non-DBEs that perform work on U.S. DOT-assisted contracts for the District. The entire Program will be made generally available to the public upon request.

E. Monitoring Actual DBE Participation

The DBE Administrator shall monitor and track the actual DBE participation through contractor and subcontractor reports of payments and other appropriate monitoring, as further described in Section IX below. The DBE Administrator shall ensure that DBE participation is counted toward contract goals and the overall annual aspirational goal in accordance with the Regulations.

F. Reporting to FTA

The DBE Administrator will continue to provide the reports regarding DBE participation required by the Regulations to FTA.

V. DETERMINING, ACHIEVING GOALS, AND COUNTING OVERALL ANNUAL ASPIRATIONAL DBE PARTICIPATION

Pursuant to 49 CFR § 26.45, the Board of Directors shall establish overall annual aspirational goals on a fiscal year basis for the participation of DBEs in all budgeted contracts utilizing FTA financial assistance. The overall annual aspirational goals shall be expressed as a percentage of the total amount of FTA funds the District anticipates expending in the fiscal year. The District's overall annual aspirational goals represent the amount of ready, willing, and able DBEs that are available to participate in contracting opportunities and is reflective of the amount of DBE participation the District would expect absent the effects of discrimination. The District intends to meet those goals to the maximum extent feasible through the race-neutral measures described in Section V.D. Where race-neutral measures are inadequate to meet the overall annual aspirational goals, the District will establish specific contract goals for particular projects with subcontracting opportunities.

A. Methodology For Setting Overall Annual Aspirational DBE Goal

1. Projecting U.S. DOT-Assisted Contract Expenditures for Fiscal Year

In conjunction with the preparation and adoption of the budget for each fiscal year, the DBE Administrator, in consultation with the appropriate District divisions and departments responsible for contracting activities, will conduct a thorough analysis of the projected number, types of work, and dollar amounts of contracting opportunities that will be funded, in whole or in part, by U.S. DOT federal financial assistance for that fiscal year. This analysis will exclude projected contract expenditures with Transit Vehicle Manufacturers, which are exempt from the Program as described in Section V.C.

2. Establishing a Base Figure

Pursuant to 49 CFR § 26.45(c), the District will develop a base figure based upon the projected fiscal year budget to express the availability of DBEs as a

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

percentage of all consultants, contractors, subcontractors, manufacturers, and suppliers in the relevant contracting markets. The District will follow one of the methodologies provided in the Regulations or develop an alternative methodology and provide the appropriate documentation in the Overall Annual Aspirational Goals Analysis Report described in Section V.B.

(a) Analyzing Available Businesses in the Relevant Contracting Markets

The DBE Administrator, in conjunction with the appropriate District divisions and departments, will conduct a thorough analysis of the relevant contracting markets in which the District will solicit participation from consultants, subconsultants, contractors, subcontractors, manufacturers, and suppliers for the fiscal year. This analysis will include a description of geographical boundaries of the solicitations, the standard industry codes (SICs) for the types of work to be contracted and any other indicators that the District determines to be relevant in defining its contracting markets for the fiscal year. The DBE Administrator then will determine the total available businesses according to the relevant contracting markets. The DBE Administrator will consult a variety of sources including, but not limited to, the District's Bidders' List, the U.S. Census County Business Patterns Database, and any relevant disparity studies that are available.

(b) Analyzing Available DBEs in the Relevant Contracting Markets

The DBE Administrator will conduct a similar analysis to determine the DBEs that are available to participate as contractors, subcontractors, manufacturers, and suppliers in the projected contracts for the fiscal year. This analysis will include a description of the available DBEs relative to the geographical boundaries of the solicitations, the SICs for the types of work to be contracted, and any other factors as described in Section V.A.2.a. The District will consult a variety of sources including, but not limited to, the DBE Database, the District's Bidders' List, the U.S. Census, and any relevant disparity studies that are available.

(c) Calculating the Base Figure

The DBE Administrator will compare the available DBEs in the relevant contracting markets for the fiscal year to the available businesses in the relevant contracting markets for the fiscal year. The calculation will include a weighting factor according to the contract expenditure patterns analyzed in Section V.A.1.

3. Adjusting the Base Figure

Pursuant to 49 CFR § 26.45(d), the District will adjust the base figure based on demonstrable evidence indicating that the availability of DBEs for U.S. DOT-assisted contracts for the fiscal year may be higher or lower than the base figure

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

indicates. At a minimum, the DBE Administrator will analyze the results of the District's efforts to contract with DBEs for the current and past two years, any available and relevant disparity studies (to the extent that they are not accounted for in the base figure), and any available and relevant results of other and similar U.S. DOT recipients' efforts to contract with DBEs.

4. Projection of Percentage of Overall Aspirational Goals to Be Achieved Through Race-Neutral and Race-Conscious Measures

The U.S. DOT regulations require that the maximum feasible portion of the DBE Overall Annual Aspirational Goal be met by using race-neutral methods. Once the overall annual aspirational goal is proposed, the DBE Administrator will analyze and project the maximum feasible portion of that goal that can be achieved by using race-neutral methods. Where the projected portion of the goal using race-neutral methods is less than the overall annual aspirational goal, the remaining portion will be achieved by establishing contract goals for particular projects that have subcontracting opportunities.

The DBE Administrator shall monitor and adjust the use of contract-specific aspirational goals in accordance with 49 CFR § 26.51(f). When projecting the percentage of the overall annual aspirational goal to be achieved through establishing contract-specific aspirational goals, the DBE Administrator shall analyze the actual achievement of the overall annual aspirational goal through race-neutral methods in the current and previous two years. When establishing contract-specific aspirational goals during the current fiscal year, the DBE Administrator shall analyze the progress towards achieving the overall annual aspirational goal and increase or reduce the use of contract-specific aspirational goals accordingly.

B. Publishing and Adopting the Overall Annual Aspirational Goals

1. Overall Annual Aspirational Goals Analysis Report

Upon completion of the analysis described in Section V.A, the DBE Administrator will prepare an Overall Annual Aspirational Goals Analysis Report. The report shall document the analysis and methodology, as well as the proposed goal and estimate to be achieved through race-neutral measures. The DBE Administrator shall furnish it to the General Manager. Upon the General Manager's approval and recommendation, the DBE Administrator shall concurrently notify all DBE resource and community organizations of the availability of the Overall Annual Aspirational Goals Analysis Report for review and comment.

2. Publication of the Proposed Overall Annual Aspirational Goals

Pursuant to 49 CFR § 26.45(g), the District will publish the proposed overall annual aspirational goals in general circulation, trade association and DBE-oriented media. The notice shall include a statement that the methodology and proposed goals are available for inspection by the public for thirty (30) days from the date of publication. The notice also shall include a statement that the District will accept public comments regarding the proposed goals and methodology for a

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

period of forty-five (45) days from the date of publication and provide instructions for the submission of comments. Upon receipt of public comments, if any, the DBE Administrator will prepare a summary report analyzing the public comments and recommending any modifications to the overall annual aspirational goals or methodology. The DBE Administrator shall furnish the General Manager with a final Overall Annual Aspirational Goals Analysis Report to be presented to the Board of Directors for adoption. If no comments are received that would change the goals analysis substantially, the results of the public comment period will be presented as an informational matter to the Board of Directors with no further action required.

3. Adoption of Total Overall Annual Aspirational Goals

Following review of the Overall Annual Aspirational Goals Analysis Report, the Board of Directors shall adopt overall annual aspirational goals for DBE participation in FTA-assisted contracts, which shall include a projection of the portion of the goals that can be achieved through race-neutral and race-conscious measures. Unless otherwise directed, Overall Annual Aspirational Goals Analysis Reports shall be submitted to FTA for approval by August 1.

C. Transit Vehicle Manufacturer's Certification

The District shall require any transit vehicle manufacturers to certify that they have established an overall annual overall DBE participation goal that has been approved by FTA before they can bid on any District contracts. Expenditures for FTA-assisted transit vehicle procurements are not included in the funding base to which the overall annual goal for other FTA-assisted contract expenditures applies.

D. Achieving the Overall Annual Aspirational Goals

The District shall achieve the overall annual aspirational goals for DBE participation through a combination of race-neutral measures and contract goals for particular contracts with subcontracting opportunities.

1. Race-Neutral Methods

The District intends to use race-neutral methods to the maximum extent feasible to achieve its overall annual aspirational goals. DBE participation that is obtained on contracts that have no specific DBE goal, or where prime contractors use a strictly competitive bidding process or do not consider the DBE's status as a DBE in awarding a subcontract, shall be considered race-neutral DBE participation. In addition, the District will use the following measures as appropriate:

- (a) Configuring large contracts into smaller contracts when feasible, when to do so would make contracts more accessible to small businesses and would not impose significant additional cost, delay, or risk to the District;
- (b) Identifying components of the work that represent subcontracting opportunities and identifying the availability of DBE subcontractors

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

to participate in an equitable proportion to total available subcontractors when it is not feasible to configure large contracts into smaller separate contracts. Contractors will be encouraged to consider subcontractors for components of the work, including DBEs, for which there is a known supply of ready, willing, and able subcontractors, including DBE subcontractors, in preparing their bids;

- (c) Providing technical assistance in orienting small businesses to public contract procedures, use of the Internet, and facilitating introductions to the District's and other U.S. DOT recipients' contracting activities;
- (e) Providing outreach and communications programs on contract procedures and contract opportunities to ensure the inclusion of DBEs; and
- (f) Ensuring the distribution of the DBE Database to the widest feasible universe of potential prime contractors.

2. Contract Aspirational Goals

In the event that race-neutral measures are insufficient to meet aspirational goals and the reason for this deficiency is the consequence of past or present discrimination, the District will use contract aspirational goals adequate to remedy the effects of discrimination. The DBE Administrator shall establish contract-specific aspirational DBE participation goals on particular prime contracts with subcontracting opportunities. When a contract-specific aspirational DBE goal has been established, the bidder or proposer must meet the contract-specific aspirational goal or demonstrate that she/he made sufficient good-faith efforts to do so. A bidder shall be ineligible for contract award if she/he does not meet the goal or demonstrate sufficient good-faith efforts.

The contract-specific aspirational goal shall apply to the percentage participation of DBEs in the total contract work and be set forth in the Special Provisions of the contract specifications. The District is not required to establish a contract-specific aspirational goal for every prime contract with subcontracting opportunities. For each contract involving subcontracting opportunities, the factors outlined below will be considered to determine whether a contract-specific aspirational goal should be established for the particular contract and, if so, what the percentage goal shall be:

- (a) The projected portion of the overall annual aspirational goal that will be met by establishing contract-specific aspirational goals;
- (b) The progress toward achieving the overall annual aspirational goal;
- (c) The full range of activities in the proposed contract;
- (d) The availability of DBEs as prime contractors or subcontractors in the types of work involved in the performance of the proposed contract;
- (e) The unique conditions of the project that might affect the ability of the prime contractor to coordinate, utilize, or incorporate subcontractors or suppliers into the project. (Projects consisting of only one or two subtrades may not be appropriate for a contract-specific aspirational

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

goal due to the fact that establishing a goal could result in restrictive bidding.)

- (f) The effect that the contract-specific aspirational goal might have on the time of completion.
- (g) Any other relevant criteria.

3. Awarding Contracts with Contract-Specific Aspirational Goals

The District shall award contracts to the lowest responsible bidder, as required by FTA Circular 4220.1d, as amended, where applicable. Solicitation language shall require that contractors must meet all requirements of the DBE program as a matter of responsiveness. For such contracts, as well as for contracts awarded pursuant to a Request for Proposal procedure where the lowest responsible bidder standard does not apply, a bidder who fails to demonstrate that she/he achieved the contract-specific aspirational DBE participation goal and fails to demonstrate that she/he made sufficient good-faith efforts to do so shall not be deemed "responsive" and, therefore, shall be ineligible for award of the contract.

(a) Evaluation of Bids or Proposals

After the bid opening or submission deadline for proposals, the DBE Administrator shall evaluate all bids/proposals to determine whether the bidders/proposers submitted all of the information required by 49 CFR § 26.53(b). Either the responsible bidder with the lowest apparent bid price or the most highly ranked proposer who also meets the contract-specific aspirational DBE goal or demonstrates sufficient good-faith efforts shall be recommended for the contract award. In the event that the bidder with the lowest monetary bid price fails to meet the contract-specific aspirational goal, fails to demonstrate sufficient good-faith efforts, or is otherwise unresponsive or not responsible, the DBE Administrator shall evaluate the bidder with the next lowest bid price. Should the DBE Administrator determine that additional information is needed to evaluate a bidder's or proposer's submission with regard to the DBE requirements, the DBE Administrator shall request said bidder or proposer to submit the required information, or may contact the listed DBEs directly.

(b) Evaluation of DBE Certification Status

The District shall require that any DBEs listed by bidders for participation in the contract be certified as eligible DBEs as of the time of bid opening. The DBE Administrator shall review the Bidder's DBE Report to confirm each DBE firm's certification status. The District will accept current certifications by the District, U.S. DOT and its agencies, the Small Business Administration, or other U.S. DOT federal financial assistance recipients. If a completed application for DBE certification has been submitted to the Office of Minority, Women and Emerging Small Business Enterprise Program (OMWESB) as of bid opening but has not been processed, the District may count the applicant's participation toward achieving the DBE contract specific goal, assuming that the applicant's certification application is approved.

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

(c) Determination of Amount of DBE Participation

The DBE Administrator shall review the total dollar value of the work and the percentage of the total contract bid price reported on the Bidder's DBE Report for accuracy and shall compare it to the contract-specific aspirational goal established for the contract.

(d) Determination of Good-Faith Efforts

If the amount of DBE participation does not meet the contract-specific aspirational goal, the DBE Administrator shall review the good-faith efforts report submitted by the bidder. The DBE Administrator shall determine whether the bidder has performed the quality, quantity, and intensity of efforts that demonstrates a reasonably active and aggressive attempt to meet the contract-specific aspirational goal in accordance with 49 CFR Part 26.

In addition to signing the DBE Participation Commitment Statement contained in the Proposal, the bidder who has not achieved the assigned goal on this project shall document the steps taken to obtain participation, such as:

- (1) Attendance at a pre-bid meeting, if any, scheduled by LTD to inform the DBEs of subcontracting opportunities in the contract work;
- (2) Advertising in general circulation, media, trade association publications, and minority-focus media at least ten (10) days before bids or proposals are due. If ten (10) days are not available, a shorter reasonable time will be acceptable;
- (3) Written notification to DBEs that their interest in the contract is solicited;
- (4) Efforts to select portions of the work proposed to be performed to increase the likelihood of achieving the assigned goal;
- (5) Efforts to negotiate for specific sub-bids, including at a minimum:
 - a. The name, address, or telephone number of each DBE contacted.
 - b. A description of the information provided regarding the plans and specifications or the portion of the work to be performed.
 - c. A statement of why additional agreements were not reached.
- (6) Reasons for rejecting as unqualified any DBE contacted;
- (7) Efforts to provide assistance in obtaining any necessary bonding or insurance;
- (8) Efforts to use the service of banks owned and controlled by minorities or women;
- (9) Efforts to assist the DBE in purchasing materials and supplies; and
- (10) Any other affirmative action efforts.

(e) Bidder's Right to Administrative Reconsideration

In the event that the DBE Administrator determines that the apparent low bidder has not met the contract-specific aspirational goal and has not demonstrated good-faith efforts, the DBE Administrator will notify the bidder in writing. The notification shall include the reasons for the determination and that the bidder has the right to administrative reconsideration prior to the time that a contract has been awarded. The DBE Administrator shall provide the bidder with a written decision on reconsideration, explaining the basis for its determination.

If the low bidder offering a responsible bid is not recommended for the contract award, he or she has the right to administrative reconsideration. Within five (5) days after notification that the bidder is not responsive because he or she has not documented sufficient good-faith efforts, a bidder/offeror may request administrative reconsideration. This request should be made in writing to the following reconsideration official: Assistant General Manager, P.O. Box 7070, Eugene, Oregon 97401, telephone number (541) 682-6100.

As part of this reconsideration, the bidder/offeror will have the opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good-faith effort to do so. The bidder/offeror will have the opportunity to meet in person with the District's reconsideration official to discuss the issue of whether he or she met the goal or made adequate good-faith efforts to do so. A written decision on reconsideration will be sent, explaining the basis for finding that the bidder did or did not meet the goal or make adequate good-faith efforts to do so.

(f) Good-Faith Efforts When a DBE Is Replaced on a Contract

The District will require a contractor to make good-faith efforts to replace a DBE that is terminated or otherwise has failed to complete its work on a contract with another certified DBE, to the extent needed to meet the contract goal. The District will require the prime contractor to notify the DBE Liaison Officer immediately of the DBE's inability or unwillingness to perform and provide reasonable documentation.

The prime contractor may not terminate a DBE firm for convenience.

The contractors may not terminate a DBE firm and perform the work with its own forces without the District's prior written consent.

In this situation, the District will require the prime contractor to obtain the District's prior approval of the substitute DBE and to provide copies of new or amended subcontracts or documentation of good-faith efforts. If the contractor fails or refuses to comply in the time specified, the District's contracting office will issue an order stopping all or part of the

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

payment/work until satisfactory action has been taken. If the contractor still fails to comply, the contracting officer may issue a termination for default proceeding.

E. Counting and Tracking DBE Participation

Only the work actually performed by a DBE will be counted towards the DBE aspirational goal. The cost of supplies and materials obtained by the DBE or equipment leased (except from the prime contractor or its affiliate) also may be counted.

Work that a DBE subcontracts to a non-DBE firm does not count toward DBE aspirational goals. Expenditures may be counted only if the DBE is performing a commercially useful function. A DBE should perform at least 30 percent (30%) of the total cost of its contract with its own work force.

If materials or supplies are obtained from a DBE manufacturer, 100 percent (100%) of the cost will be counted. If the materials and supplies are purchased from a DBE regular dealer, 60 percent (60%) of the cost will be counted.

DBE achievement will not be counted toward the overall aspirational goal until the DBE has been paid. The DBE Administrator will track the participation of DBEs in contract-specific aspirational goal contracts separately from the participation of DBEs that is considered race-neutral. Additionally, the DBE Administrator will not count that portion of a DBE's participation that is achieved after the certification of the DBE has been removed during the performance of a contract.

VI. REQUIRED CONTRACT PROVISIONS

FTA-assisted contracts that the District lets will include, as appropriate, the model contract provisions that are included in 49 CFR Part 26 and incorporated herein. The DBE Administrator shall have discretion to modify the provisions for particular contracts as needed.

A. Notice of DBE requirements in the Invitation for Bids

B. General Conditions

1. DBE Program for Contracts Solicitation language shall require that contractors must meet all requirements of the DBE program as a matter of responsiveness.
2. Prompt Payment to Subcontractors: The prime contractor agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than thirty (30) days from the receipt of each payment the prime contractor receives from Lane Transit District. The prime contractor agrees further to return retainage payments to each subcontractor within thirty (30) days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment from the above-referenced timeframe may occur only for good cause following written approval of Lane Transit District. This clause applies to both DBE and non-DBE subcontracts.

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

3. Contract Assurance Clause: Lane Transit District will ensure that the following clause is placed in every DOT-assisted contract and subcontract:

The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

C. Special Provisions

DBE Participation Goal (where applicable) Solicitation language shall require that contractors must meet all requirements of the DBE program as a matter of responsiveness.

D. Administrative Sanctions

Failure of any contractor to meet the DBE Contract Provisions shall constitute a breach of contract for which the imposition of the following sanctions could occur:

Temporarily withholding progress payments until the contractor complies with these contract provisions through future performance.

Permanently withholding payment for work already performed in a manner that constitutes a breach of contract.

VII. CERTIFICATION

A. Oregon Office of Minority, Women and Emerging Small Business Enterprise Program

The District does not certify DBEs. It relies upon the services of the Oregon Office of Minority, Women and Emerging Small Business Enterprise Program (OMWESB) for certification. As the sole certification authority in Oregon for targeted government contracts for emerging small businesses and disadvantaged, minority, and woman-owned businesses, OMWESB provides a unified certification process. A copy of the Application for Certification and summary of the program is shown in Appendix A attached hereto.

The OMWESB complies with 49 CFR Part 26 in determining whether to certify a firm as eligible to participate as a DBE. The directory of certified firms can be found on the Internet at <http://www.cbs.state.or.us/external/omwesb/>. The directory is updated daily.

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

B. Unified Certification Program (UCP) (Section 26.81)

The District relies upon the services of the Oregon Office of Minority, Women and Emerging Small Business Enterprise Program for certification. As the sole certification authority in Oregon for targeted government contracts for disadvantaged, minority, and woman-owned businesses, and emerging small businesses, OMWESB provides a unified certification process.

The District is a member of the statewide DBE Unified Certification Program (UCP).

The DBE Administrator is designated by the General Manager to represent the District as a member of the Unified Certification Program (UCP). The District will participate in UCP programs, activities, and efforts in the Eugene/Springfield area to create a level playing field on which DBEs can compete fairly; to enhance outreach and communication efforts with these firms; to provide appropriate assistance and information for participation in U.S. DOT-assisted contracts; to develop joint resources among recipients; and to coordinate DBE certification efforts through reciprocity and the development of a statewide Unified Certification Program. Toward this end, the DBE Administrator will attend scheduled meetings of the UCP and will contribute to the achievement of UCP projects approved by the District's General Manager.

VIII. CERTIFICATION PROCEDURES

A. Certification Appeals to U.S. DOT (Section 26.89)

A firm that has been denied certification or whose eligibility is removed may make an administrative appeal to the U.S. DOT. A complainant in an ineligibility complaint to the District may appeal to U.S. DOT if the District does not find reasonable cause to propose removing the firm's eligibility. Pending the U.S. DOT appeal decision, the District's decision shall remain in effect. All appeals shall be sent to the following:

U.S. Department of Transportation
Office of Civil Rights
400 Seventh Street, S.W., Room 2401
Washington, D.C. 20590

All requests for an appeal must be sent, in writing, within ninety (90) days of the District's final decision on the matter. The appeal request shall include information and arguments regarding why the decision should be reversed. If the appeal is from a firm, the request must include information regarding certification with other U.S. DOT recipients. If the appeal is from a third party, the party will be requested to provide the same information.

The DBE Administrator shall provide a copy of a complete, well-organized, administrative record within twenty (20) days of a request from U.S. DOT. U.S. DOT will make its decision based solely on the entire administrative record without conducting a hearing. The firm and complainants shall have access to any information reviewed by U.S. DOT in accordance with public records and privacy laws.

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

U.S. DOT will affirm the District's decision if it determines, based on the entire administrative record, that the decision is supported by substantial evidence or is consistent with the substantive or procedural provisions concerning certification. U.S. DOT will reverse the District's decision if it determines it was unsupported by substantial evidence or inconsistent with certification provisions. U.S. DOT shall send written notification of its decision, including the reasons therefor to the District, the firm and any complainant. If the District's decision is reversed, the DBE Administrator will take all appropriate actions to conform with the U.S. DOT's decision immediately upon receiving the written notice. U.S. DOT decisions are final and binding on the District only.

IX. MONITORING AND RECORD KEEPING

A. Bidders' List

The District will require all prime contractors bidding on FTA-assisted contracts to return, at the time of bid opening (options apply as to the time this information is required as long as it is prior to the award of the contract), the following information about the prime contractor and all subcontractors who provided a bid or were contacted by the prime:

Firm name
Firm address
Firm's status as a DBE or non-DBE
Age of the firm
Type of work

The District will use this information to maintain and update its Bidders' List.

B. Monitoring Payments to DBEs

It is the contractor's responsibility to maintain records and documents for three (3) years following the performance of the contract. These records will be made available for inspection upon request by any authorized representative of the District, FTA, or U.S. DOT. This reporting requirement is also extended to any certified DBE subcontractor.

The District will perform interim audits of contract payments to DBEs. The audit will review payments to DBE subcontractors to ensure that the actual amount paid to DBE subcontractors equals or exceeds the dollar amounts stated in the report of proposed DBE participation.

C. Reporting to FTA

The District will continue to report DBE participation and overall annual aspirational goal setting methods to the FTA as directed. Statistical data will be maintained as prescribed on a semiannual basis to provide reports to FTA reflecting the DBE participation on the District's federally assisted procurement activities. These reports will provide DBE participation information on the District's race-neutral contracts, race-conscious contracts, and the combined DBE participation on all federally assisted procurement activities.

X. PUBLIC PARTICIPATION AND OUTREACH EFFORTS

The District's activities managing public participation and outreach efforts are directed at assisting the District to solicit public input to set overall annual aspirational DBE participation goals and meet District overall annual aspirational DBE goals.

In establishing overall annual aspirational DBE goals, the District will provide for public participation. This will include:

Prior to finalizing the Overall Annual Aspirational Goals Analysis Report, the District will consult with U.S. DOT agencies, other FTA grantees, minority, women's and general contractor groups, community organizations, or other officials or organizations that could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the District's efforts to establish a level playing field for the participation of DBEs.

The District will publish an annual notice announcing its proposed overall goals, informing the public that the District's Overall Annual Aspirational Goals Analysis Report is available for inspection during normal business hours at the DBE Administrator's Office for a period of thirty (30) days and that the District will accept comments on the proposed goals for forty-five (45) days from the date of the notice. The notice will be distributed in general circulation media, local minority-focused media, and trade association publications.

The measures described in 49 CFR § 26.51 focusing on race-neutral means will be actively pursued, and the District also will encourage its contractors to make similar outreach efforts to include DBE participation in subcontracting opportunities. In conjunction with the UCP, the District will continue to organize and offer training programs for meeting DBE eligibility requirements, familiarize potential contractors with District procurement procedures and requirements, and to otherwise develop effective programs to further the inclusion of DBEs in the District's contracting activities.

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

APPENDIX A

**DBE CERTIFICATION APPLICATION FORM AND DOCUMENTATION
REQUIREMENTS SUMMARY**



Department of Consumer & Business Services
Office of Minority, Women & Emerging Small Business
350 Winter St. NE, Room 200, Salem, Oregon
Mailing address: P.O. Box 14480, Salem, OR 97309-0405
Phone: (503) 947-7976, Fax: (503) 373-7041
<http://oregon.gov/DCBS/OMWESB>

Thank you for requesting an application packet for certification with the Office of Minority, Women and Emerging Small Business (OMWESB). There are three certification programs available: federal Disadvantaged Business Enterprise (DBE); state Minority Business Enterprise (MBE) or Women Business Enterprise (WBE); and state Emerging Small Business (ESB).

If you wish to apply for DBE certification only, please submit the following:

- DBE Uniform Certification Application
- Personal Net Worth statements
- All listed required supporting documentation
- Official governmental documentation that substantiates ethnicity and gender. Examples include birth certificate, tribal enrollment with a federally recognized tribe, passport, driver license, etc. If the information provided is inconclusive, additional documentation will be required.

If you wish to apply for both DBE and MBE and/or WBE, please submit the following:

- DBE Uniform Certification Application
- Personal Net Worth statements
- All listed required supporting documentation
- Signed statement requesting MBE and/or WBE certification in conjunction with your DBE certification
- Official governmental documentation that substantiates ethnicity and gender. Examples include birth certificate, tribal enrollment with a federally recognized tribe, passport, driver license, etc. If the information provided is inconclusive, additional documentation will be required.

If you are interested in applying for MBE and/or WBE certification only, please submit the following:

- Minority Business Enterprise (MBE) and/or Women Business Enterprise (WBE) certification application
- All required supporting documentation appropriate for your business structure listed on the "Tips" checklist in the packet

For ESB certification, complete the ESB application and submit it and the supporting documents appropriate for the legal structure of your business.

We encourage you to apply for all certifications for which you qualify.

**INSTRUCTIONS FOR COMPLETING THE DISADVANTAGED BUSINESS ENTERPRISE (DBE)
PROGRAM UNIFORM CERTIFICATION APPLICATION**

NOTE: If you require additional space for any question in this application, please attach additional sheets or copies as needed, taking care to indicate on each attached sheet/copy the section and number of this application to which it refers.

Section 1: CERTIFICATION INFORMATION

A. Prior/Other Certifications

Check the appropriate box indicating for which program your firm is currently certified. If you are already certified as a DBE, indicate in the appropriate box the name of the certifying agency that has previously certified your firm, and also indicate whether your firm has undergone an onsite visit. If your firm has already undergone an onsite visit/review, indicate the most recent date of that review and the state UCP that conducted the review.

NOTE: If your firm is currently certified under the SBA's 8(a) and/or SDB programs, you may not have to complete this application. You should contact your state UCP to find out about a streamlined application process for firms that are already certified under the 8(a) and SDB programs.

B. Prior/Other Applications and Privileges

Indicate whether your firm or any of the persons listed has ever withdrawn an application for a DBE program or an SBA 8(a) or SDB program, or whether any have ever been denied certification, decertified, debarred, suspended, or had bidding privileges denied or restricted by any state or local agency or Federal entity. If your answer is yes, indicate the date of such action, identify the name of the agency, and explain fully the nature of the action in the space provided.

Section 2: GENERAL INFORMATION

A. Contact Information

- (1) State the name and title of the person who will serve as your firm's primary contact under this application.
- (2) State the legal name of your firm, as indicated in your firm's Articles of Incorporation or charter.
- (3) State the primary phone number of your firm.
- (4) State a secondary phone number, if any.
- (5) State your firm's fax number, if any.
- (6) State your firm's or your contact person's email address.
- (7) State your firm's website address, if any.
- (8) State the street address of your firm (i.e. the physical location of its offices -- not a post office box address).
- (9) State the mailing address of your firm, if it is different from your firm's street address.

B. Business Profile

- (1) In the box provided, briefly describe the primary business and professional activities in which your firm engages.
- (2) State the Federal Tax ID number of your firm as provided on your firm's filed tax returns, if you have one. This could also be the Social Security number of the owner of your firm.
- (3) State the date on which your firm was officially established, as stated in your firm's Articles of Incorporation or charter.

- (4) State the date on which you and/or each other owner took ownership of the firm.
- (5) Check the appropriate box that describes the manner in which you and each other owner acquired ownership of your firm. If you checked "Other," explain in the space provided.
- (6) Check the appropriate box that indicates whether your firm is "for profit."
NOTE: If you checked "No," then you do NOT qualify for the DBE program and therefore do not need to complete the rest of this application. The DBE program requires all participating firms be for-profit enterprises.
- (7) Check the appropriate box that describes the legal form of ownership of your firm, as indicated in your firm's Articles of Incorporation. If you checked "Other," briefly explain in the space provided.
- (8) Check the appropriate box that indicates whether your firm has ever existed under different ownership, a different type of ownership, or a different name. If you checked "Yes," specify which and briefly explain the circumstances in the space provided.
- (9) Indicate in the spaces provided how many employees your firm has, specifying the number of employees who work on a full-time and part-time basis.
- (10) Specify the total gross receipts of your firm for each of the past three years, as declared in your firm's filed tax returns.

C. Relationships with Other Businesses

- (1) Check the appropriate box that indicates whether your firm is co-located at any of its business locations, or whether your firm shares a telephone number(s), a post office box, any office space, a yard, warehouse, other facilities, any equipment, or any office staff with any other business, organization, or entity of any kind. If you answered "Yes," then specify the name of the other firm(s) and briefly explain the nature of the shared facilities or other items in the space provided.
- (2) Check the appropriate box that indicates whether at present, or at any time in the past:
 - (a) Your firm has been a subsidiary of any other firm;
 - (b) Your firm consisted of a partnership in which one or more of the partners are other firms;
 - (c) Your firm has owned any percentage of any other firm; and
 - (d) Your firm has had any subsidiaries of its own.
- (3) Check the appropriate box that indicates whether any other firm has ever had an ownership interest in your firm.

- (4) If you answered "Yes" to any of the questions in (2)(a)-(d) or (3), identify the name, address and type of business for each.

D. Immediate Family Member Businesses

Check the appropriate box that indicates whether any of your immediate family members own or manage another company. An "immediate family member" is any person who is your father, mother, husband, wife, son, daughter, brother, sister, grandmother, grandfather, grandson, granddaughter, mother-in-law, or father-in-law. If you answered "Yes," provide the name of each relative, your relationship to them, the name of the company they own or manage, the type of business, and whether they own or manage the company.

Section 3: OWNERSHIP

Identify all individuals or holding companies with any ownership interest in your firm, providing the information requested below (if your firm has more than one owner, provide completed copies of this section for each additional owner):

A. Background Information

- (1) Give the name of the owner.
- (2) State his/her title or position within your firm.
- (3) Give his/her home phone number.
- (4) State his/her home (street) address.
- (5) Check the appropriate box that indicates this owner's gender.
- (6) Check the appropriate box that indicates this owner's ethnicity (check all that apply). If you checked "Other," specify this owner's ethnic group/identity not otherwise listed.
- (7) Check the appropriate box to indicate whether this owner is a U.S. citizen.
- (8) If this owner is not a U.S. citizen, check the appropriate box that indicates whether this owner is a lawfully admitted permanent resident. If this owner is neither a U.S. citizen nor a lawfully admitted permanent resident of the U.S., then this owner is NOT eligible for certification as a DBE owner. This, however, does not necessarily disqualify your firm altogether from the DBE program if another owner is a U.S. citizen or lawfully admitted permanent resident and meets the program's other qualifying requirements.

B. Ownership Interest

- (1) State the number of years during which this owner has been an owner of your firm.
- (2) Indicate the dollar value of this owner's initial investment to acquire an ownership interest in your firm, broken down by cash, real estate, equipment, and/or other investment.
- (3) State the percentage of total ownership control of your firm that this owner possesses.
- (4) State the familial relationship of this owner to each other owner of your firm.
- (5) Indicate the number, percentage of the total, class, date acquired, and method by which this owner acquired his/her shares of stock in your firm.

- (6) Check the appropriate box that indicates whether this owner performs a management or supervisory function for any other business. If you checked "Yes," state the name of the other business and this owner's title or function held in that business.
- (7) Check the appropriate box that indicates whether this owner owns or works for any other firm(s) that has any relationship with your firm. If you checked "Yes," identify the name of the other business and this owner's title or function held in that business. Briefly describe the nature of the business relationship in the space provided.

C. Disadvantaged Status

NOTE: You only need to complete this section for each owner that is applying for DBE qualification (i.e. for each owner who is claiming to be "socially and economically disadvantaged" and whose ownership interest is to be counted toward the control and 51% ownership requirements of the DBE program)

- (1) Indicate in the space provided the total Personal Net Worth (PNW) of each owner who is applying for DBE qualification. Use the PNW calculator form at the end of this application to compute each owner's PNW.
- (2) Check the appropriate box that indicates whether any trust has ever been created for the benefit of this disadvantaged owner. If you answered "Yes," briefly explain the nature, history, purpose, and current value of the trust(s).

Section 4: CONTROL

A. Identify your firm's Officers and Board of Directors:

- (1) In the space provided, state the name, title, date of appointment, ethnicity, and gender of each officer of your firm.
- (2) In the space provided, state the name, title, date of appointment, ethnicity, and gender of each individual serving on your firm's Board of Directors.
- (3) Check the appropriate box that indicates whether any of your firm's officers and/or directors listed above perform a management or supervisory function for any other business. If you answered "Yes," identify each person by name, his/her title, the name of the other business in which s/he is involved, and his/her function performed in that other business.
- (4) Check the appropriate box that indicates whether any of your firm's officers and/or directors listed above own or work for any other firm(s) that has a relationship with your firm. If you answered "Yes," identify the name of the firm, the officer or director, and the nature of his/her business relationship with that other firm.

B. Identify your firm's management personnel (by name, title, ethnicity, and gender) who control your firm in the following areas:

- (1) Making of financial decisions on your firm's behalf, including the acquisition of lines of credit, surety bonds, supplies, etc.;
- (2) Estimating and bidding, including calculation of cost estimates, bid preparation and submission;
- (3) Negotiating and contract execution, including participation in any of your firm's negotiations and executing contracts on your firm's behalf;
- (4) Hiring and/or firing of management personnel, including interviewing and conducting performance evaluations;
- (5) Field/Production operations supervision, including site supervision, scheduling, project management services, etc.;
- (6) Office management;
- (7) Marketing and sales;
- (8) Purchasing of major equipment;
- (9) Signing company checks (for any purpose); and
- (10) Conducting any other financial transactions on your firm's behalf not otherwise listed.
- (11) Check the appropriate box that indicates whether any of the persons listed in (1) through (10) above perform a management or supervisory function for any other business. If you answered "Yes," identify each person by name, his/her title, the name of the other business in which s/he is involved, and his/her function performed in that other business.
- (12) Check the appropriate box that indicates whether any of the persons listed in (1) through (10) above own or work for any other firm(s) that has a relationship with your firm. If you answered "Yes," identify the name of the firm, the name of the person, and the nature of his/her business relationship with that other firm.

C. Indicate your firm's inventory in the following categories:

(1) Equipment

State the type, make and model, and current dollar value of each piece of equipment held and/or used by your firm. Indicate whether each piece is either owned or leased by your firm.

(2) Vehicles

State the type, make and model, and current dollar value of each motor vehicle held and/or used by your firm. Indicate whether each vehicle is either owned or leased by your firm.

(3) Office Space

State the street address of each office space held and/or used by your firm. Indicate whether your firm owns or leases the office space and the current dollar value of that property or its lease.

(4) Storage Space

State the street address of each storage space held and/or used by your firm. Indicate whether your firm owns or leases the storage space and the current dollar value of that property or its lease.

D. Does your firm rely on any other firm for management functions or employee payroll?

Check the appropriate box that indicates whether your firm relies on any other firm for management functions or for employee payroll. If you answered "Yes," briefly

explain the nature of that reliance and the extent to which the other firm carries out such functions.

E. Financial Information

(1) Banking Information

- (a) State the name of your firm's bank.
- (b) Give the main phone number of your firm's bank branch.
- (c) Give the address of your firm's bank branch.

(2) Bonding Information

- (a) State your firm's Binder Number.
- (b) State the name of your firm's bond agent and/or broker.
- (c) Give your agent's/broker's phone number.
- (d) Give your agent's/broker's address.
- (e) State your firm's bonding limits (in dollars), specifying both the Aggregate and Project Limits.

F. Identify all sources, amounts, and purposes of money loaned to your firm, including the names of persons or firms securing the loan, if other than the listed owner:

State the name and address of each source, the original dollar amount and the current balance of each loan, and the purpose for which each loan was made to your firm.

G. List all contributions or transfers of assets to/from your firm and to/from any of its owners over the past two years:

Indicate in the spaces provided, the type of contribution or asset that was transferred, its current dollar value, the person or firm from whom it was transferred, the person or firm to whom it was transferred, the relationship between the two persons and/or firms, and the date of the transfer.

H. List current licenses/permits held by any owner or employee of your firm.

List the name of each person in your firm who holds a professional license or permit, the type of permit or license, the expiration date of the permit or license, and the license/permit number and issuing State of the license or permit.

I. List the three largest contracts completed by your firm in the past three years, if any.

List the name of each owner or contractor for each contract, the name and location of the projects under each contract, the type of work performed on each contract, and the dollar value of each contract.

J. List the three largest active jobs on which your firm is currently working.

For each active job listed, state the name of the prime contractor and the project number, the location, the type of work performed, the project start date, the anticipated completion date, and the dollar value of the contract.

AFFIDAVIT & SIGNATURE

Carefully read the attached affidavit in its entirety. Fill in the required information for each blank space, and sign and date the affidavit in the presence of a Notary Public, who must then notarize the form.

DISADVANTAGED BUSINESS ENTERPRISE PROGRAM
49 C.F.R. PART 26

UNIFORM CERTIFICATION APPLICATION

ROADMAP FOR APPLICANTS

① Should I apply?

- Is your firm at least 51%-owned by a socially and economically disadvantaged individual(s) who also controls the firm?
- Is the disadvantaged owner a U.S. citizen or lawfully admitted permanent resident of the U.S.?
- Is your firm a small business that meets the Small Business Administration's (SBA's) size standard and does not exceed \$17.42 million⁽¹⁾ in gross annual receipts?
- Is your firm organized as a for-profit business?

⇒ If you answered "Yes" to all of the questions above, you may be eligible to participate in the U.S. DOT DBE program.

② Is there an easier way to apply?

If you are currently certified by the SBA as an 8(a) and/or SDB firm, you may be eligible for a streamlined certification application process. Under this process, the certifying agency to which you are applying will accept your current SBA application package in lieu of requiring you to fill out and submit this form. **NOTE: You must still meet the requirements for the DBE program, including undergoing an on-site review.**

③ Be sure to attach all of the required documents listed in the Documents Check List at the end of this form with your completed application.

④ Where can I find more information?

- U.S. DOT – <http://osdbuweb.dot.gov/business/dbe/index.html> (this site provides useful links to the rules and regulations governing the DBE program, questions and answers, and other pertinent information)
- SBA – <http://www.ntis.gov/naics> (provides a listing of NAICS codes) and <http://www.sba.gov/size/indexableofsize.html> (provides a listing of SIC codes)
- 49 CFR Part 26 (the rules and regulations governing the DBE program)

⁽¹⁾ Note: the figure of \$17.42 million has been increased to \$19.57 million pursuant to SAFETEA-LU, effective August 10, 2005.

Under Sec. 26.107 of 49 CFR Part 26, dated February 2, 1999, if at any time, the Department or a recipient has reason to believe that any person or firm has willfully and knowingly provided incorrect information or made false statements, the Department may initiate suspension or debarment proceedings against the person or firm under 49 CFR Part 29, take enforcement action under 49 CFR Part 31, Program Fraud and Civil Remedies, and/or refer the matter to the Department of Justice for criminal prosecution under 18 U.S.C. 1001, which prohibits false statements in Federal programs.

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

APPENDIX B

North American Industry Classification System (NAICS CODE LIST)

Lane County Business Patterns Census Report 2004

Service	All Firms	DBE Firms
233 Heavy Construction	77	2
238 Specialty Trades (Inc. Landscape)	230	7
5413 Engineering & Architectural Services	228	12
Research/Testing Services (inc. above)		
Misc. Professional Services (inc. above)		
Total	535	21

Total percentage of available DBE firms = 3.92%

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

APPENDIX C

**DBE LIST FROM THE OFFICE OF MINORITY, WOMEN AND
EMERGING SMALL BUSINESS**



Information about OMWESB Certified Firm(s)



Divisions

Offices

Boards

OMWESB Certified Firm(s) Information Query

Web Information Is Updated Nightly.

Certification Descriptions: *Federal contracting:* Disadvantaged Business Enterprise (DBE);
State / local contracting: Minority Business Enterprise (MBE), Women Business Enterprise (WBE), and
Emerging Small Business (ESB).

Found **23** firms matches for *Code Type=Any Code , Certification Type=Disadvantaged Business
Enterprise, County=LANE, City=Any*

Firm Information	NAICS CODE / CODE DESCRIPTION COMMODITY DESCRIPTION NIGP DESCRIPTION / NIGP CODE CERTIFIED PROGRAM
Certification Number 193 ANDERSONS EROSION CONTROL INC PO BOX 205 JUNCTION CITY, OR 97448 Phone: (541) 998-2062 Fax: (541) 998-3261 aecontrolinc@yahoo.com CLARA F ANDERSON corp	561730 LANDSCAPING SERVICES LANDSCAPE MAINTENANCE ONLY; HYDROSEEDING FOR EROSION CONTROL 98836 DBE WBE 484220 SPECIALIZED FREIGHT (EXCEPT USED GOODS) TRUCKING, LOCAL DUMP TRUCK SERVICES - HAULING & PLACING GRAVEL, SAND, TOPSOIL FOR LANDSCAPE PROJECTS HAULING SERVICES 96239 DBE WBE
Certification Number 212 ANN CHRISTENSEN ENVIRONMENTAL DESIGN 490 WEST 29TH AVE EUGENE, OR 97405 Phone: (541) 485-3422 Fax: (541) 485-8072 acenvdes@aol.com ANN CHRISTENSEN corp	541620 ENVIRONMENTAL CONSULTING SERVICES DESIGN, PROJECT MANAGEMENT & MONITOR, REHABILITATION PROJECTS INCLUDING WETLAND MITIGATION, BANK STABILIZATION, REFORESTATION. ENVIRONMENTAL CONSULTING SERVICES 91843 DBE WBE 562910 REMEDIATION SERVICES REHABILITATION PROJECT DESIGN, PROJECT MANAGEMENT & MONITORING, WETLAND MITIGATION, BANK STABILIZATION, BIOENGINEERING, LANDSCAPE REHABILITATION. ENVIRONMENTAL CONSULTING SERVICES 91843 DBE WBE
Certification Number 3226 B J EQUIPMENT COMPANY LLC PO BOX 466 PLEASANT HILL, OR 97455 Phone: (541) 747-6261 Fax: (541) 988-4320 sandy@bjequipment.us SANDRA R JEREMIAH corp	237990 OTHER HEAVY AND CIVIL ENGINEERING CONSTRUCTION PRODUCTION & PRECISION DRILLING & BLASTING DEMOLITION SERVICES, CONSTRUCTION 91240 DBE WBE 238990 ALL OTHER SPECIALTY TRADE CONTRACTORS QUARRYDEVELOPMENT, HWY/RD CONSTRUCTION, UTILITY/TELECOMMUNICATION DITCH LINE AND GENERAL EXCAVATION WORK DEMOLITION SERVICES, CONSTRUCTION 91240 DBE WBE 213115 SUPPORT ACTIVITIES FOR NONMETALLIC MINERALS (EXCEPT FUELS) DRILLING/BLASTING: QUARRYDEVELOPMENT, HWY/RD CONSTRUCTION, UTILITY/TELECOMMUNICATION DITCH LINE AND GENERAL EXCAVATION WORK DEMOLITION SERVICES, CONSTRUCTION 91240 DBE WBE
Certification Number 476 DEBBIE PETREE 30143 MONTMORENCE DRIVE	237310 HIGHWAY, STREET, AND BRIDGE CONSTRUCTION CLEARING/GRUBBING/MOWING/BRUSHING - KOMATSU PC TRACK HOE, KOMATSU LOADER, TRUCK & PUP; EXCAVATIN

SR

DP

SP

JUNCTION CITY, OR 97448 Phone: (541) 688-5336 Fax: (541) 688-6538 dpconst@epud.net DEBBIE PETREE corp	LOADER, TRUCK & PUP; SITE PREPARATION - TRACK HOE, LOADER, TRUCK & PUP; ASPHALT PATCHING CLEARING AND GRUBBING SERVICES DBE MBE WBE 91219
	238910 SITE PREPARATION CONTRACTORS EXCAVATING - RES/COM; SITE CLEAN-UP - RES/COM EXCAVATION SERVICES, CONSTRUCTION DBE MBE WBE 91244
	484220 SPECIALIZED FREIGHT (EXCEPT USED GOODS) TRUCKING, LOCAL TRUCK & PUP, SAND & GRAVEL, KOMATSU LOADER - TRANSPORTATION OF CONTAMINATED SOIL HAULING SERVICES DBE MBE WBE 96239
Certification Number 4327 DIAMOND EDGE TECHNOLOGY LLC 4985 HUNTERS GLEN DR EUGENE, OR 97405 Phone: (541) 345-7852 Fax: (541) 345-7853 rtaylor@detllc.com REBECCA TAYLOR corp	541490 OTHER SPECIALIZED DESIGN SERVICES DESIGN ENGINEERING ENGINEERING AND ANALYSIS SERVICES, VALUE (PROFESSIONAL) DBE WBE 92592
Certification Number 594 FORD & ASSOCIATES PO BOX 22735 EUGENE, OR 97402 Phone: (541) 344-1852 Fax: (541) 344-9923 fnf@teleport.com PAULA L NORNESS Corporate Names > FORD-NESS-FASSBENDER INC corp Other Names > FORD NESS FASSBENDER INC > FORD NESS FASSBENDER]	541990 ALL OTHER PROFESSIONAL, SCIENTIFIC AND TECHNICAL SERVICES INCLUDED BUT NOT LIMITED TO BOUNDARY/LAND SURVEYING/WATER RIGHTS/ INCLUDING ALL FACETS OF LAND SURVEYING BUSINESS RESEARCH SERVICES DBE WBE 95605
	541512 COMPUTER SYSTEMS DESIGN SERVICES INCLUDED BUT NOT LIMITED TO BOUNDARY/LAND SURVEYING/WATER RIGHTS/ INCLUDING ALL FACETS OF LAND SURVEYING COMPUTER AIDED DESIGN SERVICES DBE WBE 92018
	541370 SURVEYING AND MAPPING (EXCEPT GEOPHYSICAL) SERVICES CONSTRUCTION SURVEYING - INCLUDING BOUNDARY, LAND SURVEYING, WATER RIGHTS PROFESSIONAL LAND SURVEYING SERVICES SURVEYOR DBE WBE 92586
Certification Number 2688	238990 ALL OTHER SPECIALTY TRADE CONTRACTORS HIGHWAY/ROAD CONSTRUCTION ENGINEERING SERVICES, DRAINAGE DBE MBE ESB 92528
	238910 SITE PREPARATION CONTRACTORS EXCAVATING (RES/COM & HWY/RD; SITE CLEAN-UP - HIGHWAY/ROAD CONSTRUCTION CLEARING/GRUBBING/MOWING/BRUSHING - RESIDENTIAL, HIGHWAY AND ROAD CONSTRUCTION; DEMOLITION - HIGHWAY/ROAD CONSTRUCTION EXCAVATION SERVICES, CONSTRUCTION DBE MBE ESB 91244
	562111 SOLID WASTE COLLECTION RESIDENTIAL AND HIGHWAY CONSTRUCTION HAULING SERVICES DBE MBE ESB 96239
	562998 ALL OTHER MISCELLANEOUS WASTE MANAGEMENT SERVICES RESIDENTIAL/COMMERCIAL MAINTENANCE SERVICES, PUBLIC UTILITIES WATER REPAIR SEWER (BUILDING) UTILITY DBE MBE ESB 91063

48

DP

DP

SP

GARY COMPTON CONSTRUCTION LLC PO BOX 40133 EUGENE, OR 97404 Phone: (541) 343-5786 Fax: (541) 687-5948 GARY D COMPTON corp Other Names > GARY COMPTON CONSTRUCTION	
Certification Number 663 HANSEN DRAFTING & GRAPHICS 2310 SNELLING DR EUGENE, OR 97408 Phone: (541) 484-6462 Fax: (541) 484-6417 carol@hansengraphics.com CAROL HANSEN corp	541990 ALL OTHER PROFESSIONAL, SCIENTIFIC AND TECHNICAL SERVICES DATA COLLECTION & ANALYSIS & ILLUSTRATIONS FOR TRANSPORTATION STUDIES TRANSPORTATION CONSULTING SERVICES 91896 DBE 541519 OTHER COMPUTER RELATED SERVICES TECHNICAL SUPPORT FOR ENGINEERING DESIGN/DRAFTING COMPUTER AIDED DESIGN SERVICES 92018 DBE WBE 541340 DRAFTING SERVICES PRESENTATION GRAPHICS DESIGN SERVICES, GRAPHIC (ARCHITECTURAL) 90640 DBE WBE
Certification Number 3057 HAROLD PRIMROSE EXCAVATING LLC 90236 DAISY LN ELMIRA, OR 97437 Phone: (541) 485-0587 Fax: (541) 434-8338 primroseexcav@aol.com JOY L PRIMROSE corp Other Names > HAROLD PRIMROSE EXCAVATING	541611 ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT CONSULTING SERVICES PROJECT MANAGEMENT (TECH/PROF)-EXCAVATING/GRADING/GRUBBING/SITE PREP/DEMOLITION/DRAINAGE/UTILITIES PROJECTS/TRUCKING (TO REMOVE MATERIALS FROM WORK SITE) CONSTRUCTION MANAGEMENT SERVICES 95826 DBE WBE
Certification Number 653 HERITAGE RESEARCH ASSOCIATES INC 1997 GARDEN AVENUE EUGENE, OR 97403 Phone: (541) 485-0454 Fax: (541) 485-1364 heritagere@aol.com KATHRYN A TOEPEL corp	541690 OTHER SCIENTIFIC AND TECHNICAL CONSULTING SERVICES ARCHAEOLOGICAL & HISTORICAL CONSULTING/ARCHIVAL RESEARCH/FIELDWORK/SITE ASSESSMENT/DATA ANALYSIS/COMPLIANCE, INTERVIEWS & REPORTING RESEARCH SERVICES (OTHER THAN BUSINESS) 95670 DBE WBE
Certification Number 2880 LLKELLY INC 83633 ROCK HILL RD CRESWELL, OR 97426 Phone: (503) 330-0829 Fax: (503) 213-5969 lisa.kelly@llkellyinc.com LISA L SIMMONS corp	711510 INDEPENDENT ARTISTS, WRITERS, AND PERFORMERS TECHNICAL WRITING/EDITING CREATIVE WRITING SERVICES ENGROSSER 96190 CALLIGRAPHER LITERARY RESUME SONG TECHNICAL NON SERVICES: ETC. DBE WBE ESB 541330 ENGINEERING SERVICES PROJECT MANAGMENT ENGINEERING CONSULTING SERVICES 91842 DBE WBE ESB 541611 ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT CONSULTING SERVICES ENVIRONMENTAL OR CAPITAL CONSTRUCTION MANAGEMENT SERVICES, PROGRAM AND PROJECT DEVELOPMENT 96156 DBE WBE ESB 541620 ENVIRONMENTAL CONSULTING SERVICES AIR QUALITY, WATER QUALITY MANAGEMENT/SITE ASSESSMENTS/REMEDIATION/FEASIBILITY STUDIES SITE ASSESSMENT, ENVIRONMENTAL 92683

DP

DP

DP

DP

	DBE WBE ESB	
Certification Number 2552 NORTHWEST HAZMAT 36 WEST Q STREET SPRINGFIELD, OR 97477 Phone: (541) 988-9823 Fax: (541) 988-9833 mwilson@nwhazmat.com MARGIE WILSON corp	562111 SOLID WASTE COLLECTION TESTING, ASBESTOS, SOILS AND DRUG LABS DECONTAMINATION SERVICES: HAZARDOUS, RADIOACTIVE, ASBESTOS, ETC MATERIAL DBE MBE WBE	96127
	238910 SITE PREPARATION CONTRACTORS EXCAVATION/DEMOLITION/SITE PREP EXCAVATION SERVICES, CONSTRUCTION DBE MBE WBE	91244
	562112 HAZARDOUS WASTE COLLECTION SITE CLEAN UP & OTHER WASTE MANAGEMENT SERVICES - ALL TYPES HAZARDOUS MATERIAL AND WASTE SERVICES EMERGENCY RESPONSE NUCLEAR (HAZARDOUS WASTE) DBE MBE WBE	92645
Certification Number 4229 O-P ENGINEERING LLC 84614 PARKWAY RD PLEASANT HILL, OR 97455 Phone: (541) 747-8832 Fax: (541) 747-8020 danaoleary@hughes.net DANA O'LEARY-PARRISH corp	541330 ENGINEERING SERVICES CONSULTING TO CONTRACTORS RELATING TO SHORING, FORMWORK, AND/OR FALSEWORK DESIGNS. ENGINEERING CONSULTING SERVICES DBE WBE ESB	91842
Certification Number 2403 PERALES GENERAL CONTRACTING INC PO BOX 705 CRESWELL, OR 97426 Phone: (541) 953-2177 Fax: (541) 895-3364 DIONICIO PERALES corp Other Names > DIONICIO R PERALES GENERAL CONTRACTING	238350 FINISH CARPENTRY CONTRACTORS RESIDENTIAL & SMALL COMMERCIAL PROJECTS CARPENTRY MAINTENANCE AND REPAIR OF BUILDINGS SERVICES (CARPENTRY) DBE MBE ESB	91006
	238112 NONRESIDENTIAL POURED CONCRETE FOUNDATION AND STRUCTURE CONTRACTORS - CONCRETE WORK & CONCRETE FLAT WORK - SMALL COMMERCIAL & INDUSTRIAL PROJECTS ENGINEERING SERVICES, CONCRETE DBE MBE ESB	92519
	237310 HIGHWAY, STREET, AND BRIDGE CONSTRUCTION BRIDGES - SMALL COMMERCIAL & INDUSTRIAL; BRIDGE RAIL - SMALL COMMERCIAL & INDUSTRIAL BRIDGES; REBAR REPLACEMENT/REINFORCEMENT - HEAVY HIGHWAY CONSTRUCTION MAINTENANCE SERVICES, BRIDGE REPAIR DBE MBE ESB	91366
Certification Number 3115 RAMS SPECIALIZED SECURITY SERVICE INC PO BOX 1317 EUGENE, OR 97440 Phone: (541) 345-9645 Fax: (541) 345-4622 jgish@ramssecurity.com JO ANN GISH corp	561612 SECURITY GUARDS AND PATROL SERVICES SECURITY GUARDS GUARD SERVICES, SECURITY DBE WBE	99046
Certification Number 3744 ROBERTS CONSULTING & ENGINEERING LLC 1007 LEONARDS WAY STE A EUGENE, OR 97404 Phone: (541) 689-9197 Fax: (541) 689-9197 bjr@rceinc.net BARBARA J ROBERTS corp	541330 ENGINEERING SERVICES CIVIL ENGINEERING DESIGN & CONSULTING ENGINEERING CONSULTING SERVICES DBE WBE ESB	91842
Certification Number 2256 SCHIRMER SCHLESINGER & ASSOCIATES INC 375 W 4TH STE 201 EUGENE, OR 97401	561730 LANDSCAPING SERVICES COM/RES FERTILIZING SERVICES, LANDSCAPE LANDSCAPING INCL DESIGN PLANTING DBE WBE ESB	98852

SP

DP

SP

DP

DP

Phone: (541) 686-4540 Fax: (541) 686-4577 carol@ssalandscape.com CAROL SCHIRMER corp	
Certification Number 4512 SIGN LANGUAGE SIGNS AND AWNINGS INC 965 TYINN ST #19 EUGENE, OR 97402 Phone: (541) 344-8796 Fax: (541) 344-8557 signlanguage_signs@yahoo.com LISA D DAVIS corp	339950 SIGN MANUFACTURING DESIGN, FABRICATE SIGNS & SIGNAGE SYSTEMS - ADA COMPLIANT, ARCHITECTURAL, DONOR RECOGNITION, HISTORIC REPLICA, INTERPRETIVE, TRANSIT, VANDALISM RESISTANT, VEHICULAR OR PEDESTRIAN DIRECTIONAL & WAY-FINDING. INSTALL SMALL SIGNS. SIGN MAKING SERVICES 96279 DBE WBE
Certification Number 1358 STATON COMPANIES PO BOX 7515 EUGENE, OR 97401 Phone: (541) 726-9422 Fax: (541) 726-9837 jeanne@statonco.com CLAUDIA J STATON corp Other Names > STATON CONSTRUCTION, INC. > STATON CONSTRUCTION INC	238910 SITE PREPARATION CONTRACTORS ALL STRUCTURES - WOOD, STEEL, CONCRETE DEMOLITION SERVICES, CONSTRUCTION 91240 DBE WBE
Certification Number 1725 TRANS-WATCH 1035 MONROE EUGENE, OR 97402 Phone: (541) 334-1786 Fax: (541) 685-1336 transwatch@mindspring.com CHRISTIAN L WATCHIE corp	541990 ALL OTHER PROFESSIONAL, SCIENTIFIC AND TECHNICAL SERVICES ANALYSIS/RESEARCH/STUDIES- TDM RESEARCH/TRANSIT NEEDS ANALYSIS; PLANNERS - PUBLIC INVOLVEMENT 91812 CENSUS CONSULTING SERVICES ANALYTICAL STUDIES SURVEY DEMOGRAPHIC DBE WBE
Certification Number 1482 WASH RITE COMPANY PO BOX 2957 FLORENCE, OR 97439 Phone: (541) 997-7652 Fax: (541) 997-7652 DORIS H LUTZ corp	541618 OTHER MANAGEMENT CONSULTING SERVICES PLANNING, PROCESS DEVELOPMENT MANAGEMENT CONSULTING SERVICES 91875 DBE WBE
	423610 ELECTRICAL APPARATUS AND EQUIPMENT, WIRING SUPPLIES, AND RELATED EQUIPMENT MERCHANT WHOLESALERS PORTABLE GENERATORS & LIGHTS 90658 DESIGN SERVICES, LIGHTING (INTERIOR AND EXTERIOR) ARCHITECTURAL (ARCHITECTURAL) DBE
Certification Number 2757	611430 PROFESSIONAL AND MANAGEMENT DEVELOPMENT TRAINING CERTIFIED TRAFFIC CONTROL TRAINER EDUCATION AND TRAINING, CONSULTING SERVICES 91838 DBE
	561990 ALL OTHER SUPPORT SERVICES FLAGGING & TEMPORARY SIGNS GUARD SERVICES, SECURITY 99046 DBE WBE
	238990 ALL OTHER SPECIALTY TRADE CONTRACTORS COMMERCIAL SANDBLASTING SERVICES (NOT BUILDINGS) 96867 DBE WBE
	238190 OTHER FOUNDATION, STRUCTURE, AND BUILDING EXTERIOR CONTRACTORS SHEAR STUD WELDING FOR BRIDGES & STRUCTURAL STEEL CASTING SERVICES, WELDING MAINTENANCE REPAIR 91076 SOLDERING SERVICES: BRAZING ETC. DBE MBE

SR

SR

DP

DP

WEST SIDE IRON INC PO BOX 70004 EUGENE, OR 97401 Phone: (541) 988-1781 Fax: (541) 988-1781 PETE COBARRUBIA corp	238120 STRUCTURAL STEEL AND PRECAST CONCRETE CONTRACTORS COMMERCIAL/HWY/RD CONSTRUCTION METAL WORKING SERVICES, NEW CONSTRUCTION 91458 DBE MBE	SP
	238110 POURED CONCRETE FOUNDATION AND STRUCTURE CONTRACTORS SHEAR STUD WELDING FOR BRIDGES & STRUCTURAL STEEL METAL WORKING SERVICES, NEW CONSTRUCTION 91458 DBE MBE	
Certification Number 1489 WESTATES FLAGMAN ESCORT INC BOX 5001 EUGENE, OR 97405 Phone: (541) 344-0304 Fax: (541) 344-8107 TRICIA RAINES corp	561990 ALL OTHER SUPPORT SERVICES FLAGGERS, PILOT OVERSIZED LOADS AND "PILOT CAR FOLLOW ME" GUARD SERVICES, SECURITY 99046 DBE WBE	SP

[Main Search page](#) | [Search for firm by certification type, commodity offered or location](#)

03:21 PM Thu Aug 31 2006

[Text Only](#) | [Website Policies](#) | [Contact Us](#) | [Oregon.gov](#)

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

APPENDIX D

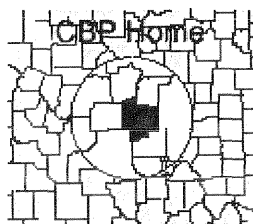
CENSUS BUREAU COUNTY BUSINESS PATTERN - LANE COUNTY REPORT

U.S. Census Bureau

2004 County Business Patterns (NAICS)

Lane, OR
Major Industry

CenStats



To see a different year, select one 2004 Go!

					Payroll (\$1,000)		
		Industry Code	Industry Code Description	Number of Employees for week including March 12	1st Quarter	Annual	Total Establishments
	Compare	-----	Total	118,928	824,251	3,591,122	9,789
Detail	Compare	11----	Forestry, fishing, hunting, and agriculture support	1,780	14,179	61,445	171
Detail	Compare	21----	Mining	166	1,246	6,530	12
Detail	Compare	22----	Utilities	153	2,273	8,397	11
Detail	Compare	23----	Construction	6,890	53,164	256,037	1,111
Detail	Compare	31----	Manufacturing	19,594	174,837	776,862	605
Detail	Compare	42----	Wholesale trade	5,474	53,077	227,366	491
Detail	Compare	44----	Retail trade	19,482	96,815	422,659	1,371
Detail	Compare	48----	Transportation & warehousing	2,804	19,820	88,383	264
Detail	Compare	51----	Information	2,385	22,854	90,610	170
Detail	Compare	52----	Finance & insurance	4,526	51,489	198,256	578
Detail	Compare	53----	Real estate & rental & leasing	2,633	13,024	55,149	552
Detail	Compare	54----	Professional, scientific & technical services	6,890	61,282	272,200	1,001
			Management of				

Detail	Compare	55----	companies & enterprises	1,268	20,894	71,838	48
Detail	Compare	56----	Admin, support, waste mgt, remediation services	7,135	33,158	153,482	432
Detail	Compare	61----	Educational services	1,478	5,426	23,960	129
Detail	Compare	62----	Health care and social assistance	17,088	136,889	600,457	927
Detail	Compare	71----	Arts, entertainment & recreation	1,824	5,042	24,093	150
Detail	Compare	72----	Accommodation & food services	11,934	33,682	146,715	832
Detail	Compare	81----	Other services (except public administration)	5,400	25,047	106,356	906
Detail	Compare	99----	Unclassified establishments	24	53	327	28


				Number of Establishments by Employment-size class									
		Industry Code	Industry Code Description	Total Estabs	1-4	5-9	10-19	20-49	50-99	100-249	250-499	500-999	1000 or more
	Compare	-----	Total	9,789	5,306	1,952	1,281	838	245	133	25	6	3
Detail	Compare	11----	Forestry, fishing, hunting, and agriculture support	171	108	28	19	7	4	5	0	0	0
Detail	Compare	21----	Mining	12	6	2	2	0	2	0	0	0	0
Detail	Compare	22----	Utilities	11	7	1	0	2	1	0	0	0	0
Detail	Compare	23----	Construction	1,111	756	183	99	55	13	5	0	0	0
Detail	Compare	31----	Manufacturing	605	254	97	86	93	36	25	10	2	2
Detail	Compare	42----	Wholesale trade	491	249	90	76	58	10	8	0	0	0
Detail	Compare	44----	Retail trade	1,371	560	396	217	119	48	24	7	0	0
Detail	Compare	48----	Transportation & warehousing	264	145	44	33	32	5	5	0	0	0
Detail	Compare	51----	Information	170	92	32	20	16	6	3	1	0	0
Detail	Compare	52----	Finance & insurance	578	350	123	61	26	13	5	0	0	0
Detail	Compare	53----	Real estate & rental & leasing	552	399	84	47	16	4	2	0	0	0
Detail	Compare	54----	Professional, scientific & technical services	1,001	717	153	79	36	9	4	2	1	0
			Management of										

Detail	Compare	55----	companies & enterprises	48	16	8	9	9	3	3	0	0	0
Detail	Compare	56----	Admin, support, waste mgt, remediation services	432	255	71	39	34	17	12	3	1	0
Detail	Compare	61----	Educational services	129	71	28	10	15	4	0	1	0	0
Detail	Compare	62----	Health care and social assistance	927	421	200	150	100	32	20	1	2	1
Detail	Compare	71----	Arts, entertainment & recreation	150	76	26	20	18	8	2	0	0	0
Detail	Compare	72----	Accommodation & food services	832	240	178	214	167	28	5	0	0	0
Detail	Compare	81----	Other services (except public administration)	906	557	207	100	35	2	5	0	0	0
Detail	Compare	99----	Unclassified establishments	28	27	1	0	0	0	0	0	0	0

[Save as text file.](#)
[Save as csv file.](#)

For information on businesses with no paid employees, see *Nonemployer Statistics*

[Change Geographic Area](#)

 Technical Support 

 301-763-7710 Voice
 301-457-1296 Fax

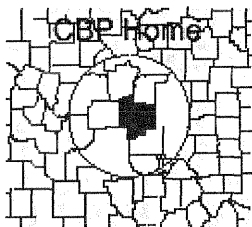
Census Bureau Links: [Home](#) • [Search](#) • [Subjects A-Z](#) • [FAQs](#) • [Data Tools](#) • [Catalog](#) • [Census 2000](#) • [Quality](#) • [Privacy Policy](#) • [Contact Us](#)

USCENSUSBUREAU
Helping You Make Informed Decisions

2004 County Business Patterns (NAICS)

Lane, OR
23----
Construction

CenStats



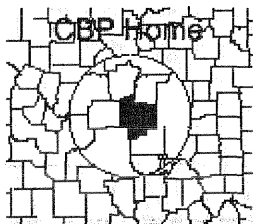
To see a different year, select one

	Industry Code	Industry Code Description	Number of Employees for week including March 12	Payroll (\$1,000)		Total Establishments
				1st Quarter	Annual	
<input type="button" value="Compare"/>	23----	Construction	6,890	53,164	256,037	1,111
<input type="button" value="Compare"/>	236	Construction of Buildings	1,706	12,287	57,964	390
<input type="button" value="Compare"/>	2361	Residential Building Construction	1,046	6,205	30,327	340
<input type="button" value="Compare"/>	23611	Residential Building Construction	1,046	6,205	30,327	340
<input type="button" value="Compare"/>	236115	New Single-Family Housing Construction (except Operative Builders)	602	3,184	15,800	232
<input type="button" value="Compare"/>	236116	New Multifamily Housing Construction (except Operative Builders)	0-19	0	0	1
<input type="button" value="Compare"/>	236117	New Housing Operative Builders	100-249	0	0	21
<input type="button" value="Compare"/>	236118	Residential Remodelers	301	1,866	8,333	86
<input type="button" value="Compare"/>	2362	Nonresidential Building Construction	660	6,082	27,637	50
<input type="button" value="Compare"/>	23622	Commercial and Institutional Building Construction	660	6,082	27,637	50
<input type="button" value="Compare"/>	236220	Commercial and Institutional Building Construction	660	6,082	27,637	50
<input type="button" value="Compare"/>	237	Heavy and Civil Engineering Construction	954	9,156	49,021	77
<input type="button" value="Compare"/>	2371	Utility System Construction	100-249	0	0	14

Compare	23711	Water and Sewer Line and Related Structures Construction	72	810	4,909	11
Compare	237110	Water and Sewer Line and Related Structures Construction	72	810	4,909	11
Compare	23713	Power and Communication Line and Related Structures Construction	100-249	0	0	3
Compare	237130	Power and Communication Line and Related Structures Construction	100-249	0	0	3
Compare	2372	Land Subdivision	96	745	3,081	28
Compare	23721	Land Subdivision	96	745	3,081	28
Compare	237210	Land Subdivision	96	745	3,081	28
Compare	2373	Highway, Street, and Bridge Construction	615	5,977	34,339	28
Compare	23731	Highway, Street, and Bridge Construction	615	5,977	34,339	28
Compare	237310	Highway, Street, and Bridge Construction	615	5,977	34,339	28
Compare	2379	Other Heavy and Civil Engineering Construction	20-99	0	0	7
Compare	23799	Other Heavy and Civil Engineering Construction	20-99	0	0	7
Compare	237990	Other Heavy and Civil Engineering Construction	20-99	0	0	7
Compare	238	Specialty Trade Contractors	4,230	31,721	149,052	644
Compare	2381	Foundation, Structure, and Building Exterior Contractors	975	5,372	27,063	159
Compare	23811	Poured Concrete Foundation and Structure Contractors	266	1,714	8,327	43
Compare	238110	Poured Concrete Foundation and Structure Contractors	266	1,714	8,327	43
Compare	23812	Structural Steel and Precast Concrete Contractors	3	23	191	3
Compare	238120	Structural Steel and Precast Concrete Contractors	3	23	191	3
Compare	23813	Framing Contractors	90	494	2,046	21
Compare	238130	Framing Contractors	90	494	2,046	21
Compare	23814	Masonry Contractors	152	816	3,636	30
Compare	238140	Masonry Contractors	152	816	3,636	30
Compare	23815	Glass and Glazing Contractors	20-99	0	0	7

U.S. Census Bureau

2004 County Business Patterns (NAICS)



Industry Code Comparison
238
Specialty Trade Contractors

CenStats

230

Lane CO

9

DBE

Spe Comm

To see a different year, select one

				Payroll (\$1,000)			Number of Establishments by Employment-size class								
	FIPS Code	Area Name	Number of Employees for week including March 12	1st Quarter	Annual	Total Establishments	1-4	5-9	10-19	20-49	50-99	100-249	250-499	500-999	1000 or more
Detail	001	Baker, OR	119	431	2,447	45	37	6	2	0	0	0	0	0	0
Detail	003	Benton, OR	536	3,404	17,069	94	61	13	14	6	0	0	0	0	0
Detail	005	Clackamas, OR	6,538	50,041	240,221	1,027	703	164	78	65	15	2	0	0	0
Detail	007	Clatsop, OR	509	3,502	15,592	91	58	16	12	5	0	0	0	0	0
Detail	009	Columbia, OR	212	1,471	7,669	73	61	9	2	1	0	0	0	0	0
Detail	011	Coos, OR	405	2,525	11,148	77	50	13	10	3	1	0	0	0	0
Detail	013	Crook, OR	156	824	4,653	55	44	7	3	1	0	0	0	0	0
Detail	015	Curry, OR	156	816	3,869	56	46	8	2	0	0	0	0	0	0
Detail	017	Deschutes, OR	3,104	20,298	100,603	614	438	81	60	32	3	0	0	0	0
Detail	019	Douglas, OR	847	5,709	29,284	174	120	30	16	7	1	0	0	0	0
Detail	021	Gilliam, OR	0-19	0	0	3	1	2	0	0	0	0	0	0	0
Detail	023	Grant, OR	35	164	826	18	17	1	0	0	0	0	0	0	0

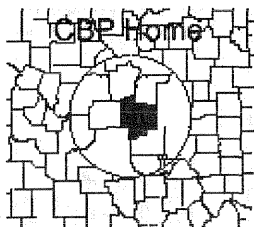
Detail	025	Harney, OR	20-99	0	0	8	7	1	0	0	0	0	0	0	0	0	0
Detail	027	Hood River, OR	189	1,059	5,617	61	50	7	3	1	0	0	0	0	0	0	0
Detail	029	Jackson, OR	2,775	18,606	89,171	495	318	100	46	27	4	0	0	0	0	0	0
Detail	031	Jefferson, OR	110	516	2,691	29	21	4	3	1	0	0	0	0	0	0	0
Detail	033	Josephine, OR	714	3,963	18,685	210	154	37	15	4	0	0	0	0	0	0	0
Detail	035	Klamath, OR	502	2,856	14,678	103	70	19	10	4	0	0	0	0	0	0	0
Detail	037	Lake, OR	0-19	0	0	10	9	1	0	0	0	0	0	0	0	0	0
Detail	039	Lane, OR	4,230	31,721	149,052	644	414	119	66	36	6	3	0	0	0	0	230
Detail	041	Lincoln, OR	250-499	0	0	80	63	9	6	2	0	0	0	0	0	0	0
Detail	043	Linn, OR	1,952	17,532	89,222	216	131	37	22	23	2	0	1	0	0	0	0
Detail	045	Malheur, OR	61	306	2,111	23	19	3	1	0	0	0	0	0	0	0	0
Detail	047	Marion, OR	4,186	31,625	145,987	650	419	116	75	28	11	0	1	0	0	0	0
Detail	049	Morrow, OR	20-99	0	0	11	10	1	0	0	0	0	0	0	0	0	0
Detail	051	Multnomah, OR	10,537	102,062	492,834	1,108	713	173	114	69	29	6	3	1	0	0	0
Detail	053	Polk, OR	414	2,852	13,549	104	83	14	5	1	1	0	0	0	0	0	0
Detail	055	Sherman, OR	0-19	0	0	1	1	0	0	0	0	0	0	0	0	0	0
Detail	057	Tillamook, OR	154	1,075	4,710	42	30	8	4	0	0	0	0	0	0	0	0
Detail	059	Umatilla, OR	556	3,724	18,292	94	54	20	16	4	0	0	0	0	0	0	0
Detail	061	Union, OR	197	1,039	5,065	48	31	14	2	1	0	0	0	0	0	0	0
Detail	063	Wallowa, OR	54	271	1,646	24	21	3	0	0	0	0	0	0	0	0	0
Detail	065	Wasco, OR	142	822	4,612	36	28	5	2	1	0	0	0	0	0	0	0
Detail	067	Washington, OR	8,296	71,463	342,203	962	608	142	113	65	26	6	2	0	0	0	0
Detail	071	Yamhill, OR	995	7,141	35,311	210	157	27	12	13	1	0	0	0	0	0	0
Detail	999	Statewide, OR	0-19	0	0	1	0	0	1	0	0	0	0	0	0	0	0

230 Specialty Contr

Save as text file.

Save as csv file.

2004 County Business Patterns (NAICS)



Lane, OR

54----

Professional, Scientific, and Technical
Services

CenStats

145 A & E
11 Landscaping
58 Engineering
3 Mgmt
10 Environment
228 Total Lane CO

12 DBE

To see a different year, select one

	Industry Code	Industry Code Description	Number of Employees for week including March 12	Payroll (\$1,000)		Total Establishments
				1st Quarter	Annual	
Compare	54----	Professional, Scientific, and Technical Services	6,890	61,282	272,200	1,001
Compare	541	Professional, Scientific, and Technical Services	6,890	61,282	272,200	1,001
Compare	5411	Legal Services	1,259	11,670	53,405	248
Compare	54111	Offices of Lawyers	1,081	10,009	46,293	233
Compare	541110	Offices of Lawyers	1,081	10,009	46,293	233
Compare	54119	Other Legal Services	178	1,661	7,112	15
Compare	541191	Title Abstract and Settlement Offices	170	1,630	6,987	10
Compare	541199	All Other Legal Services	8	31	125	5
Compare	5412	Accounting, Tax Preparation, Bookkeeping, and Payroll Services	1,274	7,636	31,171	191
Compare	54121	Accounting, Tax Preparation, Bookkeeping, and Payroll Services	1,274	7,636	31,171	191
Compare	541211	Offices of Certified Public Accountants	680	5,723	25,452	97
Compare	541213	Tax Preparation Services	100-249	0	0	37
Compare	541214	Payroll Services	250-499	0	0	4
Compare	541219	Other Accounting Services	138	689	2,571	53

Compare	5413	Architectural, Engineering, and Related Services	870	7,496	36,015	145
Compare	54131	Architectural Services	178	1,485	6,920	37
Compare	541310	Architectural Services	178	1,485	6,920	37
Compare	54132	Landscape Architectural Services	79	411	1,797	11
Compare	541320	Landscape Architectural Services	79	411	1,797	11
Compare	54133	Engineering Services	455	4,389	21,399	59
Compare	541330	Engineering Services	455	4,389	21,399	59
Compare	54134	Drafting Services	0-19	0	0	9
Compare	541340	Drafting Services	0-19	0	0	9
Compare	54135	Building Inspection Services	29	224	1,110	9
Compare	541350	Building Inspection Services	29	224	1,110	9
Compare	54136	Geophysical Surveying and Mapping Services	0-19	0	0	1
Compare	541360	Geophysical Surveying and Mapping Services	0-19	0	0	1
Compare	54137	Surveying and Mapping (except Geophysical) Services	60	407	2,205	15
Compare	541370	Surveying and Mapping (except Geophysical) Services	60	407	2,205	15
Compare	54138	Testing Laboratories	55	500	2,217	4
Compare	541380	Testing Laboratories	55	500	2,217	4
Compare	5414	Specialized Design Services	20-99	0	0	31
Compare	54141	Interior Design Services	20-99	0	0	9
Compare	541410	Interior Design Services	20-99	0	0	9
Compare	54142	Industrial Design Services	0-19	0	0	4
Compare	541420	Industrial Design Services	0-19	0	0	4
Compare	54143	Graphic Design Services	20-99	0	0	17
Compare	541430	Graphic Design Services	20-99	0	0	17
Compare	54149	Other Specialized Design Services	0-19	0	0	1
Compare	541490	Other Specialized Design Services	0-19	0	0	1
Compare	5415	Computer Systems Design and Related Services	1,175	18,119	81,371	81
Compare	54151	Computer Systems Design and Related Services	1,175	18,119	81,371	81

Compare	541511	Custom Computer Programming Services	916	15,555	69,163	32
Compare	541512	Computer Systems Design Services	239	2,465	11,878	38
Compare	541513	Computer Facilities Management Services	0-19	0	0	3
Compare	541519	Other Computer Related Services	0-19	0	0	8
Compare	5416	Management, Scientific, and Technical Consulting Services	356	3,046	13,508	131
Compare	54161	Management Consulting Services	269	2,471	10,423	101
Compare	541611	Administrative Management and General Management Consulting Services	143	1,245	6,421	63
Compare	541612	Human Resources and Executive Search Consulting Services	26	408	1,451	15
Compare	541613	Marketing Consulting Services	56	455	909	12
Compare	541614	Process, Physical Distribution, and Logistics Consulting Services	37	336	1,504	8
Compare	541618	Other Management Consulting Services	7	27	138	3
Compare	54162	Environmental Consulting Services	14	61	370	10
Compare	541620	Environmental Consulting Services	14	61	370	10
Compare	54169	Other Scientific and Technical Consulting Services	73	514	2,715	20
Compare	541690	Other Scientific and Technical Consulting Services	73	514	2,715	20
Compare	5417	Scientific Research and Development Services	500-999	0	0	34
Compare	54171	Research and Development in the Physical, Engineering, and Life Sciences	100-249	0	0	20
Compare	541710	Research and Development in the Physical, Engineering, and Life Sciences	100-249	0	0	20
Compare	54172	Research and Development in the Social Sciences and Humanities	250-499	0	0	14
Compare	541720	Research and Development in the Social Sciences and Humanities	250-499	0	0	14

Compare	5418	Advertising and Related Services	415	3,964	16,572	46
Compare	54181	Advertising Agencies	70	874	3,239	17
Compare	541810	Advertising Agencies	70	874	3,239	17
Compare	54182	Public Relations Agencies	20-99	0	0	9
Compare	541820	Public Relations Agencies	20-99	0	0	9
Compare	54184	Media Representatives	20-99	0	0	3
Compare	541840	Media Representatives	20-99	0	0	3
Compare	54185	Display Advertising	100-249	0	0	5
Compare	541850	Display Advertising	100-249	0	0	5
Compare	54186	Direct Mail Advertising	0-19	0	0	4
Compare	541860	Direct Mail Advertising	0-19	0	0	4
Compare	54189	Other Services Related to Advertising	54	136	564	8
Compare	541890	Other Services Related to Advertising	54	136	564	8
Compare	5419	Other Professional, Scientific, and Technical Services	895	3,710	16,256	94
Compare	54191	Marketing Research and Public Opinion Polling	317	806	3,528	6
Compare	541910	Marketing Research and Public Opinion Polling	317	806	3,528	6
Compare	54192	Photographic Services	101	408	1,805	20
Compare	541921	Photography Studios, Portrait	71	232	1,021	15
Compare	541922	Commercial Photography	30	176	784	5
Compare	54193	Translation and Interpretation Services	0-19	0	0	2
Compare	541930	Translation and Interpretation Services	0-19	0	0	2
Compare	54194	Veterinary Services	427	2,291	10,008	45
Compare	541940	Veterinary Services	427	2,291	10,008	45
Compare	54199	All Other Professional, Scientific, and Technical Services	20-99	0	0	21
Compare	541990	All Other Professional, Scientific, and Technical Services	20-99	0	0	21

Number of Establishments by Employment-size class

	Industry Code	Industry Code Description	Total Estabs	1-4	5-9	10-19	20-49	50-99	100-249	250-499	500-999	1000 or more
Compare	54----	Professional, Scientific, and Technical Services	1,001	717	153	79	36	9	4	2	1	0
Compare	541	Professional, Scientific, and Technical Services	1,001	717	153	79	36	9	4	2	1	0
Compare	5411	Legal Services	248	196	22	13	16	1	0	0	0	0
Compare	54111	Offices of Lawyers	233	187	20	13	12	1	0	0	0	0
Compare	541110	Offices of Lawyers	233	187	20	13	12	1	0	0	0	0
Compare	54119	Other Legal Services	15	9	2	0	4	0	0	0	0	0
Compare	541191	Title Abstract and Settlement Offices	10	4	2	0	4	0	0	0	0	0
Compare	541199	All Other Legal Services	5	5	0	0	0	0	0	0	0	0
Compare	5412	Accounting, Tax Preparation, Bookkeeping, and Payroll Services	191	136	38	10	2	3	1	1	0	0
Compare	54121	Accounting, Tax Preparation, Bookkeeping, and Payroll Services	191	136	38	10	2	3	1	1	0	0
Compare	541211	Offices of Certified Public Accountants	97	67	21	4	1	3	1	0	0	0
Compare	541213	Tax Preparation Services	37	20	12	4	1	0	0	0	0	0
Compare	541214	Payroll Services	4	3	0	0	0	0	0	1	0	0
Compare	541219	Other Accounting Services	53	46	5	2	0	0	0	0	0	0
Compare	5413	Architectural, Engineering, and Related Services	145	90	26	23	4	2	0	0	0	0
Compare	54131	Architectural Services	37	23	8	5	1	0	0	0	0	0
Compare	541310	Architectural Services	37	23	8	5	1	0	0	0	0	0
Compare	54132	Landscape Architectural Services	11	7	2	1	1	0	0	0	0	0
Compare	541320	Landscape Architectural Services	11	7	2	1	1	0	0	0	0	0
Compare	54133	Engineering Services	59	33	11	12	1	2	0	0	0	0
Compare	541330	Engineering Services	59	33	11	12	1	2	0	0	0	0
Compare	54134	Drafting Services	9	9	0	0	0	0	0	0	0	0
Compare	541340	Drafting Services	9	9	0	0	0	0	0	0	0	0
Compare	54135	Building Inspection Services	9	7	1	1	0	0	0	0	0	0
Compare	541350	Building Inspection Services	9	7	1	1	0	0	0	0	0	0
	54136	Geophysical Surveying and	1	1	0	0	0	0	0	0	0	0

Compare		Mapping Services										
Compare	541360	Geophysical Surveying and Mapping Services	1	1	0	0	0	0	0	0	0	0
Compare	54137	Surveying and Mapping (except Geophysical) Services	15	10	3	2	0	0	0	0	0	0
Compare	541370	Surveying and Mapping (except Geophysical) Services	15	10	3	2	0	0	0	0	0	0
Compare	54138	Testing Laboratories	4	0	1	2	1	0	0	0	0	0
Compare	541380	Testing Laboratories	4	0	1	2	1	0	0	0	0	0
Compare	5414	Specialized Design Services	31	26	5	0	0	0	0	0	0	0
Compare	54141	Interior Design Services	9	7	2	0	0	0	0	0	0	0
Compare	541410	Interior Design Services	9	7	2	0	0	0	0	0	0	0
Compare	54142	Industrial Design Services	4	4	0	0	0	0	0	0	0	0
Compare	541420	Industrial Design Services	4	4	0	0	0	0	0	0	0	0
Compare	54143	Graphic Design Services	17	14	3	0	0	0	0	0	0	0
Compare	541430	Graphic Design Services	17	14	3	0	0	0	0	0	0	0
Compare	54149	Other Specialized Design Services	1	1	0	0	0	0	0	0	0	0
Compare	541490	Other Specialized Design Services	1	1	0	0	0	0	0	0	0	0
Compare	5415	Computer Systems Design and Related Services	81	63	10	2	3	2	0	0	1	0
Compare	54151	Computer Systems Design and Related Services	81	63	10	2	3	2	0	0	1	0
Compare	541511	Custom Computer Programming Services	32	23	4	2	1	1	0	0	1	0
Compare	541512	Computer Systems Design Services	38	30	5	0	2	1	0	0	0	0
Compare	541513	Computer Facilities Management Services	3	3	0	0	0	0	0	0	0	0
Compare	541519	Other Computer Related Services	8	7	1	0	0	0	0	0	0	0
Compare	5416	Management, Scientific, and Technical Consulting Services	131	113	10	4	4	0	0	0	0	0
Compare	54161	Management Consulting Services	101	87	9	2	3	0	0	0	0	0
Compare	541611	Administrative Management and General Management Consulting Services	63	55	5	2	1	0	0	0	0	0
Compare	541612	Human Resources and Executive Search Consulting Services	15	14	1	0	0	0	0	0	0	0


Compare	54161	Marketing Consulting Services	12	10	1	0	1	0	0	0	0	0
Compare	541614	Process, Physical Distribution, and Logistics Consulting Services	8	6	1	0	1	0	0	0	0	0
Compare	541618	Other Management Consulting Services	3	2	1	0	0	0	0	0	0	0
Compare	54162	Environmental Consulting Services	10	10	0	0	0	0	0	0	0	0
Compare	541620	Environmental Consulting Services	10	10	0	0	0	0	0	0	0	0
Compare	54169	Other Scientific and Technical Consulting Services	20	16	1	2	1	0	0	0	0	0
Compare	541690	Other Scientific and Technical Consulting Services	20	16	1	2	1	0	0	0	0	0
Compare	5417	Scientific Research and Development Services	34	18	7	4	2	1	2	0	0	0
Compare	54171	Research and Development in the Physical, Engineering, and Life Sciences	20	10	6	3	0	1	0	0	0	0
Compare	541710	Research and Development in the Physical, Engineering, and Life Sciences	20	10	6	3	0	1	0	0	0	0
Compare	54172	Research and Development in the Social Sciences and Humanities	14	8	1	1	2	0	2	0	0	0
Compare	541720	Research and Development in the Social Sciences and Humanities	14	8	1	1	2	0	2	0	0	0
Compare	5418	Advertising and Related Services	46	30	11	3	1	0	1	0	0	0
Compare	54181	Advertising Agencies	17	11	5	1	0	0	0	0	0	0
Compare	541810	Advertising Agencies	17	11	5	1	0	0	0	0	0	0
Compare	54182	Public Relations Agencies	9	6	3	0	0	0	0	0	0	0
Compare	541820	Public Relations Agencies	9	6	3	0	0	0	0	0	0	0
Compare	54184	Media Representatives	3	1	0	2	0	0	0	0	0	0
Compare	541840	Media Representatives	3	1	0	2	0	0	0	0	0	0
Compare	54185	Display Advertising	5	3	1	0	0	0	1	0	0	0
Compare	541850	Display Advertising	5	3	1	0	0	0	1	0	0	0
Compare	54186	Direct Mail Advertising	4	4	0	0	0	0	0	0	0	0
Compare	541860	Direct Mail Advertising	4	4	0	0	0	0	0	0	0	0
Compare	54189	Other Services Related to Advertising	8	5	2	0	1	0	0	0	0	0

Compare	541890	Other Services Related to Advertising	8	5	2	0	1	0	0	0	0	0
Compare	5419	Other Professional, Scientific, and Technical Services	94	45	24	20	4	0	0	1	0	0
Compare	54191	Marketing Research and Public Opinion Polling	6	2	1	2	0	0	0	1	0	0
Compare	541910	Marketing Research and Public Opinion Polling	6	2	1	2	0	0	0	1	0	0
Compare	54192	Photographic Services	20	11	5	4	0	0	0	0	0	0
Compare	541921	Photography Studios, Portrait	15	10	2	3	0	0	0	0	0	0
Compare	541922	Commercial Photography	5	1	3	1	0	0	0	0	0	0
Compare	54193	Translation and Interpretation Services	2	2	0	0	0	0	0	0	0	0
Compare	541930	Translation and Interpretation Services	2	2	0	0	0	0	0	0	0	0
Compare	54194	Veterinary Services	45	12	15	14	4	0	0	0	0	0
Compare	541940	Veterinary Services	45	12	15	14	4	0	0	0	0	0
Compare	54199	All Other Professional, Scientific, and Technical Services	21	18	3	0	0	0	0	0	0	0
Compare	541990	All Other Professional, Scientific, and Technical Services	21	18	3	0	0	0	0	0	0	0

[Save as text file.](#)
[Save as csv file.](#)

For information on businesses with no paid employees, see *Nonemployer Statistics*

[Change Geographic Area](#)

 Technical Support 

 301-763-7710 Voice
 301-457-1296 Fax

Census Bureau Links: [Home](#) • [Search](#) • [Subjects A-Z](#) • [FAQs](#) • [Data Tools](#) • [Catalog](#) • [Census 2000](#) • [Quality](#) • [Privacy Policy](#) • [Contact Us](#)

USCENSUSBUREAU
Helping You Make Informed Decisions

DISADVANTAGED BUSINESS ENTERPRISE
POLICY & PROGRAM FISCAL YEAR 2006-2007

APPENDIX E

FEDERAL FINANCIAL ASSISTANCE

AGREEMENT ASSURANCE

I, Mark Pangborn, General Manager, hereby certify on behalf of Lane Transit District that::

The undersigned shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any DOT-assisted contract or in the administration of its DBE Program or the requirements of 49 CFR Part 26. The recipient shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of DOT-assisted contracts. The recipient's DBE Program, as required by 49 CFR Part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation, and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the undersigned of its failure to carry out its approved program, the Department may impose sanctions as provided for under Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).

Executed this 7 day of September, 2006.

By: 

Mark Pangborn, General Manager
Lane Transit District